REGISTRATION TO EXERCISE TRUST OR FIDUCIARY POWERS IN MARYLAND

Instructions: Any financial institution that does not meet the definition of “trust company,” as defined in Section 1-101(v) of the Estates and Trusts Article of the Annotated Code of Maryland, must register with the Commissioner of Financial Regulation, prior to exercising trust or fiduciary powers in Maryland.

Return this form to: Teresa Louro, Deputy Commissioner, Office of Financial Regulation, 500 N. Calvert Street, Suite 402, Baltimore, MD 21202. This form must include the following attachments:

1) A letter from the appropriate state bank regulator confirming that a Maryland-chartered bank or trust company would be permitted under state law to exercise trust or fiduciary powers in that state under substantially similar circumstances; and

2) A letter of good standing from the bank or trust company’s primary regulator, which also states that the institution is authorized to exercise trust or fiduciary powers under its charter; and

3) A letter from the institution’s resident agent in Maryland acknowledging agreement and responsibility to: (a) accept service in Maryland on behalf of the Applicant bank or trust company; and (b) notify the Commissioner’s Office in writing if this agreement is terminated.

Pursuant to the requirements of Section 14.5-710 of the Estates and Trusts Article, Annotated Code of Maryland, application is hereby made by the following financial institution to exercise trust or fiduciary powers in the State of Maryland:

Name of Bank/Trust Company: _____________________________________________________
Address of Principal Office: ______________________________________________________

Name of Contact Person: ______________________________________________________
Title of Contact Person: ______________________________________________________
Phone No. of Contact Person: __________________ ___________________________
Description of Trust Services: ______________________________________________________
                                                                                          ______________________________________________________
                                                                                          ______________________________________________________
                                                                                          ______________________________________________________

Name of Resident Agent:  ______________________________________________________
Address of Resident Agent: ______________________________________________________
                                                                                          ______________________________________________________
                                                                                          ______________________________________________________
Phone No. of Resident Agent: ______________________________________________________
(Resident Agent must be located in Maryland)

By my signature below, I certify that the Applicant bank or trust company will not establish
an office in Maryland for the purpose of exercising trust or fiduciary powers, unless
written approval for such office has been issued by the Maryland Office of Financial
Regulation.

________________________________________  __________________________________
Print Name (must be corporate officer)                                             Date

________________________________________
Signature

________________________________________
Title

________________________________________
Email Address (confirmation of receipt will be emailed)

Notice: This information will be kept on file and is available to the public. If change is to be made to any of
the above information, written notice must be provided to the Commissioner of Financial Regulation at least
fifteen (15) days prior to the effective date of the pending change. Failure to provide proper notice may void
this registration.

The Commissioner will refer any violation of Maryland law to the institution’s primary state or federal
regulator and to the Attorney General for the State of Maryland.