

# BALTIMORE CITY'S LOCAL WORKFORCE PLAN 2017-2020



## WORKFORCE INNOVATION AND OPPORTUNITY ACT



Baltimore Workforce  
Development Board



**Baltimore City’s  
Workforce Innovation and Opportunity Act (WIOA)  
Local Workforce Plan  
July 1, 2016 - June 30, 2020  
Revised December 2019**

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**Baltimore City's  
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Local Workforce Plan  
July 1, 2016 - June 30, 2020  
Revised January 2019**

**Prologue:**

The Baltimore Workforce Development Board (BWDB) is pleased to present its Local Plan. The document was prepared to comply with the requirements of the Workforce Innovation and Opportunity Act (WIOA) which specifies that each local system describe its operational policies and procedures. It anticipates offering services to thousands of residents across our city who bring with them skills and abilities and dreams of a better life. It also recognizes that many of our residents face challenges that must be addressed so that the promise of their future can be realized. These challenges include the lack of adult education, limited work experience, a criminal background and the need for child care to name a few.

WIOA provides critical financial resources to our city but the workforce system is bigger than any one funding stream. Though this plan specifies how federal resources are utilized, the BWDB envisions a comprehensive system in which public agencies, the nonprofit community and the private sector work in true partnership to create a network of service delivery that stimulates career pathways for residents to access good jobs and provides a simplified process for employers to find their skilled workforce. This partnership is easier said than done. A true comprehensive system requires tending and for each party to recognize that the whole (the system) is greater than the sum of its parts (any individual organization). It means that workforce organizations – public and nonprofit - must truly coordinate services to deliver the most qualified resident for the job. It requires complete transparency so that residents and employers have the information to make the best choices to achieve their objective.

WIOA offers critical resources to enhance the local system. It encourages broader partnerships and eliminates some of the required restrictions that dampened local flexibility that makes it more difficult to be responsive to the needs of area residents and employers. The Plan that follows is meant to respond to the specific requirements of the local WIOA plan while laying the groundwork for a more comprehensive system. Despite the formality of the Plan, we recognize that this is, and will always be a work in progress as the economy shifts, the needs of our employers become more evident and the vision of our residents is more apparent. We look forward to further refining the Plan with all partners and stakeholders.

## Executive Summary

Baltimore is a diverse and vibrant city. It is the region's hub for the arts, cultural activities, sporting events and "quality of life" amenities that attract young professionals to live and work. It is home to world-class medical institutions and highly ranked colleges and universities. It has the interconnectivity of investment, workforce, infrastructure, proximity to a major port where goods and materials can be transported, and the potential for future business growth and economic revitalization.

Yet, unemployment rates in Baltimore City remains too high at 5.3% (October 2018) <https://www.dllr.state.md.us/lmi/laus/> and in some communities, nearly 1 in 3 adults are jobless. Over 20% of the city's adult population lacks a high school diploma and the city is home to a large number of returning citizens. It is within this context, that the Baltimore Workforce Development Board transitions its work under the new legislation and a new local administration.

Appointed by the Mayor, the Board is comprised of volunteers with private sector representatives making up at least 51% of membership. Its mission is to collaborate with key stakeholders including business to build a workforce development system that drives and supports the local economy

The Baltimore City Mayor's Office of Employment Development (MOED) oversees the public workforce system for Baltimore City and works on behalf of the Board to implement a strategic vision in which every City resident maximizes his/her career potential and all employers have the human resources to grow and prosper --- a workforce system that works for Baltimore City.

The Workforce Innovation and Opportunity Act (WIOA), Public Law 128-113, was signed by President Obama on July 22, 2014 after passing Congress with broad bipartisan support. It reauthorizes and amends the Workforce Investment Act (1998) but also makes important workforce system reforms.

WIOA empowers local workforce boards to develop a four-year plan that describes the strategies, programs, and activities to implement the new law and helps residents go to work.

The 2017-2020 Baltimore City Workforce Development Plan serves as a guide, providing strategic direction for its members, its committees and the staff. It advances its mission and works towards its long-term vision through specific efforts in five areas: building the city's future workforce, increasing the city's labor participation rates, building better connections between and among business and its partners, fostering collaboration and engagement between workforce development areas in the region and promoting efficiency of the Baltimore City workforce system.

With consideration of local workforce needs and insightful contributions from partners and stakeholders, MOED has developed an action plan for Program Years 2017-2020. The goals and objectives identified entail collaboration across the full span of the workforce development system and utilize the system's assets and expertise.

## Baltimore City Expected Timeframes

Date	Action
September 30, 2016	Local Plan submitted to the Governor's Workforce Development Board (GWDB)
January 1, 2017	Local Plans approved by the state and implemented by the local areas
January 16, 2017	Adult Education competition released by DLLR
March 31, 2017	Regional Plan due to Governor's Workforce Investment Board
June 30, 2017	Regional Plan approved by DLLR
July 1, 2017	Adult Education providers selected by DLLR
August 1, 2018	Revised State Plan approved by Federal Partners
October 2018	Local Boards receive guidance and timeline from State for WIOA Regional and Local Plans
December 7, 2018	Revised Regional Plans due to DLLR
January 2019	Local Board review and provide comment
February 2019	Local comment Period
February 28, 2019	Authorized signatures obtained
March 1, 2019	Revised Local Plans due to DLLR

## **Regional Plan Requirements (October, 2018)**

Regions are defined by the State, according to the requirements of WIOA Section 106(a) and 20 CFR 679.510, in order to align workforce development activities and resources with larger regional economic development areas and resources.

Based on a variety of considerations, Maryland has designated the following 5 WIOA regions:

- **Western** - The Western region is comprised of the Western Maryland Local Area. This Local Area is comprised of Maryland's three most western counties: Garrett, Allegany, and Washington.
- **Capital** - The Capital region includes three Local Areas: Prince George's, Montgomery and Frederick. All three are located along the Washington, D.C. corridor.
- **Central** - The Central region is comprised of five Local Areas: Anne Arundel, Mid-Maryland, Baltimore County, Baltimore City, and Susquehanna.
- **Southern** - The Southern region is comprised of the Southern Maryland Local Area. That Local Area is comprised of Calvert, St. Mary's, and Charles Counties.
- **Eastern Shore** - The Eastern Shore region is comprised of two Local Areas: Upper Shore and Lower Shore.

A Regional Plan is a four-year action plan to develop, align and integrate service delivery strategies and resources among the multiple local workforce development areas in a given region. The Regional Plan must be consistent with the vision and goals of the State Plan.

Revised Regional Plans were submitted to the Department of Labor Licensing and Regulation (DLLR) on December 7, 2018.

## Local Plan Requirements

Section 108 of WIOA requires that each Local Board develop and submit to the Governor a comprehensive Local Plan.

A Local Plan is a four-year plan<sup>1</sup> to develop, align, and integrate service delivery strategies and resources among the workforce system. The Local Plan should support achievement of the State's vision and goals, as outlined in the State Plan. This is described at WIOA Section 108(a), and the contents of the Local Plan are described at WIOA Section 108(b) and 20 CFR 670.560. The Local Plans must be consistent with the vision and goals of the State Plan, and should include the information requested below.

### Section 1 – Economic Analysis

This section includes an analysis of economic elements consisting of –

- (A) An analysis of the regional economic conditions including existing and emerging in-demand industry sectors and occupations<sup>2</sup> and the employment needs of businesses in those industry sectors and occupations<sup>3</sup>.

Located in central Maryland, Baltimore City is the urban hub of the Baltimore-Columbia-Towson metropolitan statistical area (MSA), which encompasses the highest concentration of Maryland's workforce, eight of the state's nine largest employers, and nearly 52% of the state's nonfarm employment<sup>4</sup>. Baltimore City, alone, houses 611,648 persons and supports over 13,000 employer establishments, including three of the state's largest employers – Johns Hopkins Hospital, Johns Hopkins University and University of Maryland Medical Center<sup>567</sup>. The unemployment rate (seasonally adjusted as of October 2018) for Baltimore was 5.3% and between 2013 - 2017, the Per Capita Personal Income was \$28,488 (adjusted dollars); up \$1,359 from the previous period (2012-2016). In the same period (2013-2017), the Median Household Income (2017 adjusted dollars) was \$46,641; up \$2379 from the previous period.<sup>8</sup> Further, forty percent of the Temporary Cash Assistance recipients for the state of Maryland reside in Baltimore City.<sup>9</sup>

Since the 2008 recession, Baltimore City has experienced year-over-year economic gains and as of October 2018, the unemployment rate reached a ten-year low – 5.3%. In the short term, census data

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<sup>1</sup> At the end of the first two years of the four year period, Local Plans must be reviewed and revised. Note that several new prompts are included in this guidance. Additionally, the order of some of the prompts has changed.

<sup>2</sup> Existing and emerging industries and occupations can be determined in a variety of ways (e.g., projections, location quotients). For your convenience, the LMI Team has provided the Growth Industry Tool (GIT) and the Growth Occupation Tool (GOT) available at: <https://www.dllr.state.md.us/lmi/wiagrowthind/>.

<sup>3</sup> There are a variety of methods to determine employment needs (e.g., employer surveys, real-time Labor Market Information (LMI)). Real-time LMI (e.g., Advertised Job Skills, Job Opening Counts, and Certifications Advertised) is available at: <https://mwejobs.maryland.gov/vosnet/Default.aspx>.

<sup>4</sup> [https://mwejobs.maryland.gov/admin/gsipub/htmlarea/uploads/MonthlyLaborReview\\_Sept2018.pdf](https://mwejobs.maryland.gov/admin/gsipub/htmlarea/uploads/MonthlyLaborReview_Sept2018.pdf)

<sup>5</sup> <https://www.census.gov/quickfacts/fact/table/baltimorecitymarylandcounty/AGE295217>

<sup>6</sup> There are nine employers in the state of Maryland that hire over 5,000 employees. The Baltimore-Columbia- Towson area is home to Northrop Grumman, Byk Gardner Inc., Johns Hopkins Hospital, Johns Hopkins University Applied Physics Laboratory, Johns Hopkins University, Lockheed Martin, Stephen James Association and University of Maryland Medical Center.

<https://www.dllr.state.md.us/lmi/emplists/maryland.shtml>

<sup>7</sup> According to DLLR's GIT, there are 13,451 establishments in Baltimore City:

<https://www.dllr.state.md.us/lmi/wiagrowthind/git.shtml>

<sup>8</sup> <https://www.census.gov/quickfacts/fact/table/baltimorecitymaryland,US/INC910217#INC910217>

<sup>9</sup> <http://dls.maryland.gov/pubs/prod/HHS/Overview-of-the-Temporary-Assistance-for-Needy-Families-Program-in-Maryland.pdf>

shows that both the number of business units and the total employment within those businesses increased from Q3 2016 to Q3 2017<sup>10</sup>. As a result of the recent business development, Baltimore City reported 18,160 job openings on November 19, 2018; the second highest number of job openings in the state of Maryland<sup>11</sup>.

In 2013, the Opportunity Collaborative produced the *Baltimore Regional Talent Development Pipeline*, which asserted that Baltimore City residents without a Bachelor’s degree have a harder time finding employment than residents with a High School Diploma or less. This is problematic, because only 30.4% of Baltimore City residents have a Bachelor’s Degree or higher<sup>12</sup>. To support these job seekers, the study identified six industries that offer the greatest promise for workers without degrees - Healthcare, Construction, Information Technology, Transportation and Logistics, Business Services, and Manufacturing. These industries closely align with eight sectors that were concomitantly identified by the Baltimore Workforce Development Board - 1) Health Care and Social Assistance, 2) Bioscience, 3) Business Services, 4) Computer, Internet, and Software Related Data Services, 5) Construction, 6) Hospitality and Tourism, 7) Port and Port-Related Services, and 8) Sustainable Energy and Environmentally-driven Services. Many of these career pathways do not require a degree, but may require experience, training and/or occupational credential. The only exception is Bioscience, which is not as viable a career pathway, since most jobs require a Bachelor’s degree.

Today, the target industries identified above continue to guide MOED’s strategic goals. Data provided by the Maryland Workforce exchange shows that these industries will provide the area with over 20,000 new jobs by 2024. More detailed information on MWE’s 2014 to 2024 employment projections can be found below:

Sector	Projected Job Change 2014 - 2024	Percent of Jobs that Require Less than Bachelor’s Degree	Strong Occupational Targets for Career Pathways
<b>Business Services</b>	5,725	62%	<ol style="list-style-type: none"> <li>1. Accounting and human resource occupations including tax preparers and HR assistants,</li> <li>2. Environmental occupations including hazardous materials removal workers and environmental technicians, and</li> <li>3. Engineering technicians and drafting occupations</li> </ol>
<b>Construction</b>	1,136	95%	<ol style="list-style-type: none"> <li>1. Electricians</li> <li>2. Plumbers, Pipefitters, and Steamfitters</li> </ol>

<sup>10</sup> <http://baltimoredevelopment.com/wp-content/uploads/2015/10/2018-First-Quarter-Final.pdf>

<sup>11</sup>MWE jobs data sourced from online advertised jobs: <https://mwejobs.maryland.gov>

<sup>12</sup> <https://www.census.gov/quickfacts/fact/table/baltimorecitymarylandcounty/AGE295217>

			<ol style="list-style-type: none"> <li>3. Carpenters</li> <li>4. Operating Engineers and Other Construction Equipment Operators</li> <li>5. Highway Maintenance Workers</li> <li>6. Brickmasons and Blockmasons</li> <li>7. Glaziers</li> <li>8. Elevator Installers and Repairers</li> <li>9. Heating, Air Conditioning, and Refrigeration Mechanics and Installers</li> </ol>
<b>Healthcare</b>	10,201	78%	<ol style="list-style-type: none"> <li>1. Surgical Technicians</li> <li>2. Radiologic Technicians and Technologies</li> <li>3. Licensed Practical and Licensed Vocational Nurses</li> <li>4. Emergency Medical Technicians and Paramedics</li> <li>5. Respiratory Therapists</li> </ol>
<b>Information Technology</b>	5,844	43%	<ol style="list-style-type: none"> <li>1. Computer Support Specialists</li> <li>2. Computer System Analysts</li> <li>3. Network and Computer</li> <li>4. System Administrators Information Security Analysts,  Web Developers, and Computer Network Architects</li> </ol>
<b>Hospitality and Tourism</b>	1,560	59.7%	<ol style="list-style-type: none"> <li>1. Sales Representatives</li> <li>2. First-Line Supervisors</li> </ol>
<b>Manufacturing</b>	(556)	81%	None specified
<b>Transportation &amp; Warehousing</b>	2,661	94%	<ol style="list-style-type: none"> <li>1. Industrial Truck and Tractor Operators (e.g. forklift operators and other equipment operators)</li> <li>2. Heavy and Tractor-Trailer Truck Drivers</li> <li>3. Cargo and Freight Agents</li> <li>4. Dispatchers</li> <li>5. Bus and Truck Mechanics and Diesel Engine Specialists</li> </ol>
<b>Total New Jobs</b>	26,571	N/A	

Table 1: Selected Data from Talent Development Pipeline Study

The table above shows us that while the majority of The Baltimore Workforce Development Board’s target industries project to have more jobs available in the coming years, manufacturing will have fewer. As noted in the 2013 Talent Development Pipeline Study, during and after the recession, many manufacturing companies moved their operations offshore to low-wage countries. However, the prevalence of local employers that rely on manufacturing talent – Lockheed Martin, Northrop Grumman, AAI, and BD Diagnostics to name a few – support the notion that the manufacturing industry continues to be a key industry in the Baltimore area. Moreover, it appears that the manufacturing industry has

become more technologically focused; therefore, requiring many of the same skills as the IT and business services industries.

It is also important to note that many of the supervisor positions listed in the table above are open to job seekers who do not have a degree, but may have experience or training. This further asserts that the industries identified by the BWDB offer sustainable opportunities for Baltimore City's disadvantaged job-seekers.

The Board's vision of a citywide coordinated workforce system includes strategies that leverage the opportunities presented by these growing industries, such as – strengthening the quality of occupational skills training, creating new and supporting existing industry sector partnerships, building bridge programming that helps more job seekers pass pre-requisite examination, and convening workforce leaders to identify need (discussed in more detail in section 2).

## **Section 2 – Strategic Planning to Maximize the Earning Capacity of Marylanders**

The State's Workforce Plan and the *Benchmarks of Success* lays out its vision to increase the earning capacity of Marylanders by maximizing access to employment, skills and credentialing, life management skills, and supportive services. The vision requires the State to strengthen and enhance the effectiveness and efficiency of Maryland's workforce system. The first four Strategic Goals of the *Benchmarks of Success* are to:

1. Increase the earning capacity of Maryland's workforce system customers by maximizing access to employment;
2. Increase the earning capacity of Maryland's workforce system customers by maximizing access to and use of skills and credentialing;
3. Increase the earning capacity of Maryland's workforce system customers by maximizing access to and use of life management skills; and
4. Increase the earning capacity of Maryland's workforce system customers by eliminating barriers to employment.

This section of the city's plan speak to the first four Strategic Goals of the *Benchmarks of Success* and include a description of the strategic planning elements consisting of –

- (A) An analysis of the knowledge and skills needed to meet the needs of the businesses in the Local Area, including employment needs in in-demand industry sectors and occupations.<sup>13</sup>

Supporting the concept that a demand-driven workforce significantly promotes an area's long-term growth potential, Baltimore's Board recognizes that local business must guide the development of initiatives and provide the specifications for re-alignment of local educational opportunities for in-demand jobs.

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<sup>13</sup> There are a variety of ways to determine the knowledge and skills needed to meet the employment needs (e.g., employer surveys, real-time LMI). Real-time LMI (e.g., Certifications Advertised, Advertised Education Level) is available at: <https://mwejobs.maryland.gov>

Listed below are the most popular certifications based on employer advertising in Baltimore City.

Rank	Advertised Certification Group	Advertised Certification Sub-Category	Job Opening Match Count
1	Certification in Cardiopulmonary Resuscitation (CPR)	Nursing	3,668
2	Basic Life Support (BLS) Certification	Medical Treatment and Therapy	3,256
3	Advanced Cardiac Life Support Certification (ACLS)	Nursing	1,740
4	Commercial Driver's License (CDL)	Ground Transportation	1,436
5	Certified Public Accountant (CPA)	Financial Specialists	483
6	Pediatric Advanced Life Support (PALS)	Medical Treatment and Therapy	371
7	Certified Nursing Assistant (CNA)	Nursing	348
8	Information Technology Infrastructure Library Certification (ITIL)	Information Technology Management	267
9	Board Certified Pharmacotherapy Specialist (BCPS)	Pharmaceutical	242
10	Licensed Clinical Social Worker (LCSW)	Social and Human Services	238

Table 2: Top certifications Advertised in Baltimore City, all with at least 20 openings; Source: MWE, 2016

Further, an analysis of the skills listed in job postings for the strong occupation targets listed in Table 3 found the following skills unique to each industry.

BWDB Sectors	Top Skills	Occupational Employment Distribution
<b>Business Services (Professional and Business Services)</b>	N/A	<ol style="list-style-type: none"> <li>1. Janitors and Cleaners (3,739)</li> <li>2. Security Guards (3,654)</li> <li>3. Laborers and Freight, Stock, and Material Movers (2,185)</li> <li>4. Lawyers (1,667)</li> <li>5. Accountants and Auditors (1,277)</li> </ol>
<b>Construction</b>	<ol style="list-style-type: none"> <li>1. Customer Service (127)</li> <li>2. Problem Solving (50)</li> <li>3. Commercial Plumbing (43)</li> <li>4. Interpersonal Skills (40)</li> <li>5. Time Management (36)</li> </ol>	<ol style="list-style-type: none"> <li>1. Construction laborers (1,182)</li> <li>2. Plumbers, Pipefitters and Steamfitters (843)</li> <li>3. Carpenters (484)</li> <li>4. Electricians (480)</li> <li>5. Painters, Construction and Maintenance (461)</li> </ol>
<b>Healthcare (Healthcare and Social Assistance)</b>	<ol style="list-style-type: none"> <li>1. Customer Service (1,529)</li> <li>2. Interpersonal Skills (785)</li> <li>3. Problem Solving (578)</li> <li>4. Critical thinking (479)</li> <li>5. Decision Making (397)</li> </ol>	<ol style="list-style-type: none"> <li>1. Customer Service Representatives (3,030)</li> <li>2. Office Clerks, General (1,981)</li> <li>3. Janitors and Cleaners, Except Maids (1,632)</li> <li>4. Secretaries and Administrative Assistants (1,629)</li> </ol>

		5. First-Line Supervisors of Office and Administrative Support Workers (1,167)
<b>Hospitality (Leisure and Hospitality)</b>	N/A	1. Combined Food Preparation and Serving Workers (3,216) 2. Waiters and Waitresses (3,208) 3. Bartenders (1,855) 4. First-Line Supervisors (1,765) 5. Cooks (1,008)
<b>Information Technology</b>	N/A	N/A
<b>Manufacturing</b>	1. Customer Service (268) 2. Decision Making (238) 3. Problem Solving (230) 4. Leadership Development (118) 5. Interpersonal Skills (108)	1. Production Workers (532) 2. Maintenance and Repair Workers (282) 3. Packaging and Machine Operators (2390) 4. Bakers (233) 5. Industrial Machinery Mechanics (208)
<b>Transportation &amp; Warehousing</b>	1. Customer Service (183) 2. Problem Solving (40) 3. Time Management (39) 4. Interpersonal Skills (29) 5. Typing (26)	1. Heavy and Tractor-Trailer Truck Drivers (1,672) 2. Bus Drivers (1,409) 3. Laborers (1,383) 4. Light Truck or Delivery Services Drivers (1,235) 5. Office Clerks (1,030)

Table 3: Skills unique to high-growth occupations in target industry clusters based on MWE job postings for businesses in Baltimore City.

When taking a closer look at the skills and occupations listed in the table above, it is clear that many of the target industries are saturated with low-skill jobs. An analysis of all job postings through the states workforce system database, Maryland Workforce Exchange (MWE) finds the following job skills are desired by all employers (table below). These track closely with common job postings for in-demand industries as well.

<b>Top Advertised Job Skills</b>
<b>1. Customer Service</b>
<b>2. Problem Solving</b>
<b>3. Interpersonal Skills</b>
<b>4. Flexibility</b>
<b>5. Decision Making</b>
<b>6. Time Management</b>
<b>7. Mentoring</b>
<b>8. Business Development</b>
<b>9. Honesty</b>
<b>10. Critical Thinking</b>

An analysis of job projections data for Baltimore City using the Bureau of Labor Statistics ‘Education and training assignments by detailed occupation’ table found the portion of future jobs in Baltimore City that fit certain education requirements. As shown, the share of jobs that require more than a high school diploma will increase from 39.3% to 40.2% by 2022. While occupations with minimum education requirements fitting all levels will see job growth, 88% of occupations losing jobs require an educational level of a high school diploma or less (Table 5).

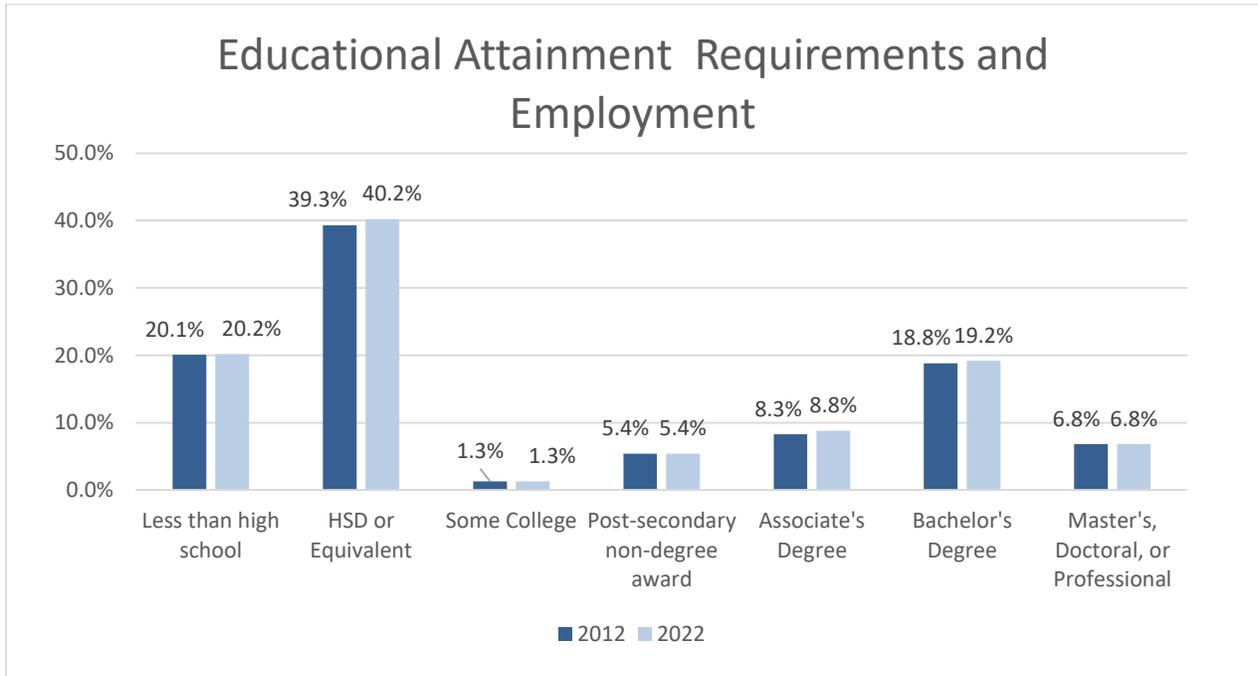


Chart 4: Estimates the portion of education required for jobs available in Baltimore City.

Education Requirements	Job Gains Between 2012 - 2022	Occupations Losing Jobs from 2012- 2022	Occupations Gaining Jobs from 2012-2022
Less than high school	4025	-363	4388
High school diploma or equivalent	4767	-1417	6184
Some college, no degree	237	-3	240
Postsecondary non-degree award	1065	-117	1182
Associate's degree	3081	-16	3097
Bachelor's degree	4878	-54	4932
Master's degree	707	0	707
Doctoral or professional degree	738	-53	791

Table 5: Gains and losses of jobs with specific education requirements for Baltimore City.

(B) An analysis of the workforce in the Local Area, including current labor force employment (and unemployment) data<sup>14</sup>, and information on labor market trends, and the educational and skill levels of the workforce in the Local Area<sup>15</sup>, including individuals with barriers to employment.<sup>16</sup>

The Population Estimates Program of the U.S. Census Bureau estimates that there were 611,648 residents of Baltimore City in July 2017 and 310,323 of those individuals are in the labor force. The median age of Baltimore City residents remained comparatively the same (34.0) as to the last plan period. Black / African Americans accounted for 63.0% of the city's population, while Whites accounted for 30.3%, Asian or Native Hawaiians and Other Pacific Islanders were 2.6%, American Indians and Alaska Natives were 0.3%, and those identifying as two or more races were 2.3% of the city's population. Independent of race, 4.8% of Baltimore City residents identified themselves as being Hispanic.

In the last report, Baltimore City's labor market had not entirely rebounded from the economic downturn. According to the Bureau of Labor Statistics, in the ten-year period from 2008 to 2018, the city gained nearly 20,000 new jobs. Baltimore City's unemployment rate ranged from 8.7% to 7.0% in 2015, with an annual average of 7.7%; however, in October of 2018, the unemployment rate reached 5.3%, a ten-year low. That figure is still a full percentage point higher than the state of Maryland Seasonally Adjusted Local Area Unemployment Statistics (LAUS) of 4.1% provided by the Maryland State Department of Labor, Licensing and Regulation.

Current employment statistics show that average non-farm employment in Baltimore increased by 4.2% from 2017 – 2018, compared to a national change of 1.6% and a metropolitan regional change of 1.5%. In that same period, employment in government jobs increased by .4%, while private sector saw employment gains of 4.2%. Since 2012, manufacturing has declined slightly, with employment decreasing by 1.4%, while service-producing industries increased employment by 4.6%, strongly spurred on by gains in professional & business services (8.8%) and leisure & hospitality (13%). The largest decreases in this period were seen by the manufacturing, other services, and government.

Within the 12 month period between the 3<sup>rd</sup> quarter of 2014 and the corresponding quarter of 2015, Baltimore City gained over 3,000 jobs, which is a 0.9% growth. This was assisted by a gain of 2,738 (6.9% growth) jobs in Trade, Transportation, and Utilities, 1,045 (1.1% growth) jobs in Education and Health Services, and 653 (18.6% growth) in the Information sector. The largest losses were in the Professional and Business Services sector with 2,008 jobs lost (4.5% decline) and Manufacturing with 742 jobs lost (6.5% decline). In the same 12 month period, Maryland gained 35,389 jobs representing a 1.4% growth.

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<sup>14</sup> Employment and unemployment data is available at: <https://www.dllr.state.md.us/lmi/laus/>

<sup>15</sup> There are a variety of ways to determine educational and skill levels of the workforce. Real-time LMI is available at: <https://mwejobs.maryland.gov/vosnet/Default.aspx>. Worker educational attainment by area is available at: <http://onthemap.ces.census.gov/>.

<sup>16</sup> There are a variety of ways to determine barriers to employment. Census data is available from the Maryland Department of Planning. For example, poverty data is available: <https://planning.baltimorecity.gov/planning-data#People%20&%20Demographics>

According to the 2016 American Community Survey, more than four fifths (83.5%) of the population aged 25 years or older in Baltimore City had at least a high school diploma or an equivalent, and 15.7% had at least a bachelor’s degree. Data is broken out by race below, showing significant disparities between black and white populations in attainment of Bachelor Degree’s.

### Degree Achievement by Race in Baltimore City

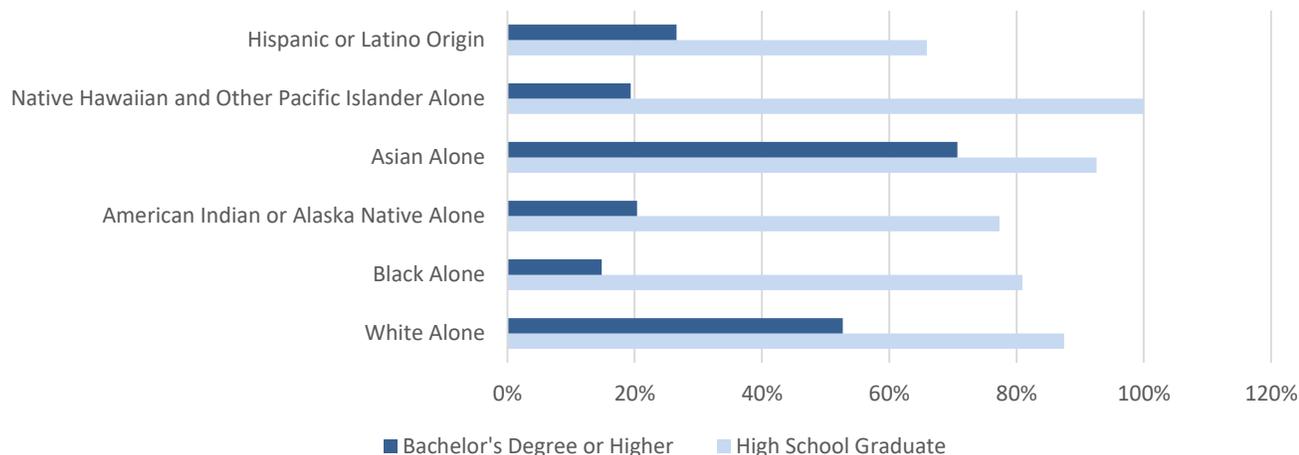


Chart 6: Educational attainment by race and gender for Baltimore City, based on American Community Survey 2016, 5-year estimates

Research by the Opportunity Collaborative<sup>17</sup> suggests that “over half (53%) of new jobs that are expected to be created between 2012 and 2020 will have minimum education requirements that are beyond a high school diploma and virtually all of the jobs that don’t require at least some college education have average wages that are less than a living wage.” In the case of Baltimore, the median 2016 earnings of an individual with a high school diploma (HSD) was \$28,396, while an individual with a Bachelor’s degree earned a median of \$50,450. Regardless educational attainment, a significant proportion of job seekers report other educational barriers to employment including low math skills (30%), difficulty with basic computer skills (28%), and literacy problems (14%).

Based on 2012 research from the University of Michigan Transportation Research Institute, 31.2% of households in Baltimore did not have a vehicle, a number that grew by 1.9% from 2007.<sup>18</sup> About a quarter of job seekers in the Baltimore region report lacking a driver’s license and only 9% of the jobs in the Baltimore Metropolitan Region can be accessed by a typical resident in 60 minutes or less through public transit. This data suggests that many Baltimoreans face significant barriers to accessing jobs. Even for those with cars, the number of jobs accessible by car within 20 minutes declined by 17.8% from 1990 – 2010.<sup>19</sup>

<sup>17</sup> Available at [http://skilledwork.org/wp-content/uploads/2017/08/170508\\_Barriers\\_to\\_Employment\\_Opportunity\\_in\\_the\\_Baltimore\\_Region-June\\_2014.pdf](http://skilledwork.org/wp-content/uploads/2017/08/170508_Barriers_to_Employment_Opportunity_in_the_Baltimore_Region-June_2014.pdf)

<sup>18</sup> Sivak, M. (2014). Has motorization in the U.S. peaked? University of Michigan Transportation Research Institute, Ann Arbor, Michigan.

<sup>19</sup> 2015 Transportation Report Card, Central Maryland Transportation Alliance. Accessible at <https://www.cmtalliance.org/2015/11/09/2015-transportation-report-card/>

Unemployment is especially high for both young adults and individuals with disabilities. Thirty percent (30%) of young adults ages 16-19 are out of work and 19% of 20-24 year olds are unemployed. Additionally, it is clear that among those who are disabled, those with cognitive and hearing difficulty have the highest rates of unemployment among the population as a whole with 12% and 11% respectively; however, among those still in the labor force, 41% of those with a cognitive disability and 33% of those with independent living difficulty are presently unemployed and seeking work.

A criminal background is another major challenge for a significant number of Baltimore residents. Between 8,000 and 10,000 people return to Baltimore each year from prison. One impediment is that certain professions and workplaces legally preclude individuals with a criminal background. Studies show that recidivism is strongly linked with employment.<sup>20</sup> About one-third of those convicted did not have a job at the time of arrest. Addressing the issue of a criminal background is critical for our residents and our city.

Based on a point in time count survey conducted by Journey Home, on any given night, about 3,000 people in Baltimore experience homelessness, totaling 30,000 each year. According to The Journey Home, the majority of these individuals are adults, with 9% of individuals being in a family of at least one child and adult.<sup>21</sup> Lack of consistent housing alone complicates the employment process; however, the multitude of employment barriers linked to homelessness, including physical and mental health issues, a criminal background, education level, and lack of reliable transportation, make it especially important that comprehensive supports are provided for individuals experiencing homelessness.

In Maryland, the number of youth in foster care has dropped significantly from 7,047 to 4,304 between 2009 to 2013. The rate of emancipation dropped from 26% to 18% for those exiting foster care in MD, with 462 youth aging out of foster care in 2013. A study in 2011, suggests about 20% of youth who age out of foster care did not earn a high school diploma by age 26, compared to 6% in the general population. Even more striking, only 4% of those who age out of foster care receive a 4-year college degree, compared to 36% in the general population.<sup>22</sup> Studies of foster youth in North Carolina show foster youth are less likely to be connected to the labor market by age 24 than other youth, even compared to demographically similar low-income youth.<sup>23</sup>

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<sup>20</sup> May 03, 2012. Don't leave ex-offenders out of Baltimore's 'next economy.' Baltimore Sun

<sup>21</sup> July 15, 2015. Baltimore City: 2015 Point-in-Time & Housing Inventory Count. The Journey Home. Accessible at <http://www.journeyhomebaltimore.org/wp-content/uploads/2016/02/2015-PIT-HIC-Results-Webinar.pdf>

<sup>22</sup> Courtney, M. E., Dworsky, A., Brown, A., Cary, C., Love, K., & Vorhies, V. (2011) . Midwest Evaluation of the Adult Functioning of Former Foster Youth. Chicago, Illinois: University of Chicago Chapin Hall.

<sup>23</sup> Macomber J., Cuccaro-Alamin S., Duncan D., Kuehn D., McDaniel M., Vericker T., Pergamit M., Needell B., Kum H., Stewart J., Lee C., Barth R. (2008). Coming of age: Employment outcomes for youth who age out of foster care through their middle twenties. Washington, D.C: Urban Institute.

## Employment by Age for Baltimore City

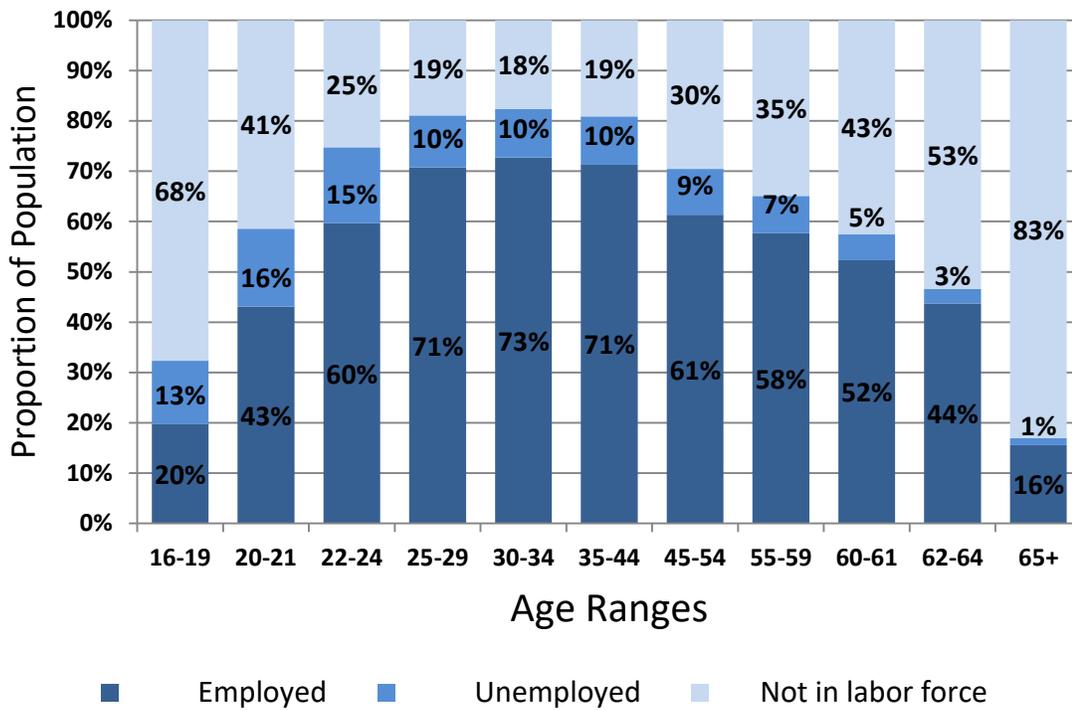


Chart 7: Employment status by age range, Census 2010-2014 5-year American Community Survey

## Employment Status by Disability for Baltimore City

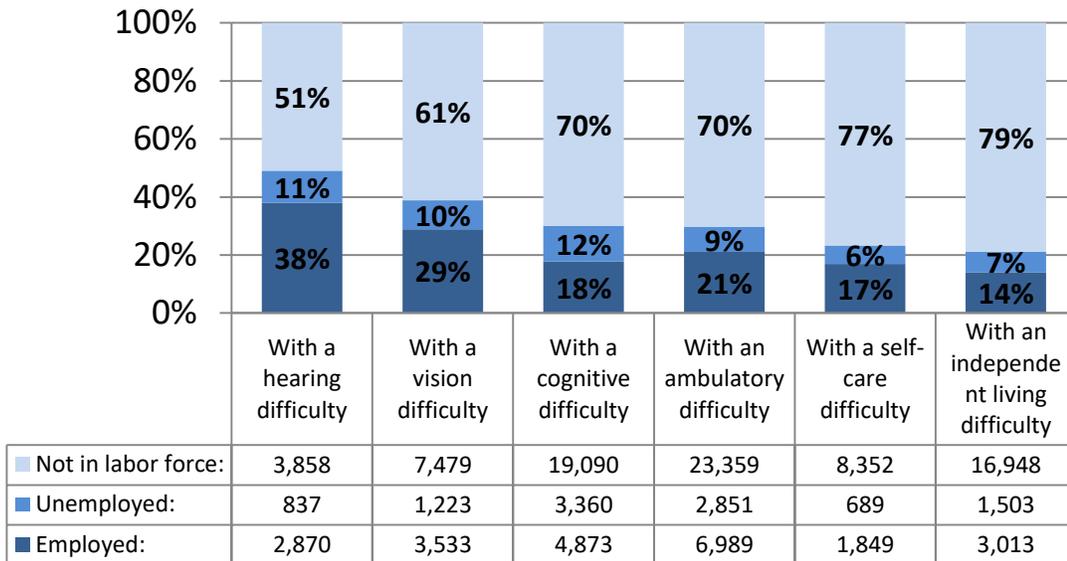
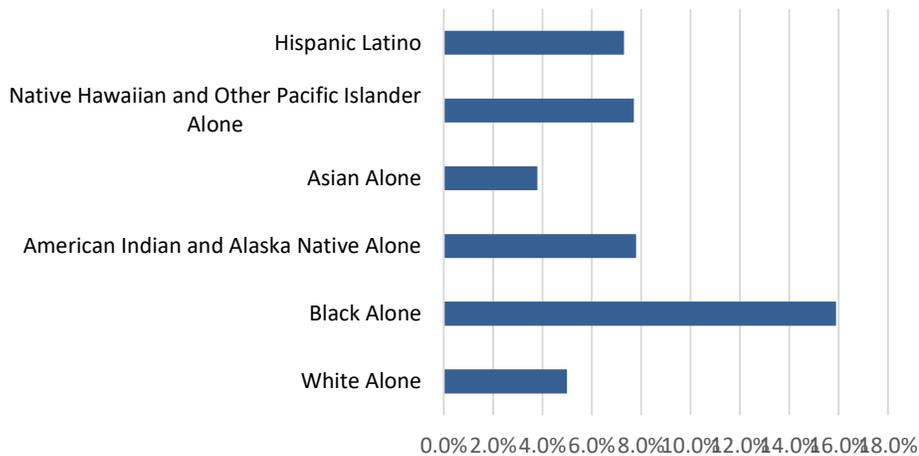


Chart 8: Employment Status by disability status, Census 2011-2013 3-Year American Community Survey

Based on 2016 American Community Survey, unemployment rates among the Black or African Americans are significantly higher than other races.

## Unemployment Rate for Baltimore City



While comprehensive statistics are not available to demonstrate this, many of these barriers occur concomitantly, requiring individuals to address multiple barriers simultaneously in order to attain and retain meaningful employment. For African Americans and other people of color structural racism is an additional barrier that is embedded in all aspects of employment and advancement. This structural impediment impacts the daily life of African Americans sometimes requiring behavioral adaptation for success in the workplace. These are some examples of structural racism and their impact:

- **Targeted enforcement of communities of color:** A 2013 study by the ACLU<sup>[1]</sup> showed differential enforcement of drug laws, causing the marijuana possession arrest rate for the black population in Baltimore to be 5.6 times that of whites between 2001 – 2010.
- **“Unconscious bias”:** Studies show that employers are less likely to hire and promote black employees compared to white employees, due to unconscious biases and perceptions of African Americans and the roles they play in the workplace. One study<sup>[2]</sup> found that, when applying to a job opening, a white applicant with a criminal record was more likely to receive a callback than a black applicant without one. These unconscious biases likely manifest themselves in opportunities all along an individual’s career pathway (from education, to training, hiring, and promotion), consequently multiplying the disparities that come with them.
- **Lack of adequate mentoring and networking:** A 2001 study<sup>[3]</sup> showed that black professionals who report having informal mentors at work have faster salary growth than those without. However, a disproportionate lack of professional connections and mentor supports in the workplace make it more difficult for black professionals to enter and rise in the workplace.

The Civil Rights Act of 1964 prohibited explicit discrimination from employers to employees based on race more than half a century ago but the reality is that race is still a strong determinate of success in the workplace. Even if explicit or unconscious racial bias from employers was not an issue, a race-blind

<sup>[1]</sup> The Maryland War on Marijuana in Black and White, ACLU

<sup>[2]</sup> The Mark of a Criminal Record, *American Journal of Sociology* (Pager, Volume 108 Number 5 (March 2003): pg. 937).

<sup>[3]</sup> Price M. Cobbs and Judith L. Turnock, *Cracking the Corporate Code: The Revealing Success Stories of 32 African American Executives* (2003).

approach to workforce development would still yield disparities in outcomes between whites and people of color, because the long history and present operations of structural racism have given whites a head start and a less onerous journey on the pathway to career success. Consequently, individuals and organizations serious about making the employment gap between races contract, have to adopt a conscious anti-racist approach to workforce development.

As the State of Maryland has committed to serving the following target populations, MOED will commit to serve the following groups:

<b>Target Populations: Individuals with Barriers to Employment</b>
Displaced Homemakers
Eligible migrant and seasonal farmworkers
Ex-offenders
Homeless individuals
Individuals facing substantial cultural barriers
Individuals with disabilities, including youth with disabilities
Individuals within two years of exhausting lifetime eligibility under Part A of the Social Security Act
Individuals who are English language learners
Individuals who are unemployed, including the long-term unemployed
Individuals who have low levels of literacy
Individuals without a High School Diploma
Low income individuals (including TANF and SNAP recipients)
Native Americans, Alaskan Natives, and Native Hawaiians
Older individuals
Single parents (including single pregnant women and non-custodial parents)
Veterans
Youth who are in or have aged out of the foster care system

(C) An analysis of the workforce development activities (including education and training) in the Local Area, including an analysis of the strengths and weaknesses of such services, and the capacity to provide such services, to address the identified education and skill needs of the workforce and the employment needs of employers in the Local Area.

The list below includes all major colleges and universities:

<b>Colleges and Universities - Baltimore City</b>	
<b>Baltimore City Community College</b>	<b>Ner Israel Rabbinical College</b>
<b>Binah Institute of Advanced Judaic Studies for Women</b>	<b>Notre Dame of Maryland University</b>
<b>Community College of Baltimore County</b>	<b>St. Mary's Seminary and University</b>
<b>Coppin State University</b>	<b>Talmudical Academy of Baltimore</b>
<b>Goucher College</b>	<b>TESST College of Technology (Baltimore Campus)</b>
<b>Johns Hopkins University</b>	<b>University of Baltimore</b>
<b>Loyola University Maryland</b>	<b>University of Maryland, Baltimore</b>
<b>Maryland Institute College of Art</b>	<b>University of Maryland, Baltimore County</b>
<b>Morgan State University</b>	<b>Women's Institute of Torah Seminary</b>

Table 9: Colleges in Baltimore City, from MHEC-approved list

Baltimore is fortunate to have a strong network of occupational training providers. The list below includes a sample of those providers. (based on the Opportunity Collaborative’s Talent Development Pipeline Report):

<b>Occupational Training Providers - Baltimore City</b>	
<b>All-State Career</b>	<b>GlobalTech Bilingual Institute</b>
<b>All-State Career Center</b>	<b>Goodwill Industries of the Chesapeake, Inc.</b>
<b>America Works of Maryland, Inc.</b>	<b>GROUP Ministries Baltimore, CDC</b>
<b>Avara's Academy of Hair Design</b>	<b>Health Focus, Inc.</b>
<b>Baltimore City Community College</b>	<b>Holistic Massage Training Institute</b>
<b>Baltimore City Joint Apprenticeship Program</b>	<b>International Academy of Hair Design &amp; Technology</b>
<b>Baltimore Educational Enrichment</b>	<b>IT Works Learning Center - Manor Care</b>
<b>Baltimore School of Dog Grooming</b>	<b>IT Works Learning Center, Inc.</b>
<b>Baltimore Studio of Hair Design</b>	<b>Job Opportunities Task Force</b>
<b>BEAT - Beauty Expert Artistry Training</b>	<b>Johns Hopkins Hospital Schools of Medical Imaging (The)</b>
<b>BioTechnical Institute of Maryland, Inc.</b>	<b>Keller Professional Services, Inc.</b>
<b>Business Interface, LLC</b>	<b>LVI Power, LLC</b>
<b>Caroline Center</b>	
<b>Center for Urban Families</b>	<b>Mid-Atlantic Carpenters' Training Centers - Baltimore</b>
<b>Civic Works, Inc.</b>	<b>Moveable Feast</b>
<b>Digit All Systems, Inc.</b>	<b>St. Vincent de Paul of Baltimore, Inc./St. Ambrose Center.</b>
<b>GBMC School of Radiography</b>	<b>Urban Alliance</b>

Table 10: Occupational training providers in the Baltimore region, taken from Opportunity Collaborative, Train Baltimore website, and MHEC approved list

Based on the report, the following describes the capacity of these programs to supply graduates for future hiring demand in mid-skill jobs<sup>24</sup>:

- **Biosciences** – There will be a hiring demand of approximately 6,000 bioscience jobs that require less than a Bachelor’s degree between 2012 and 2020. With a current output of 800 graduates a year, these programs provide a sufficient supply to satisfy new demand for workers in the bioscience sector.
- **Business Services** – There will be an approximate hiring demand of 26,800 business services jobs that require less than a Bachelor’s degree between 2012 and 2020. With a current output of 3,000 graduates a year, these programs provide a sufficient supply to satisfy new demand for workers in the business services sector.

<sup>24</sup> The report did not distinguish local training programs that focus on two industry sectors identified by the Board- Port and Port-related Services and Sustainable Energy and Environmentally-Driven Services.

- **Construction** – There will be an approximate hiring demand of 11,000 construction jobs that require some level of on-the-job or apprenticeship training between 2012 and 2020. Since the construction industry is not adequately described through typical educational statistics, given that apprenticeships are the main suppliers of trained construction workers, it is hard to predict whether supply will meet demand; however, there were about 1,100 graduates from 65 educational programs in construction in the region and there are several Career Pathways initiatives, including the Maryland Center for Construction Education and Innovation at Towson and the MD DLLR/DOT BuildUp Program.
- **Healthcare** – There will be an approximate hiring demand of 38,000 healthcare jobs that require less than a Bachelor’s degree between 2012 and 2020. With a current output of 6,000 graduates a year, these programs provide a sufficient supply to satisfy new demand for workers in the healthcare sector.
- **Hospitality and Tourism** – Since over 97% of the 40,000 job openings expected between 2012 and 2020 will only require a high school diploma or lower, only about 1,000 jobs will require workers with any postsecondary education. While this sector does not require post-secondary credentials, there will be about 5,000 workers trained through these programs from 2012 to 2020. This may provide opportunities to up-skill the labor force in areas related to customer service, food safety, and public health.
- **Information Technology** – Demand in the IT sector is greatest for individuals with a high school diploma or a Bachelor’s degree, giving estimated annual online job vacancies of 12,684 and 9,623 respectively. With only 60 graduates from non-college/university affiliated training programs, there may be a vacuum for trainees needed to satisfy job demand for low-skilled positions in the IT field.

MOED in partnership with the BWDB have identified the following training strategies in addition to Individual Training Accounts, Customized Training, On-the-Job Training and Incumbent Worker methods:

### **Training Strategies**

The majority of traditional education options are ill-equipped to address the multiple barriers residents face in gaining skills and credentials that lead to better paying jobs. MOED is committed to utilizing training strategies that consider the needs of its trainees. The agency utilizes a sector-based workforce strategy that includes basic skills preparation, and barrier removal strategies that meet job seekers where they are and improve their ability to succeed. Below are examples of promising practices:

### **Career Pathway Models:**

- **Integrated Basic Education Skills and Training**  
Baltimore City was one of nine workforce investment areas that participated in a four year pilot project incorporating basic education skills with technical training in the health, warehouse and logistics, and manufacturing sectors. This model combines basic education with job skills training focused on specific occupations or sectors. This reduces the amount of time spent acquiring in-demand skills; supports career navigation and coaching that helps mitigate the impact of barriers and focuses students on the end goal of preparing for and acquiring jobs using their newly honed skills.
- **One Baltimore for Jobs (1B4J)**  
Baltimore City was awarded a youth demonstration grant from the Department of Labor (DOL) as a result of the civil unrest that occurred in the city in April 2015. The 1B4J model coupled occupational skills training in the growth sectors identified above, with adult education and supportive services in areas that include legal, mental health, and child support mitigation. It was a model that built on the existing workforce development system and demonstrated that a model that combined hard-skills training with more intensive support can not only result in strong employment outcomes but other

benefits for residents and their communities. 1B4J exceeded every outcome target provided by DOL. In fact, Baltimore's initiative served more residents and exceeded its targets by a greater margin than any of the other 6 DOL grantees. In other words, 1b4J served more residents and served them better.

## Sector Strategies

- **Bridge to Careers (B2C)**

B2C is a promising practice that is focused on returning citizens who are unemployed, lack essential and occupational skills, are unfamiliar with labor market conditions and opportunities and have significant barriers to employment. Initiated in the Northwest Career Center (NWCC) One-Stop Career Center, B2C provides intensive case management services to a cohort of individuals in a four-phased process that involves a comprehensive orientation to specific industries, courses in computer literacy, academic enhancement and employment readiness, referrals to occupational skills training and connection to employment and post placement services. B2C prepares low skilled job seekers for career pathways in select high growth industries including, but not limited to, construction trades, manufacturing, transportation and logistics/warehousing.

- **Apprenticeship**

In 2016, the Mayor's Office of Employment Development convened an Executive Roundtable of business leaders with workforce needs in the energy sector. Over the course of two years, a non-joint Registered Industrial Maintenance Mechanic Apprenticeship Program was created as a competency-based model sponsored by the Baltimore City Community College. In next two years, the BWDB and its Business Engagement Committee intends to convene key business stakeholders and education providers to explore new apprenticeship models in other sectors such as, Information Technology and other emerging occupations as the demands are identified. The board will also seek to identify platforms to promote existing apprenticeship programs to attract the future workforce

## Strengths and Weaknesses

Business growth in the region creates employment opportunities in industries that provide a living wage and do not require a four-year degree. The Baltimore Talent Pipeline Study estimates that 43% or more of new jobs created in the six industries projected for growth in the region (Health Care, Business Services, Construction, Information Technology, Manufacturing and Transportation/Warehousing) will require less than a bachelor's degree. However, these opportunities require a workforce that has specific occupational skills. Though Baltimore has approximately 42 certificate programs that will train jobseekers for in-demand careers in the categories listed above – most of those programs focus on only three of the six industries. Employers in the Business Services, Manufacturing and Transportation/Logistics sectors have few options for recruiting skilled candidates. At the same time, to successfully participate in occupational skills training, jobseekers must possess certain basic skills (i.e. math and reading). While 19% of the adult population (25 and up) does not have a high school diploma, that number is even higher for African Americans and Latinos. Approximately 22% of African Americans and 39% of Hispanics do not have a high school diploma<sup>25</sup>. Strong adult basic education (ABE) programs are therefore critical to bridging the basic skills gap and helping these job seekers access training. Baltimore City has several ABE providers that will need to coordinate their services with skills training providers in order to support job seekers to overcome this barrier.

Based on the Maryland Apprenticeship Locator, the number of apprenticeship opportunities has significantly increased over the past two years with more than 90 opportunities delivered by over 50

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<sup>25</sup> [https://prosperitynow.org/files/resources/Racial\\_Wealth\\_Divide\\_in\\_Baltimore\\_RWDI.pdf](https://prosperitynow.org/files/resources/Racial_Wealth_Divide_in_Baltimore_RWDI.pdf)

companies/ trainers in the high demand areas of Health Care, Business Services, Construction, Information Technology, Manufacturing and Transportation/Warehousing within the Baltimore region.

Baltimore City (along with the State and Federal Governments) is also committed to serving residents with significant challenges to employment such as transportation and limited education. Recent data suggests that unemployed residents in the city also tend to be younger, have a higher rate of disability, criminal background and homelessness. The support services needed to help residents overcome these barriers are not typically offered through occupational skills training providers. This requires additional coordination to connect jobseekers with those supports.

Finally, in addition to occupational skills, businesses provided a list of “soft” skills that are equally important requirements for employment. Customer service, problem solving, interpersonal skills, time management and critical thinking skills are just some of the skills identified. More work is needed to ensure that “soft skills” are more blended into programs that provide occupational training.

The Board will need to engage stakeholders in the workforce development system – including ABE, occupational skills training, and support service providers to coordinate their efforts to build on the strengths and areas of opportunity listed below:

#### Strengths to Build On

- 43% or more of future jobs can provide a living wage and do not require a bachelor’s degree. Short-term training programs that result in a credential can help fill the skills gap and prepare workers for careers in targeted industries.
- There is a small network of ABE providers in the city that can help address the basic skills gap. We need to continue to develop strategies that help jobseekers matriculate through basic education programs more quickly and to better connect with occupational skills training.
- More occupational skills training providers are beginning to offer soft skills training in conjunction with hard skills training. This practice can be adopted more broadly within the network of training providers to meet employer needs.

#### Areas of Opportunity

- Continue to develop more apprenticeship programs in Business Services, Manufacturing and Transportation/Logistics
- Build from the best practice of 1B4J that supported a stronger, more connected workforce system that made it easier for residents to access the occupational training and support services they need.

(D) A description of the Local Board’s strategic vision and goals for preparing an educated and skilled workforce (including youth and individuals with barriers to employment), including goals relating to the *Benchmarks of Success*, and the performance accountability measures based on primary indicators of performance described in Section 116(b)(2)(A) of WIOA in order to support Local Area economic growth and economic self-sufficiency.

The Baltimore Workforce Development Board “envisions a city where every person maximizes his or her full employment potential and all employers have the human resources to grow and prosper – a workforce system that works.”

In order to achieve this vision, it has adopted the mission:

*“In collaboration with all key stakeholders, most importantly business, will build a workforce development system that drives and supports the local economy.”*

Operating under the guidance of Mayor Catherine Pugh, the board's goals fit within three (3) of the six (6) Mayoral Initiatives for growing a better, safer, and stronger Baltimore.

### **Thriving Youth and Families**

An educated workforce is a thriving workforce. To build a strong system, it is important that our youth matriculating from schools with diplomas and post-secondary credentials are able to transition into meaningful full time work paying a high wage. In addition, it is essential that we don't leave behind those who have dropped or aged out of the public school system, by creating pathways to adult education that are strongly linked to employment.

In order to do this, the BWDB will *more fully integrate adult basic education into Baltimore's workforce development system* including the following:

- Create and disseminate career maps for targeted high growth industries
- Identify resources for numeracy and literacy enhancements for graduates and non-graduates
- Conduct an online survey to determine where programs exist that offer workforce development services and literacy skills upgrades with or without a high school diploma

**Relevant WIOA Performance Measures:** Measurable Skills Gains (Youth and Adults/ Dislocated Workers)

**Relevant Strategic Goals of the Benchmarks of Success:** 1 and 2

### **Vibrant Economy**

A prosperous economy requires a responsive workforce system. The BWDB works to ensure that Baltimore workers have the skills needed by Baltimore City's employers. It also makes employers aware of and able to access the multitude of resources available to assist in their efforts to secure a skilled workforce.

One key strategy utilized to accomplish this objective is to host sector-based information sessions in which industry leaders learn of the services available and workforce officials gain a better understanding of the current needs within the sector.

In addition, other opportunities to *promote and expand career pathways in the high growth industries and work based learning opportunities* include:

- Engage Baltimore City Public Schools Career and Technology Education (CTE) to update the Youth Committee about available career pathways for in-school youth.
- Explore how youth are exposed to career pathways that are not connected to a CTE program.
- Identify viable career pathways for adults, out of school youth, including both high school graduates and non-graduates
- Connect with the growing opportunities for apprenticeships within the Baltimore region.

**Relevant WIOA Performance Measures:** Indicator of effectiveness in serving employers (Employer), Earnings after entry into unsubsidized employment (Youth and Adult/ Dislocated Workers), and Credential rate (Youth and Adult/ Dislocated Workers).

**Relevant Strategic Goals of the Benchmarks of Success:** 1 and 2

## High Performing Government

If Baltimore hopes to become an exemplary model of the 21<sup>st</sup> century city, it needs a workforce system that generates and advances new ideas. It must be responsive to the needs of the city’s businesses, non-profits, and, most importantly, its residents.

Presently, the BWDB is working to *create an environmental scan that maps all of Baltimore’s workforce training programs (public, private, nonprofit)* as well as key services that address the more prominent barriers to training and employment. It is working with business and non-profit stakeholders to develop protocols for collecting, summarizing, and sharing quantitative and qualitative impacts of occupational skills training (OST) providers.

**Relevant WIOA Performance Measures:** Entry into unsubsidized employment (Adult/ Dislocated Workers), Median Earnings (Adult/ Dislocated Workers), credential rate (Youth, Adults and Dislocated Workers)

**Relevant Strategic Goals of the Benchmarks of Success:** 3 and 4

WIOA Performance Metrics	Agreed Upon Goals PY2018/ PY2019
<b>Adult Measures</b>	
<i>Employment Rate 2<sup>nd</sup> Quarter after exit</i>	75.0%
<i>Employment Rate 4th Quarter after exit</i>	71.0%
<i>Median Earnings 2<sup>nd</sup> Quarter after exit</i>	\$4,500
<i>Credential Attainment within 4 Quarters after exit</i>	58.0%
<b>Dislocated Worker</b>	
<i>Employment Rate 2<sup>nd</sup> Quarter after exit</i>	<b>78%</b>
<i>Employment Rate 4th Quarter after exit</i>	<b>75%</b>
<i>Median Earnings 2<sup>nd</sup> Quarter after exit</i>	\$6,500
<i>Credential Attainment within 4 Quarters after exit</i>	56.0%
<b>Youth Measures</b>	
<i>Employment or Placement Rate 2<sup>nd</sup> Quarter after exit</i>	67.0%
<i>Employment or Placement Rate 4th Quarter after exit</i>	61.0%
<i>Credential Attainment within 4 Quarters after exit</i>	65.0%
<b>Wagner-Peyser Measures</b>	
<i>Employment Rate 2<sup>nd</sup> Quarter after exit</i>	58.0%
<i>Employment Rate 4th Quarter after exit</i>	57.0%
<i>Median Earnings 2<sup>nd</sup> Quarter after exit</i>	\$4,500

Negotiated levels for Measurable Skills Gains and Indicators of Effectiveness in Serving Employers are forthcoming. The measures for employers will be Employer Penetration Rate, Repeat Business Customers, and/ or Jobseekers Retained with Same Employer in the 2nd and 4th Quarters After Exit. (reference TEGL 10-16: Performance Accountability Guidance for Workforce Innovation and Opportunity Act (WIOA) Title I, Title II, Title III, and Title IV Core Programs)

### Section 3 – Strategic Planning to Strengthen the Local Workforce System

The fifth Strategic Goal of the *Benchmarks of Success* is to strengthen and enhance the effectiveness and efficiency of Maryland’s workforce system.

(A) Baltimore’s strategy to carry out the core programs to align resources available to achieve the state’s strategic vision and goals.

The analysis described in Section 2 above illustrate the challenges and opportunities that lay ahead. Clearly, the city is most likely to achieve its goal of developing a skilled workforce to meet the needs of area employers by strengthening the workforce system. This must include a broader set of more deeply engaged public agencies as well as educational institutions and community-based nonprofits. To align available resources in the local area, MOED has had initial meetings with the WIOA core partners (DLLR, Vocational Rehabilitation, Education, and TANF) and is in the process of developing/ restructuring the relationships with each partner organization. Our goal is to not only to create a stronger partnership between MOED and each organization but also to build a network of service delivery providers that truly and seamlessly meets the needs of area residents.

(B) This section describes the workforce development system and identifies the programs that are included in that system and how the BWDB will work with the entities to carry out programs identified in the State Plan.

The table below describes the programs and services offered in the AJC. The MOED and workforce partners have developed “Matrixes” that outline the scope of services provided by each partner. Partners utilize these tools to create a common intake process and orientation session to promote coordination and increase integration. The Partners have also created a referral instrument and customer consent to release form to streamline the referral process to program(s) and increase our ability to track the outcome of referrals.

#### Baltimore City Partners and Services

	Entity	Services
Title I – Workforce Development Activities	Adult: Delivered by the Mayor’s Office of Employment Development	Determine eligibility for career and individualized services, referral to occupational skills training and job placement assistance.
	Dislocated Worker: Delivered by the Mayor’s Office of Employment Development	Determine eligibility for career and individualized services, referral to occupational skills training and job placement assistance.

	<p>Youth: Administered by the Mayor’s Office of Employment Development</p>	<p>Under WIOA the Youth Council is not mandated but due to the success and high level of participation of youth service providers, school system, non-profits, local and state agencies, and business it was decided to keep the Youth Council with the new name Youth Committee.</p>
	<p>Job Corps: Maryland Job Corps Adams and Associates, Inc.- Career Transition Services</p> <p>American Business Corporation (ABC) – Admission Services</p>	<p>A Job Corps admissions representative holds scheduled hours at the Eastside One Stop Career to provide outreach, intake and orientation sessions for interested candidates. A Job Corps transition specialist provides job search assistance at the Eastside One-Stop Career Center.</p>
<p>Title II –Adult Education and Literacy</p>	<p>Baltimore City Community College</p>	<p>Provide assistance in establishing eligibility for programs with financial aid assistance for training and education programs provided under WIOA.</p> <p>Conduct diagnostic testing and use of other assessment tools; CASAS. Facilitate GED and ABE classes in the One-Stops</p> <p>Perform assessments and instructional services in basic skills and/ or English language skills for language acquisition. Provide integrated education and training programs including ABE/ESL</p> <p>Provide occupational skills training for in-demand jobs.</p>

	South Baltimore Learning Center	<p>Assessments, advising, and instructional services in adult basic and secondary skills and/or English language skills</p> <p>Cross referral of learners for skills upgrades</p> <p>Workforce Literacy Classes</p>
	Strong City Baltimore	<p>Assessments, advising, and instructional services in adult basic and secondary skills and/or English language skills</p> <p>Cross referral of learners for skills upgrades</p>
Title III- Wagner-Peyser	State of Maryland, Department of Labor, Licensing and Regulation	Wagner-Peyser staff are located full-time at each One-Stop location to provide outreach, intake, orientation sessions, and job readiness sessions.
Title IV- Vocational Rehabilitation Services	Maryland State Department of Education, Division of Rehabilitation Services	<p>DORS counselor is assigned to Baltimore City One-Stops for referral to DORS Services if requesting any of the following:</p> <ul style="list-style-type: none"> <li>• an accommodation needed to access the AJC, or</li> <li>• indicates a need for multiple or extensive vocational services, or</li> <li>• Customer receives SSI or SSDI benefits as a result of a disabling condition (qualifies for Ticket to Work).</li> <li>• Is interested in specialize vocational rehabilitation services to assist with employment.</li> </ul>

<p>Senior Community Service Employment Program</p>	<p>State of Maryland, DLLR</p>	<p>Senior Aide Workers are located at some One-Stop sites. Outreach, intake and orientation to One-Stop services for older workers are the shared responsibility of all partners. All Staff are trained on the workforce development services available through the Older American's Act. Detailed descriptions are available at One-Stops at all partner sites.</p>
	<p>Senior Service America, Inc. (national grantee)</p>	<p>Provides Senior Aide Workers at some One-Stop Sites. Outreach, intake and orientation to One-Stop services for older workers are the shared responsibility of all partners. All Staff are trained on the workforce development services available through the Older American's Act. Detailed descriptions are available at One-Stops and all partner sites.</p>
<p>Temporary Assistance to Needy Families</p>	<p>State of Maryland, Baltimore City Department of Social Services</p>	<p>Through a partnership with MOED, BCDSS provides workforce development services to TANF recipients. Collaboration and integration of workforce development services aims to increase participation of TANF recipients in WIOA services.</p>
<p>Vocational Education Activities under Carl D. Perkins Career and Technical Act of 2006</p>	<p>Baltimore City Community College</p>	<p>Career and Technology Education (CTE) prepares both youth and adults for a wide range of careers. The careers require varying levels of education- from high school and postsecondary certificates, to apprenticeships. Students add value to their overall education by completing CTE</p>

		programs of study that provide opportunities to earn industry-recognized credentials.
Trade Adjustment Assistance	State of Maryland Department of Labor, Licensing and Regulation	WIOA DW program, provide some services to TAA participants along with WP staff, supervised by DLLR supervisors, typically have primary responsibility for the program at the one-stop and assist TAA participants with completing and submitting all paperwork required to receive training. They also assist participants with completing some requirements associated with their receiving Trade Readjustment Allowances (TRA), such as applying for and renewing waivers.
Veterans	State of Maryland Department of Labor, Licensing and Regulation - JVSG	Veteran staff located at each of the One-Stops to provide services to veterans.
Community Service Block Grant	City of Baltimore Housing and Community Development, The Mayor's Office of Human Services, Community Action Centers	At a minimum, detailed service descriptions for each of the Community Action Centers (CAC) are available at the One-Stop locations. Information on the services available through the One-Stops is available at the CACs.
Housing and Community Development	City of Baltimore Housing and Community Development, Housing Authority of Baltimore City	Services are provided through direct referral to the One-Stops. Participants of the People Accessing Continued Employment Program (P.A.C.E) will also receive skill assessment and career counseling; resume creation; interviewing techniques; employment retention services; and support services through the Housing Authority of Baltimore City.

Unemployment Compensation	State of Maryland, Department of Labor, Licensing and Regulation	Working in conjunction with the UI Division, DLLR Workforce staff requires that UI claimants attend re-employment workshops. Attendance records are shared with UI, who can assure that claimant status reflects lack of participation. Through information shared at these workshops, DLLR staff also ensures that claimants are connected to additional individualized and training services. Also, DLLR staff attend the center's staff meetings to stay apprised of upcoming opportunities and events.
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(C) This section describes how MOED on behalf of the BWDB will work with the entities identified in Section B to expand access to employment, training, education, and supportive services for eligible individuals, particularly eligible individuals with barriers to employment.<sup>26</sup> It includes how the Local Board will facilitate the development of career pathways and co-enrollment, as appropriate, and improve access to activities leading to a recognized postsecondary credential (including a credential that is an industry-recognized certificate or certification, portable, and stackable).

The board and MOED seek to deliver job-driven, effective training and align employment services to meet the needs of employers, and job seekers, especially those with barriers to employment. The intent of the board and MOED is to reach the untapped talent to increase the number of job seekers served and quality of services, particularly supportive services, provided through an integrated approach to service delivery. This process will need to be flexible and adaptable to the varying needs of the city's job seekers. The approach will include a deep dive into neighborhood and community engagement, diverse business outreach specifically for small and medium size businesses, start-ups, as well as professional organizations and chambers.

Through its role as leader and convener, the BWDB will engage its members to identify workforce needs and the gaps in services that affect target populations, as well as to direct continuous quality improvements in the workforce system.

The Opportunity Collaborative as well as the Baltimore Regional Talent Development Pipeline study identified high growth industries in Baltimore. This information was shared with the BWDB Youth Committee as well as WIA/WIOA Service Providers. The BWDB YC subcommittees reviewed the information to determine how it could be shared on a larger scale with youth/young adults. Each WIOA Provider that offers an occupational skills training experience that leads to an industry recognized credential must make sure it aligns with one of the high growth industries. The Youth Committee members

<sup>26</sup> See, Targeted Populations: Individuals with Barriers to Employment found on pages 63-65 of the State Workforce Plan. The Plan can be accessed at: <http://www.dllr.maryland.gov/wdplan/wdstateplan.pdf>.

are encouraged to have their participants familiarize themselves with the MOED Career Center services, part of Maryland’s America Job Centers system.

The Baltimore Workforce Development Board (BWDB) sets the strategic direction for the programs and initiatives of the Mayor’s Office of Employment Development (MOED), and the City’s public workforce development system. The Board is a strong advocate for building robust pipelines of qualified workers, increasing the competitive advantage of the City’s business community and strengthening the local economy as a result.

MOED is an open-access system that seeks to serve all Baltimore City residents. The MOED has aligned its policies to reach those most in need – the unemployed, underemployed job seekers in need of adult literacy and job readiness training, people with barriers to employment, and youth preparing to enter the world of work. By advancing a legislative agenda at the local, state and federal level that addresses the City’s most pressing workforce issues, the BWDB aims to build a pipeline of workers qualified with the skills local employers need to thrive in a competitive global economy.

Through joint planning meetings the MOED and One-Stop partners developed a “Service Matrix” that outlines the responsibilities of each partner. These tools are used to coordinate and align workforce and educational activities to increase referrals to programs. The intent is also to utilize these tools to design a robust customer orientation session that will inform customers about all programs and services. A “common intake” process is being developed to promote integration and enhance the triage process. Further, a referral mechanism and customer consent form was created to help streamline the referral process and increase our ability to track outcomes of referrals. In future planning meetings the workforce partners will continue its work to align data collection processes and methods for exchanging information about common customers.

The table listed below is an example of a Services Matrix to align partner services.

	WIOA- WAWMD	WIOA Youth	Wagner <del>Revsel</del>	Unemployment	DORS	DLLR/veterans	TANF	ROW/RESEA	Job Corps Admissions	Job Corps-CTS	Second Chance	Adult ED BCCC	Strong City	<del>S. Baltimore</del> Learning	Older Workers	CAP
Basic Services	Screening Eligibility	✓	✓	✓	✓x		✓x				✓	x	x	x	✓	x
	Outreach/Intake Orientation	✓x	✓		✓x				✓		✓	x		x	✓	
	Informal Initial Assessment	✓	✓	✓	✓x		✓x		✓	✓x	✓	x	x	x	✓	
	Labor Exchange Services/LMI	✓	✓	✓						✓x	✓					
	Referrals to programs	✓	✓	✓	✓x		✓x		✓	✓x	✓	x	x	x	✓	x
	Performance, Cost Information Training Info	✓		✓	✓						✓	x				
	UI Information and Assistance	✓		✓	☎											
	Financial Aid Information	✓	✓	✓	✓x					✓x		x		x		x
	Disability Services	✓			✓x					✓x		x			✓	
	ESOL											x	x			
	Job Fairs	✓	✓	✓				x		✓x	✓			x	✓	
	Recruitment	✓	✓	✓	✓x				✓		✓	x	x	x	✓	
	Outreach	x	✓	✓					x		✓	x	x	x	x	x
	Employer Information	✓	✓	✓	✓x					✓x	✓			x	✓	x

✓ AJC/One Stop – On-site      x Off-Site at Vendor/Partner      ☎ Telephone/Email      E Online/Electronic

The table below -One Stop Career Center Services- identifies the services and delivery of service days/hours for all partners located at each location and is a tool shared among staff only to assist in the referral process.

**One Stop – Career Center Services  
For Staff Use Only**

	Service/Who Benefits	Description	Schedule/One Stop	Point of Contact
1	Career Lab Maryland Workforce Exchange (MWE/ All Job Seekers	Basic Career Services – Provide labor exchange services and information through including accurate information about local, regional, and national labor market areas, job vacancy listings; information on job skills necessary to obtain the vacant jobs listed; job search placement assistance; refer and coordinate supportive services and other workforce programs	Daily 8:30 – 4:30 ESCC, NWCC	Cynthia McClain, ESCC - (410) 396-9030 Lachelle Hill, NWCC - (410) 396-7873
2	Orientation All Job Seekers	Job seekers learn about services provided and partner agencies in the Baltimore City American Job Centers. The orientation will provide a number of resources which include information on how to access employment and training opportunities	ESCC - One-on-One, Mon - Thurs 8:30 to 12:00 NWCC – Daily 8:30 – 1:00 PM Individual Group Orientation Every Mon & Wed @ 9:30a	Shatia Dailey, ESCC – (410) 396-9030 Kevin Johnson, NWCC – (410) 396-7873 Walk-Ins - All Greeters/Customer Service Staff
3	Job Search Tools/Resources All Job Seekers	Use phone, fax, copier and resource library materials to conduct job search	Daily 8:30 – 4:30 ESCC, NWCC	All Greeters/Customer Service Staff
4	High Tech Lab All Job Seekers	Daily access to self-service computers for internet access and job search	Daily 8:30 – 4:30	Self Service
5	Business Services Employer Referrals & Placement Assistance Employer Services All Job Seekers Employers	<ol style="list-style-type: none"> <li>1. One-on One sessions with Business Services Representative (BSR)</li> <li>2. Job Club</li> <li>3. Employer Specific recruitments</li> <li>4. Employer Services</li> </ol>	<ol style="list-style-type: none"> <li>1. Tuesday &amp; Thursday - 9:00 – 12:00- NWCC Monday's &amp; Thursday 9:00 – 1:00 ESCC Tuesday's &amp; Wednesday's 1:00 – 3:30 ESCC</li> <li>2. Wednesday's 11:00 - 1:00 - NWCC</li> <li>3. As scheduled with BSR staff</li> <li>4. As scheduled by Business Services Representative</li> </ol>	Bill Carnes/Lisa Nash, NWCC - (410) 396-7873 Catonya Lester/Diedre Moore-Durant, ESCC - (410) 396-9030

A sample of the “Training Matrix” is listed below. This is a tool to assist partners with information about training providers and eligibility criteria.

**Baltimore City WIOA Partners  
TRAINING AVAILABILITY MATRIX/QUICK REFERENCE GUIDE**

General Training Requirement: **Most** training programs require participants to have a high school diploma (HSD) or general education diploma (GED)

TRAINING TYPE	TRAINING PROFILE	STAFF CONTACT	ELIGIBILITY CRITERIA	APPLICATION PROCESS	COSTS/ CAPS	CURRENT OFFERINGS
Individual Training Account (ITA)	Under WIOA, training services for adults and dislocated workers are provided through ITAs. All training must be MHEC approved and under contract with MOED.	Contact the closest Career Center Network location:  Eastside Career Center 3001 E. Madison St. 410-396-9030  Northwest Career Center Mondawmin Mall 410-396-7873  Employment Connections Center 1410 Bush Street 410-396-1052	Open to Baltimore City residents approved through the WIOA standard enrollment process	ITA application process with technical assistance provided by case manager: <ul style="list-style-type: none"> <li>- Customers must register at a One Stop center and attend orientation.</li> <li>- Customers must bring in required eligibility documents.</li> <li>- Customers are assigned a case manager who personally guides them through the application process.</li> <li>- Customers must complete the Pell Grant Application</li> <li>- Staff must ensure that customers understand that the approval process takes 45 – 60 days.</li> <li>- Applications are approved by supervisors and signed off on by managers.</li> </ul>	No cost to participants/ WIOA funds are capped at \$3,000 per customer. Training costs in excess of \$3,000 are incurred by the customer	The following vendors are approved*: <ul style="list-style-type: none"> <li>o Allstate</li> <li>o BCCC</li> <li>o MCAT</li> <li>o Towson U.</li> <li>o JARC</li> <li>o CCBC</li> <li>o TranZed</li> <li>o GRACE</li> </ul> <p>*See <a href="#">Maryland Eligible Training Provider List - WIOA</a> for WIOA Occupational Training Programs complete course listings.</p>

(D) The steps the Local Board will take to engage entities identified in B to formulate its Local Plan.

Baltimore's Local Board includes a representative of each of the entities described in Section B above. WIOA implementation has been a major topic of discussion at nearly every board and committee meeting. MOED, on behalf of the BWDB, hosted a partner's kick off meeting in the fall of 2015 to more formally discuss changes in law resulting from WIOA and opportunities for stronger partnership that resulted. In the spring of 2016, MOED hosted individual discussions with each of the core partners to discuss integration and alignment of services for Baltimore City residents. The full board reviewed a draft of the local plan in June, 2016. Comments/ feedback were incorporated into the plan prior to the public comment period. At the September 2016 board meeting, a final draft was shared with the board for final approval and signature.

The revisions made to the plan in January 2019 will be shared with the Board and a 30-day comment period will occur in mid-January to mid-February 2019. All comments and feedback will be incorporated into the final version of the updated plan.

(E) The strategies and services to be used —

- To facilitate engagement of businesses, including small businesses and businesses in in-demand industry sectors and occupations, in workforce development programs;

The MOED Business services team targets businesses in Baltimore's 8 high growth industries and meets with the company executives and Human Resource staff to determine workforce challenges and solutions that can meet employers need and benefit city residents. These workforce solutions include strategies that enable employers to develop training for targeted new hires that is customized specifically for the occupation. Business services team members encourage and incentivize customized training/on-the job training by offering employers a reimbursement of training funds using WIOA and other funding resources. In accordance with WIOA guidelines, reimbursements can range between 50% and 90% of the total training costs. Employers that participate in the customized training and OJT strategies must make an up-front commitment to hire trainees who successfully complete the training. As funding allows, MOED will also assist businesses to train incumbent workers using a similar strategy of customized training for the employee based upon the current occupation, career path and resulting economic impact for the company.

Understanding the role that small businesses play in economic development and job opportunity, MOED uses a targeted business development approach which includes small businesses identified by the BWDB and other business associations including Baltimore City Chamber of Commerce, Greater Baltimore Committee, Baltimore Development Corporation, Small Business Resource Center, and the Mayor's Office of Minority and Women Business Development. Business Services staff participate on various committees of these organizations to promote available services and engage businesses in workforce development programs (training, job readiness, curriculum development).

In addition, the Business Services team provides services to area employers in a coordinated manner to avoid multiple points of contact. The following services are available to local employers:

- Prescreening candidates for job opportunities
  - Recruiting assistance.
  - Occupation/industry information and data.
  - Job fairs/recruiting events.
  - Customized assessments.
  - Human resources assistance.
  - Convening and facilitating industry partnerships to address common talent development issues.
- To support a local workforce development system that meets the needs of businesses in the Local Area;

The BWDB envisions a comprehensive system in which public agencies, the nonprofit community and the private sector work in true partnership to create an industry sector strategy that stimulates career pathways for residents to access good jobs and provides a simplified process for employers to find their skilled workforce. Workforce solutions have proven successful when we engage our local partners. An industry sector strategy approach collectively addresses the workforce needs of businesses and the needs of city/local residents by creating better employment opportunities with career pathways.

- To better coordinate workforce development programs and economic development; and

MOED partners with the Baltimore Development Corporation (BDC), the local economic development agency, and the state Department of Commerce to encourage business to locate stay and expand in Baltimore City. As businesses express interest in Baltimore, BDC conducts a joint meeting with MOED and the business to discuss the local labor force and workforce strategies that can assist the company. This partnership enables MOED to work with its Career Centers, youth programs and local/regional workforce partners to prepare jobseekers for the employment opportunities that will become available. Using this model, MOED has created training workshops for jobseekers that are customized to the specific skill requirements of the business and results in a better job match and increased employment for City residents.

Economic development creates workforce development and working with BDC, MOED has established many relationships with developers that require workers for the construction build out and tenant phases of projects. To meet the workforce demand, when appropriate, Memorandums of Understanding are created between the developer and MOED to coordinate recruitment activities on a city wide and/or regional basis. This ensures that there is a streamlined process to help job seekers access opportunity and employers locate their skilled workforce. In addition, “Lunch & Learn” gatherings, joint staff meetings and presentations are held between MOED and BDC staff to educate each other on upcoming projects and new services. As a liaison for BDC, MOED also supports outreach efforts and partners to conduct information/ educational and network events for businesses.

- To strengthen linkages between the American Job Center delivery system and Unemployment Insurance programs.

Working in conjunction with the UI Division and the Workforce Development Division, UI claimants are required to attend re-employment workshop, hosted in the AJC’s. These workshops facilitate the connection to basic and individualized career services and training services available. Further, attendance records are shared with UI, to ensure that claimant status reflects their level of participation. Also, joint staff meetings help staff stay apprised of upcoming opportunities and events.

- (F) The BWDB will ensure jobseekers have a role/voice in the board’s decision-making process and in informing the services the city provides.

The Board has several built-in mechanisms to ensure that jobseekers have a role and voice in the decision making process both in terms of the community-based organizations represented and the structure of Board and committee meetings themselves. Additional efforts will be underway in 2019. The Board and MOED has contracted with a local nonprofit organization who will host and facilitate a series of “community conversations” about workforce development. These conversations will begin in the spring of 2019 and will occur at various locations across the city to ensure that residents have numerous opportunities to provide input and to minimize transportation and other logistical challenges. The objective of the sessions will be to better inform the Board, MOED and other workforce partners about the challenges and services residents need to fulfill their career goals.

- (G) A description regarding the implementation of initiatives such as EARN Maryland, apprenticeship, incumbent worker training programs, on-the-job training programs, customized training programs, industry and sector strategies, integrated education and training, career pathways initiatives, utilization of effective business intermediaries, and other business services and strategies, designed to meet the needs of businesses in support of the strategy described in Section 1.

MOED has used a variety of strategies to ensure that Baltimore City residents and employers have access to instructional methods that are designed to meet the needs of business. Using a blended funding strategy that includes discretionary funds such as the DOL Youth Demonstration Grant awarded in 2015, WIOA formula funds, the city general funding and other resources, MOED has been able to engage city residents in high growth, high demand training offered by organizations including but limited to BioTechnical Institute of Maryland, Inc. for laboratory technician training, Job Opportunities Task Force’s (JOTF) Jumpstart for pre-apprenticeship construction trade training, Civic Works for jobs in sustainable green occupations, Baltimore Alliance for Careers in Healthcare for jobs in the healthcare industry, , Maryland New Direction for maritime transportation and logistics training,. Bon Secours and Community Works for training in CNA/GNA, Jane Addams Resource Corporation for training for welding and CNC machinists, and Baltimore City Community College for Emergency Medical Technicians.

### **Apprenticeship**

Over the past two years, MOED convened a business round-table of local energy sector employers which resulted in the Industrial Maintenance Mechanic Apprenticeship Program, the first registered apprenticeship (RA) competency-based model sponsored by the Baltimore City Community College. The BWDB and its Business Engagement Committee intends to convene additional key business stakeholders and education providers to explore new apprenticeship models in Information Technology, Hospitality and Tourism and other emerging occupations as the demand is identified. The board will also seek to identify platforms to promote existing apprenticeship programs to attract the future workforce. *WIOA defines the term registered apprenticeship program as a) registered under the Act of August 16, 1937 (commonly known as the “National Apprenticeship Act”) and b) that meets such other criteria as may be established by the Secretary under this section.*

### **Customized Training**

MOED offers several business driven strategies to promote and encourage the hiring of new employees in high demand, high growth industries. Business Services staff meets with employers to learn about their workforce needs and assists them with accessing strategies that offer the best solution. Strategies include: customized training, OJT and upgrade skills training. Under WIOA, Customized Training is defined as

training that: a) is designed to meet the specific requirements of an employer, b) is conducted with a commitment by the employer to employ an individual upon successful completion of the training and c) for which the employer pays a significant portion of the cost of training. Customized Training assists businesses in hiring skilled workers for hard-to-fill or newly created positions. Businesses are responsible for at least a 50% match for each dollar invested in skills training. The employer match can be made monetarily or with in-kind contributions.

Training guidelines are as follows:

- Businesses agree up-front to hire successful training completers in full-time permanent positions with health benefits.
- Medical benefits requirement may be waived for businesses with less than 50 employees or who pay wages at completion of training that are greater than or equal to \$11 an hour.
- Businesses agree to pay new employees a competitive hourly rate for the industry sector.
- Businesses establish minimum qualifications and MOED pre-screens qualified unemployed or underemployed job seekers to create an applicant pool
- Businesses conduct the job interviews and make the final selection to fill training positions.
- Business Services staff reviews proposals on an on-going basis and approves proposals that meet the strategy requirements.
- The city's Board of Estimates must approve proposals and a signed agreement must be in place before applicant recruitment can begin. Typically, the agreement process takes 60-90 days.
- Training must be completed in a reasonable timeframe, on average 4-6 months
- Training costs must be reasonable and fully documented. The average cost for training is approximately \$3,500 per person.
- Training can be employer-based and/or offered by qualified vendors (e.g., OJT or classroom)

## **OJT**

On-the-job training as defined by WIOA means training by an employer that is provided to a paid participant while engaged in productive work in a job that: a) provides knowledge or skills essential to the full and adequate performance of the job, b) is made available through a program that provides reimbursement to the employer of up to 50% of the wage rate of the participant, except as provided in section 134 (c)(3)(H), for the extraordinary costs of providing the training and additional supervision related to the training, c) is limited in duration as appropriate to the occupation for which the participant is being trained, taking into account the content of the training, the prior work experience of the participant, and the service strategy of the participant as appropriate.

## **Industry and Sector Strategies**

In 2012, the Magna Baltimore Technical Training Center closed its doors and returned the building to the city. The Mayor's Office of Employment Development saw this as an opportunity to develop a multi-skills training facility in the Park Heights community. MOED partnered with the State of Maryland, Department of Labor, Licensing and Regulation, Commerce, and the city's housing and philanthropic organizations to create a strategy for the site. The group conducted a labor market analysis, convened employers to understand their challenges and needs, and convened training and educational providers to assess existing training options. This information was used to determine the ideal career pathway model to connect low-skilled workers in the region to middle skilled jobs with potential for advancement. Through exploration and a series of ongoing discussions an entity, the Jane Addams Resource Corporation (JARC)—operating a manufacturing training program in Chicago- was identified as the anchor institution offering machinist and welding training at the site. As of FY2018, the center is now 90% occupied offering local residents opportunities in three sectors—manufacturing, construction and healthcare. The South Baltimore Learning Center has also joined the center to provide adult education on site.

### **Integrated Basic Education and Skills Training (I-BEST)**

The Accelerating Connections to Employment (ACE) Initiative was a four-state nine-workforce investment board effort modeled on Washington State's highly regarded I-BEST program which was designed for students with low basic skills. It integrated basic skills upgrading, occupational skills training, student supports and career navigation to help students earn occupational certification related to high demand occupations offering a career pathway. MOED was one of the local areas that learned from this initiative, found it to be successful and plans to keep this model in the tool kit of options.

### **Career Pathways**

MOED expanded its career pathways opportunities through its One Baltimore for Jobs (1B4J) initiative which offered over 1000 residents education and occupational skills training leading to an employer recognized certification and placement within the industry sector. The demonstration created a network of partnerships between MOED, other public agencies and over 20 nonprofit organizations to connect residents to support services such as legal assistance, adult education, and child support. 1B4J laid the groundwork for building a talent pipeline critical to the city's economy. It required strategic thinking by the Board and residents to transition 1B4J to a sustainable model; one that is fully integrated with WIOA operations.

In FY18, MOED applied and was awarded a DLLR competitive grant (CGP) for Local Workforce Areas for a Demonstration Project in Career Pathways and Co-Enrollment of federal Workforce Innovation Opportunity Act Title II students enrolled in Title II adult education to provide access to occupational training services and connect those students to meaningful employment. The Baltimore Accelerating Connections to Employment's Emergency Medical Technician (BACE-EMT) career pathways project targets the booming healthcare sector, specifically, Emergency Medical Technician –Basic training, through a partnership with Baltimore Strong Cities, South Baltimore Learning Center and the Baltimore City Community College.

- (H) BWDB with MOED will coordinate workforce development activities with economic development activities and promote entrepreneurial skills training and microenterprise services.

To promote entrepreneurial skills training, MOED works with the Associated Black Charities, Baltimore City Chamber of Commerce, Minority and Women Owned Business Development, and BDC-Small Business Resource Center to host forums and information sessions at the career centers, youth programs and partner locations to assist individuals interested in starting a business. Business leaders are invited to provide presentations and tips that can enable residents to take the next step to write a business plan, secure funding and start a business. MOED coordinates with the above organizations to assist with the awareness of the Baltimore City SourceLink which includes names of organizations that conduct entrepreneurial skills training and microenterprise services.

MOED's Business Services division connects businesses to MWDB and promotes the Baltimore City's Sourcelink, a resource for small businesses. MOED also serves on the Business Intelligence Dashboard Committee. The committee collaborates with other agencies by analyzing data, service gaps and finds solutions and additional resources available to small business.

- (I) BWDB leverages and coordinates supportive services in the delivery of workforce development activities and works with other providers to deliver supportive services to jobseekers.

The Family League of Baltimore City is the Local Management Board. Their goal is that by 2030 all children in Baltimore will be born healthy, succeed in school, graduate high school and transition into higher education and the workforce.

The BWDB Youth Committee through the awarded WIOA service providers has developed an extensive menu of services for participants. Enrolled youth have an opportunity to access academic opportunities, intensive job readiness training, occupational skills training, internships, life skills and other meaningful support services. The BWDB Youth Committee brings together stakeholders from government, public, private sectors and youth serving organizations. These connections assist us in working towards the common goal of helping the citizens of Baltimore.

- (J) BWDB aims to provide a greater business voice in the delivery of workforce development activities including the type and content of training activities.

The city's BWDB is led by the private sector. Their input provides guidance to help develop workforce activities and curricula that meets the current needs of local employers. An industry sector based strategy will assist MOED in increasing business input from a local and regional perspective. This strategy creates stakeholder partnerships that can result in business driven training curricula.

The BWDB has agreed to use its resources to:

- influence boards and other licensing bodies to reevaluate policies, written in the 50's, which prevent the current workforce from gaining successful careers,
- use business led boards, GBC, and others to promote hiring of returning citizens,
- help employers avoid lawsuits that result in denying employment,
- create and promote internship opportunities for small business,
- revolutionize the one-stop systems by offering online programming, and
- better educate businesses on resources.

MOED also has cultivated partnerships with business associations including the Greater Baltimore Committee that provides access to a larger group of businesses in the metropolitan area. We will use these partnerships to expand the opportunity for businesses to provide input on training, job readiness and other workforce development activities.

The Baltimore Workforce Development Board believes in a demand-driven system based on the needs of local businesses. Board members will provide a voice in the delivery of workforce development activities, gather information from industry-specific sectors and target industry services based on formal labor market intelligence and on sector-based focus group discussions. Meeting with businesses individually or in groups, the Board will discuss and identify staffing challenges; occupational and non-occupational skills most needed and projected hiring needs. The board will engage in industry-led partnerships to identify and develop work and learn opportunities such as: registered apprenticeships, on-the-job training, internships, work experience, incumbent employee training, summer jobs and other work and learn opportunities to meet the demands of business.

(K) BWDB will promote and cultivate industry-led partnerships, such as career pathways and EARN Maryland, in the delivery of workforce training opportunities.

The BWDB will use its platform to promote and cultivate industry-led partnerships by engaging industry associations, EARN providers and MOED workforce system partners to help increase the competitive advantage of key industries in the local economy and ensure that there is a trained workforce to fill employer needs. The BWDB through MOED, will use its resources to work with existing or new industry sectors including the Hospitality partnerships to identify and forecast labor market trends, understand the essential and technical skills needed by the sector, identify curricula and the service delivery method that will best equip new workers and incumbent workers with the skills needed to meet job demands. MOED continues to work with the BWDB as referenced in (G) **Industry and Sector Strategies**.

(L) Local faith and community-based organizations role in the local workforce development system.

Faith and community based organizations (CBOs) play a vital role in the local workforce development system. Many refer residents to American Job Centers for services while staff at the AJC's and other city workforce centers partner with faith-based organizations and CBOs for more neighborhood-based services. City staff regularly participate in job fairs, and community events that connect residents to resources and other workforce initiatives. AJC staff provide materials and/or participate in outreach events to share information about workforce services to inform and engage residents. Faith-based leaders also serve as members on all community hiring economic development initiatives, such as Harbor Point, Port Covington, and Maryland Stadium Authority Baltimore City Schools Projects.

There is strong coordination among public, community and faith-based organizations in Baltimore's workforce system focused on ex-offender re-integration and employment initiatives. Dozens of non-profit groups including Catholic Charities, the Job Opportunities Task Force, Goodwill Industries of the Chesapeake, and many faith-based leaders participate in the activities that are a part of the Reentry Partnership Network. The Reentry Partnership Network provides a platform to discuss trends, share information about employment and training opportunities, and collaborate and coordinate resources.

Perhaps the best example of this partnership is through the 1B4J initiative where nearly 20 neighborhood organizations partner with MOED and other public agencies to provide a full-range of support services and occupational skills training.

#### **Section 4 – American Job Center Delivery System**

This Section should include a description of the American Job Center delivery system in the Local Area, including:

(A) A list of the American Job Centers in the Local Area, including address and phone numbers. Indicate the One-Stop Operator for each site and whether it is a comprehensive or satellite center.

##### **Two comprehensive American Job Centers:**

Eastside One Stop Career Center  
3001 E. Madison Street  
Baltimore, Maryland 21205  
410-396-9035  
410-361-9648 (fax)

Re-entry Center @ Northwest One Stop Career  
Mondawmin Mall  
Baltimore, Maryland 21215  
410-396-7873  
410-523-0970 (fax)

**Satellite Employment Center:**

Employment Connection Center  
1410 Bush Street  
Baltimore, Maryland 21230  
410-396-1052

**Community Job Hubs (Satellite Employment Centers)**

Bon Secours Community Works  
26 N. Fulton Avenue  
Baltimore, Maryland 21223  
410-362-3629

Govans Ecumenical Development Corporation (GEDCO)  
5513 York Road  
Baltimore, Maryland 21212  
410-532-7117

Catholic Charities - My Brother's Keeper  
4207 Frederick Avenue  
Baltimore, MD 21229  
410-644-3194

**Mobile Workforce Center (Satellite Center)**

The Mobile Workforce Center is a workforce center on wheels parked at various locations throughout the city. To find the most up-to-date information for the Mobile Workforce Center locations, visit MOED's website: <https://moed.baltimorecity.gov/mobile-workforce-center>.

Under a contract with the Baltimore City Department of Social Services (BCDSS), MOED also operates the Workforce Reception Center where services to the TANF population are provided. Referrals are made by BCDSS, and customers have access to a variety of services including the Maryland Workforce Exchange, job readiness, and work experience and job placement.

Workforce Reception Center  
100 W. 23rd Street  
Baltimore, Maryland 21218  
410-396-6770

(B) The customer flow and process including eligibility assessment, individualized training plans, and case management:

The American Job Centers employ a triage service delivery model. This model ensures that a customer is not forced to follow a prescribed set of processes that may not meet their individual needs. Rather, a Greeter provides the customer with an informal assessment and overview of Career Services and center resources and then connects them to the appropriate service and/or partner.

When a customer enters the AJC and self identifies as a Veteran, the greeter administers the Veteran Services Significant Barriers to Employment Eligibility Checklist screening tool. This tool is an assessment to determine whether the veteran has significant barriers to employment and if eligible, they can choose to meet with the Disabled Veteran Outreach Program (DVOP) specialist for individualized services. If the assessment does not reveal significant barriers then other AJC staff deliver one-stop services.

All customers, including veterans, are encouraged to attend the center's information session, which is designed to familiarize the customer with the full menu of Career Services offered by the One-Stop centers and partners. The menu of Career Services includes: employment opportunities, a variety of job readiness workshops, web-based tutorials, partner services, individualized and training opportunities. These services are provided in a manner that does not require sequence of service and allows the customer to access the resources services they need to succeed. New customers are encouraged to enroll in the Maryland Workforce Exchange (MWE), the State of Maryland's job match system, prior to accessing center services. Career Services are classified into two categories: Basic and Individualized Services.

Interpretation service is available for limited English speaking customers through the Language Line. Similarly, assistive technology equipment is available for those visually impaired customers and we intend to utilize on-demand video relay for those customers requiring sign language interpretations.

For those customers interested in services beyond basic career services an appointment is given to meet with a Career Development Facilitator (CDF) who determines their eligibility for WIOA funded services and other specialized funded programs. CDF may also conduct comprehensive assessments; career planning and development of an Individual Employment Plan.

### **Eligibility Assessment**

The Career Development Facilitator (CDF) conducts an eligibility determination and assessment of the customer's suitability for WIOA Adult and Dislocated Workers services or special project eligibility. The CDF will collect the required documents that verify eligibility. Once eligibility has been determined, the customer receives a comprehensive assessment to evaluate skills, aptitude, interest and ability to participate and or complete training program.

### **Case management and Development of the Individualized Employment Plan**

The Career Development Facilitator (CDF) meets with the customer to understand their interest, goals and any potential barriers to employment that may need to be addressed. The CDF determines the customer's suitability for individualized and/or training services and eligibility for WIOA and other grants.

The results of all assessments are then used to develop the Individual Employment Plan (IEP). The IEP is jointly developed by the customer and the CDF and identifies the customer's employment goal(s), achievement objectives, and the appropriate combination of services for the customer to achieve their career objectives. Further, the plan documents the need for, remediation, job readiness skills, and/or occupational skills training; and outlines the frequency of contact with the customer i.e. weekly, bi-weekly and/or monthly to continually track and (re)assess progress towards the career objectives as defined in their IEP.

CDF's case manage, facilitate, and monitor individualized career services and training services. WIOA services are recorded in the Maryland Workforce Exchange and the customer's case file. Further, the CDF provides consistent counsel to ensure the customer stays on target to execute the strategies outlined in the

IEP and provides guidance on solutions to challenges that may prevent or derail them from accomplishing their goals, as mapped out in the IEP.

(C) The BWDB developed the following process to solicit and select the One-Stop Operator as identified in Section 107 of WIOA.

Consistent with section 121(d) of WIOA, BWDB, with the agreement of the Chief Elected Officials shall designate or certify one-stop operators and may terminate for cause the eligibility of the provider selected. Selection of the One-Stop Operator will be through a competitive process and shall be a public, private, nonprofit, or consortium of entities comprised of three (3) or more American Job Center partners. The selected One-Stop Operator will have demonstrated effectiveness and will be located in the local area. Examples of organizations that may competitively apply include: institutions of higher education, employment service State Wagner-Peyser Act agencies, community-based organizations, nonprofit organizations, private-for-profit entities, government agencies, local chambers of commerce, business organizations, labor organizations, career and technical education schools, and other interested organizations or entities. Elementary schools and secondary schools shall not be eligible for designation or certification as a One-Stop Operator.

Organizations applying for One-Stop Operator designation must disclose any potential conflicts of interest arising from the relationships with other service providers. The selected One-Stop Operator(s) may not establish practices that create disincentives to providing services to individuals with barriers to employment that may require longer-term services, such as intensive employment, training and education services. And, the selected One-Stop Operator(s) must comply with Federal regulations and procurement policies related to calculation and use of profits. The BWDB will consider proposals that have direct costs associated with providing the One-Stop Operator roles and responsibilities, and proposals that have costs incorporated within other on-site service provider activities and no direct One-Stop Operator budget.

To ensure that there was no conflict of interest or perceived conflict of interest, the BWDB contracted with an independent consultant to facilitate the procurement process. The Operations Committee of the BWDB worked directly with the independent consultant to develop a request for proposal and evaluation tool. No workforce system service provider or potential service provider were involved in the development of the request for proposal or the selection process.

The Baltimore Workforce Development Board (BWDB), in accordance with federal regulations (TEGL 15- 16, 2CFR200 .32(t)), conducted an open competition for selection of the One Stop Operator and a Request for Proposals (RFP) was released on December 9, 2016 and closed on January 27, 2017. There were three proposals submitted in response to the RFP. On March 17, 2017, based on the recommendation of the BWDB Operations Committee, the BWDB voted to designate the Baltimore Mayor's Office of Employment Development as the One Stop Operator.

Since MOED is the administrative arm of the BWDB and the BWDB cannot enter into a contract with itself, the BWDB entered into an agreement with MOED to perform the duties of the One Stop Operator for one year; beginning July 1, 2017 and ending June 30, 2018 (with the option to extend the agreement for one additional year).

At the end of the one-year period, the BWDB, based on the recommendation of the Operations Committee, approved that the One Stop Operator agreement be extended for an additional two year period, July 1, 2018 thru June 30, 2020.

- (D) BWDB ensures the continuous improvement of eligible providers of services through the system and ensure that such providers meet the employment needs of local businesses, workers, and jobseekers.

The Baltimore City One-Stop System embraces the concept of a market driven eligible provider system with a customer driven consumer reports system. The **Department of Labor, Licensing and Regulation, Division of Workforce Development and Adult Learning** oversees the Maryland's Eligible Training Provider List (ETPL) and reports on program enrollments and performance outcomes for all training providers listed. Customers are educated through consumer reports provided by DWDAL including timely accurate information on training provider curricula, support systems, outcomes and employment potential. Career decisions are based on labor market information that includes employment trends, and selections are made in high growth industries with career path opportunities. To remain competitive in such an environment, training providers must design programs that are responsive to employer, worker and jobseeker needs. DWDAL will monitor provider's performance on an ongoing basis and poor performers shall be deleted from the EPTL Training providers shall be made aware of the new requirements by DWDAL prior to participating as an eligible provider. Technical assistance, as it relates to improving program outcomes, may be made available to eligible providers through the One-Stop Operator.

Customers interested in occupational skills training must attend a training orientation to receive an Individual Training Account (ITA) application. The application process requires customers to justify that their requested training is in an occupation/industry with high growth projections (20% +). Using current labor market information, customers thoroughly research the demands, entry requirements, salary potential and certification requirements of their career choice, compare the performance and ancillary services of chosen vendors, and research job opportunities.

Completed applications are reviewed with the customer by center staff to ensure completeness and accuracy. The center supervisor also reviews the completed application packet and provides sign-off approval prior to submission to the Contract Specialist. Once the Contract Specialist approves the funding, a "Welcome to ITA" packet is issued to the customer that reinforces their responsibility while in training and provides the start date and estimated end date of training. Extensive follow-up is conducted while the customer is in training to ensure that they are progressing and to offer assistance and guidance, as needed. Customers are required to submit attendance and grades to center staff and in concert with center staff develop and participate in a job search commencing 30-60 days prior to their estimated training completion date. The BWDB set the current cap for ITA vouchers at \$3,000, allowing for the consideration of exceptions based on an individual's documented need and circumstances that would prevent them from preparing for a high demand occupation. Changes to the cap will be reviewed after final budget allocations are set. Generally, training should be completed within one year.

- (E) MOED on behalf of the BWDB facilitates access to services provided through the American Job Center delivery system through the use of technology and through other means.

All AJCs and satellite centers are conveniently located and accessible via public transportation. The Maryland Workforce Exchange (MWE) and MOED website provide virtual links to employment opportunities, labor market information, and a ready pool of job seekers. MOED posts and circulates bi-weekly information on job openings via "Now Hiring Alerts" to workforce and faith-based community partners.

MOED also distributes information about AJC services during outreach events such as community fairs and meetings, the Mayor's Neighborhood Walks, Violence Reduction Initiative and other community events. In partnership with developers, MOED's Business Services Division participates in community

association meetings to provide information on services available at the One Stop Career Centers which help to prepare residents for job opportunities related to development projects in the local area.

The Wagner-Peyser staff conducts monthly job readiness workshops at the Enoch-Pratt library to inform and prepare residents with the skills to obtain employment.

In addition to circulating the bi-weekly job openings via “Now Hiring Alerts” and regular outreach, MOED launched the Mobile Workforce Center (MWC) in 2018 which delivers services to underserved communities at various locations throughout the city. MOED also offers services at three “Community Job Hubs” (CJH) within high poverty/ low-income neighborhoods where the residents live. MOED MWC and the CJH’s increase access to employment opportunities, computer literacy, labor market information, web-based learning tools, and exposure to online application process and access to training opportunities available at the one-stops.

Through the use of technology, MOED is able to facilitate access to services provided through the American Job Center delivery system, including:

### **Online Employment and Training**

The Maryland Workforce Exchange (MWE) an interactive website that offers customers the following services:

- Job Searching
- Job Application submission
- Job Recruiting services
- Resume creation
- Job readiness training and related online courses
- Up to date labor market information (LMI)

Customers have access to computer literacy training through the Teknimedia web based training license. Customers learn the following basic computer literacy skills:

- Computing Fundamentals:
  - Understanding computer types and parts
  - Understanding how computers work
  - Identifying software types
  - Windows desktop
  - Managing files and folders
- Key Applications:
  - Common features of Microsoft Windows Applications
  - Skills for operating word processing software
  - Specific skills for operating a spreadsheet
- Living Online
  - Network connections
  - Electronic mail (e-mail)
  - Social impact of the internet
  - Operation of web browsers

Customers also have access to a variety of online tools to assist with improving skills including:

- Title II adult education partners provide educational skills training.
- Life skills' training is provided online with Casey Lifeskills online.
- Job readiness training is provided with the 21<sup>st</sup> Century Job Readiness Online Training and includes a post assessment and certification of completion which is available through the MOED website.
- The Maryland Workforce Exchange offers a variety of assessments including ONET and a transferable skills assessment.
- GED assessment and training is provided through Aztec Software and GED.com respectively.

The Aztec software and GED.com are used to measure the learner's progress and readiness to take the GED test. GED.com is a summative tool that measures whether GED students are ready to take the GED test and/or areas in which the learner may be having challenges. The GED portal/ LACES accounts provide Title II providers (with whom MOED partners) with access to GED test results and the ability to track the learner's attendance, pre/post test scores, demographic information, goals, barriers, etc.. The Providers also monitor the learner's progress/ provide interpretation in accordance with policy and standards set forth by Adult Education and Literacy Services - Workforce Development & Adult Learning i.e. (testing standards, frequency, documentation, etc.).

(F) A description of the roles and resource contributions of the American Job Center partners.

The MOED expects that One-Stop Partners will comply with WIOA Section 121 (b) (1) (A) to: (1) Provide access through the One-Stop delivery system to such programs or activities carried out by the One-Stop, including making career services as described in section 134 (c) (2) applicable to the program or activities available in the One Stop Career Centers and any other appropriate locations, (3) use a portion of the funds available for programs and activities to maintain the One-Stop delivery system, including paying infrastructure costs associated with One Stop Career Centers, (4) enter into a Memoranda of Understanding and Resource Sharing Agreement with the local board relating to the operation of the One-Stop system, and (5) participate in the operation of the One-Stop system consistent with the terms of the MOU, the requirements of WIOA and the requirements of Federal laws authorizing the programs or activities.

### ***Partners***

The One-Stop Partners and representative for each are:

- 1) Programs authorized under Adult Program, Title I of the Workforce Innovation and Opportunity Act (WIOA) for Adults, Dislocated Workers and Youth  
Mayor's Office of Employment Development  
Jason Perkins-Cohen, Director

- 2) Programs Authorized under WIOA Title I Youth - Job Corps Maryland CTS  
Adams and Associates, Inc.  
Tanisha Nixon, Regional Executive Director  
Job Corps, Admissions, American Business Corporation  
Jackie Back, President
- 3) Programs authorized under Title I, WIOA Youth Build  
Civic Works, Inc.  
Dion Wright, Deputy Executive Director
- 4) Wagner-Peyser Act Programs – WIOA Title III  
Department of Labor Licensing and Regulations  
James E. Rzepkowski, Acting Secretary
- 5) Programs authorized under Vocational Rehabilitation - WIOA Title IV  
Maryland State Department of Education Division of Rehabilitative Services  
Suzanne R. Page, Assistant State Superintendent
- 6) Activities authorized under Title V of the Older American's Act of 1965  
Maryland State Department of Labor Licensing and Regulations  
James E. Rzepkowski, Acting Secretary  
  
Senior Service America, Inc.  
Chris Garland, National SCSEP Director and Gwen Haney, Program Officer
- 7) Career Technical Education programs at the postsecondary level authorized under the Carl D. Perkins Career and Technical Education Act of 2006 (20 U.S.C. 2301 et seq.)  
Maryland State Department of Education  
Sue Page, Assistant State Superintendent  
  
Baltimore City Community College  
Debra L. McCurdy, President
- 8) Activities authorized under Chapter 2 of Title II of the Trade Act of 1974, Trade Adjustment Assistance for Workers Program  
Department of Labor, Licensing and Regulation  
James E. Rzepkowski, Acting Secretary
- 9) Veteran's activities authorized under Chapter 41 of Title 38 United States Code, Jobs for Veterans State Grant Program (JVSG)  
Department of Labor, Licensing and Regulation; Veteran's Services  
James E. Rzepkowski, Acting Secretary
- 10) Community Services Block Grant Act (42 U.S.C. 9901 et seq) employment and training activities,  
Mayor's Office of Human Services Community Action Centers  
Terry Hickey, Director

- 11) Employment and Training Activities carried out by the Department of Housing and Urban Development, Housing Authority of Baltimore City  
Janet Abrahams, Executive Director
- 12) Programs authorized under State Unemployment Compensation Laws  
Department of Labor, Licensing and Regulation Unemployment Insurance  
James E. Rzepkowski, Acting Secretary
- 13) Programs authorized under Title II of the Workforce Innovation and Opportunity Act (adult basic education and literacy training)  
  
Baltimore City Community College  
Debra L. McCurdy, President  
  
Strong City Baltimore  
Karen Stokes, CEO  
  
South Baltimore Learning Center  
Tanya Terrell, Executive Director
- 14) Programs authorized under part A of title IV of the Social Security Act (42 U.S.C. 601 et seq.)  
Temporary Assistance for Needy Families Program, State of Maryland, Department of Human Resources, Baltimore City Department of Social Services  
Stacey Rodgers, Director
- 15) Programs authorized through Section 212 grants of the Second Chance Act of 2007 under the Re-Integration of Ex-Offenders Program  
  
Structured Employment and Economic Development Corporation (Seedco), along with Bon Secours Community Works West Baltimore Career Pathways Collaborative (CPC)  
Tara Colton, Executive Director
- 16) Other Partners  
  
CASH Campaign -  
Robin McKinney, CEO  
  
Maryland Educational Opportunity Center (MEOC)  
Ellen D. Howard, Executive Director  
  
Child Support Administration- Department of Human Services, DHS-CSEA  
Kevin Guistwite, Director of Workforce Development Family Investment Administration  
  
Maryland Tech Connection, Anne Arundel Workforce Development Corporation  
Kirkland C. Murray, CEO

(G) MOED on behalf of the BWDB will use Individualized Training Accounts based on high-demand, difficult to fill positions identified within local priority industries identified in Section 1(A) as described below.

The Individual Training Account (ITA) strategy equips jobseekers with the skills to compete in the local economy. As defined by WIOA, Individual Training Accounts are for individuals who seek training services, is WIOA eligible (see Section 3.K) may, in consultation with a career planner, select an eligible provider of training services from a list of providers deemed eligible by the State of Maryland. For residents deemed suited for an ITA, the training can help achieve the customer's long term success and career attainment by helping them acquire in-demand marketable skills to successfully compete in the local labor market at a sustainable wage. Accordingly, all customers who are in need of training begin the process with an interest and aptitude assessment, and participation in a Training Strategies Workshop. The ITA initiative also includes the identification and implementation of an action plan to remove or stabilize Baltimore City resident's employment and training related barriers.

Customers deemed appropriate to access training through the ITA process are referred to the ITA orientation. The orientation provides customers with in-depth information about the ITA process, policies and application requirements. The curriculum also introduces the resources available to them to facilitate their decision making process. As part of the orientation, information is provided regarding the Pell Grant process as well as other resources for financial aid by a partner representative out-stationed at the One-Stop from The Maryland Educational Opportunity Commission (MEOC). The MEOC staffs also provide individualized assistance to customers in the completion and submission of the Pell Grant application.

To reinforce the information provided in the orientation, an ITA application packet is issued to each customer. The application process requires customers to justify that their career choice is in an occupation/industry with high growth projections (20% +). Using current labor market information, customers thoroughly research the demands, entry requirements, salary potential and certification requirements of their career choice, compare the performance and ancillary services of chosen vendors, and research job opportunities.

The BWDB set the current cap for ITA vouchers at \$3,000, allowing for the consideration of exceptions based on an individual's documented need and circumstances that would prevent them from preparing for a high demand occupation. Generally, training should be completed within one year.

Customers will only be approved for the actual cost of the training requested once PELL grants have been exhausted. If a customer has been enrolled in any MOED funded training program during the past three (3) years, they will not be eligible for any additional training. Exceptions may be considered using the criteria outlined in the local procedural plan which states that exceptions may be made for laid off workers who have received previous training through MOED, and who are unable to gain employment in the same occupational area. Valid justification for requesting training must be completed by the Career Development Facilitator (CDF) and attached to the application. Final approval lies within the Workforce Operations Management team.

(H) Described below is how MOED on behalf of the BWDB will provide priority of service that conforms to the State Plan. This also includes how the local requirement and/or priority will be documented and implemented for the Adult program.

During the eligibility determination process, the Career Development Facilitator must determine "priority of service" for all WIOA eligible individuals and document the priority within the application and case notes. MOED's priority of services policy is in place at all times to ensure those most in need receive training services. Therefore, only those who meet the definition of low income as defined in the MOED Individual Training Account Procedures (125% of the Lower Living Standard), including individuals receiving public

assistance, will be eligible to receive tuition funded training with WIOA formula funds. There is no Priority of Service in place for those individuals receiving Career Services.

MOED has a local Policy - **Policy Directive 2015-5 – Priority of Service for Low Income Individuals**. Policy reads:

Priority of service status is established at the time of eligibility determination and does not change during the period of participation. Under federal regulation, priority of service does not apply to dislocated workers.

**First priority:** Initial priority will be given to:

- Veterans and their spouses who are recipients of public assistance and low-income or basic skills deficient;

The term “low-income individual” means an individual who:

- A. receives, or in the past 6 months has received, or is a member of a family that is receiving or in the past 6 months has received, assistance through the Supplemental Nutrition Assistance Program established under the Food and Nutrition Act of 2008 (7 U.S.C. 2011 et seq.), the program of block grants to States for Temporary Assistance for Needy Families (TANF) program under part A of title IV of the Social Security Act (42 U.S.C. 601 et seq.), or the supplemental security income program established under title XVI of the Social Security Act (42 U.S.C. 1381 et seq.), or State or local income-based public assistance; **or**
  - B. is in a family with total family income that does not exceed the higher of the poverty line; or 70 percent of the lower living standard income level; **or**
  - C. is a homeless individual (as defined in section 41403(6) of the Violence Against Women Act of 1994 H. R. 803—12 (42 U.S.C. 14043e–2(6))), or a homeless child or youth (as defined under section 725(2) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a(2))); further defined in the *Barriers to Employment* section below **or**
  - D. receives or is eligible to receive a free or reduced price lunch under the Richard B. Russell National School Lunch Act (42 U.S.C. 1751 et seq.); **or**
  - E. is a foster child on behalf of whom State or local government payments are made;
- or**
- F. is an individual with a disability whose own income meets the income requirement of clause, but who is a member of a family whose income does not meet this requirement.

The term “basic skills deficient” means an individual who:

- A. is a youth, that has English reading, writing, or computing skills at or below the 8th grade level on a generally accepted standardized test; **or**
- B. is a youth or adult, that is unable to compute or solve problems, or read, write, or speak English, at a level necessary to function on the job, in the individual’s family, or in society.

**Second priority:** Priority will be given to:

- recipients of public assistance and other low income individuals as defined above; **or**
- individuals who are basic skills deficient as defined above; **or**
- individuals with a barrier to employment as defined by WIOA (see below) and who are otherwise determined eligible for individualized career services or training services

The term “individuals with a barrier to employment “ means a member of (one) 1 or more of the following populations:

- A. Displaced homemakers defined as a participant who has been providing unpaid services to family members in the home and who:
  - (A)(i) has been dependent on the income of another family member but is no longer supported by that income; **or**
  - (ii) is the dependent spouse of a member of the Armed Forces on active duty (as defined in section 101(d)(1) of title 10, United States Code) and whose family income is significantly reduced because of a deployment (as defined in section 991(b) of title 10, United States Code, or pursuant to paragraph (4) of such section), a call or order to active duty pursuant to a provision of law referred to in section 101(a)(13)(B) of title 10, United States Code, a permanent change of station, or the service-connected (as defined in section 101(16) of title 38, United States Code) death or disability of the member; **and**
  - (B) is unemployed or underemployed and is experiencing difficulty in obtaining or upgrading employment.
- B. Indians, Alaska Natives, and Native Hawaiians, as such terms are defined in section 166.
- C. Individuals with disabilities, including youth who are individuals with disabilities.
- D. Older individuals. (age 55 or older)
- E. Ex-offenders defined as a participant who either:
  - (a) has been subject to any stage of the criminal justice process for committing a status offense or delinquent act, **or**
  - (b) requires assistance in overcoming barriers to employment resulting from a record of arrest or conviction for committing delinquent acts, such as crimes against persons, crimes against property, status offenses, or other crimes.
- F. Homeless individuals defined as:
  - (a) Lacks a fixed, regular, and adequate nighttime residence; this includes an individual who:
    - (i) shares the housing of other persons due to loss of housing, economic hardship, or a similar reason;
    - (ii) lives in a motel, hotel, trailer park, or campground due to a lack of alternative adequate accommodations;
    - (iii) lives in an emergency or transitional shelter;
    - (iv) is abandoned in a hospital; or
    - (v) awaits foster care placement;
  - (b) Has a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation for human beings, such as a car, park, abandoned building, bus or train station, airport, or camping ground;
  - (c) Is a migratory child who in the preceding 36 months was required to move from one school district to another due to changes in the parent’s or parent’s spouse’s seasonal employment in agriculture, dairy, or fishing work; or
  - (d) Is under 18 years of age and absents himself or herself from home or place of legal residence without the permission of his or her family (i.e., runaway youth).
- G. Youth who are in or have aged out of the foster care system.
- H. Individuals who are English language learners defined as a person who has limited ability in speaking, reading, writing or understanding the English language and also meets at least one of the following two conditions:
  - (a) his or her native language is a language other than English, or
  - (b) he or she lives in a family or community environment where a language other than English is the dominant language; **and**

individuals who have low levels of literacy defined as a participant who is unable to read, write, and speak in English; compute and solve problems at levels of proficiency necessary to function on the job, in the family of the participant, or in society; **or** individuals facing substantial cultural barriers defined as a participant who perceives him or herself as possessing attitudes, beliefs, customs or practices that influence a way of thinking, acting or working that may serve as a hindrance to employment.

- I. Eligible migrant and seasonal farmworkers, as defined in section 167(i).
- J. Individuals within 2 years of exhausting lifetime eligibility under TANF (part A of title IV of the Social Security Act (42 U.S.C. 601 et seq.)).
- K. Single parents (including single pregnant women).
- L. Long-term unemployed individuals defined as a participant has been unemployed for 27 or more consecutive weeks at program entry.
- M. Such other groups as the Governor involved determines to have barriers to employment.

All definitions listed for Barrier to Employment have been taken from the Workforce Innovation and Opportunity Act- Participant Individual Record Layout (ETA 2015-0007-003 (2)), the data collection template proposed in OMB Control No.1205-0NEW, as required by section 116(d) of the WIOA Act.

**Third priority** shall be given to veterans and other covered persons who are eligible for individualized career or training services (based on TEGL 3-15). The terms “Veterans” and “other covered persons” are defined in Regulation 20.C.F.R. Part 1010, Priority of Service for Covered Persons, Veterans’ Employment and Training Service. In accordance with the Jobs for Veterans Act (P.L. 107-288) (38 USC 4215(a)), the Veterans’ Benefits, Health Care, and Technology Act 2006 (P.L. 109-461), and 20 CRF Part 1010, published on December 19, 2008, MOED will ensure that eligible veterans and eligible spouses are identified at the point of entry, are aware of their entitlement of priority of service and are given an opportunity to take full advantage of priority of service and the full array of employment, training and placement services, consistent with requirements outlined in Training and Employment Guidance Letter 10-09 and Veterans’ Program Letter 07-09. The initial assessment and registration documents must identify veteran status. Veterans and their eligible spouses advancing to individualized career services and training services must document their veteran status by supplying a copy of their DD214 or other veterans’ documentation.

**Fourth priority:** MOED has a priority of service protocol in place at all times to ensure those most in need receive training services. Therefore, only those who meet the definition of low income as defined in the MOED Individual Training Account Procedures (125% of the Lower Living Standard), including those individuals receiving public assistance, will be eligible to receive tuition funded training with WIOA formula funds.

Additionally, priority may be given to special populations as a result of funding specific for that special population.

- (I) Described below is how MOED on behalf of the BWDB will utilize funding to create incumbent worker training opportunities.

Incumbent worker training is a new opportunity presented with WIOA, and Baltimore City intends, based on availability of funds, to allocate up to 10 percent of its adult and dislocated worker training funds for this purpose. This provides an opportunity to partner with businesses to support career pathways for workers and to create more entry-level positions for job seekers. Building on the Maryland Business Works model and consistent with the new WIOA law, funds will be made available as a supplement to the state’s Maryland Business Works and will follow the eligibility and target criteria that the state has set, primarily targeting small businesses with 100 employees or fewer, businesses providing in-demand

products or services, or businesses facing potential layoffs. Funds will be available to businesses located within Baltimore City and the training cap per specific trainee will be \$4500 with businesses responsible for 50 percent of the training costs.

- (J) Described below is how MOED on behalf of the BWDB will train and equip staff to provide excellent, WIOA-compliant customer service.

The delivery of One-Stop services is enhanced through regular staff development training for WIOA and One-Stop partners. Continuous staff development in customer service, diversity and sensitivity training will equip staff with the knowledge and skills to provide services to all customers.

Additionally, regular cross-training sessions will increase staff capacity, expertise and efficiency and assist to enrich the customer experience. Moreover, cross-training ensures WIOA and One-Stop partners have a solid understanding of each program requirements and supports in the delivery of seamless quality service to all customers. Cross training topics include up skilling staff in the use and functions of the newest versions of the MWE, policy changes based on DLLR Policy Issuances or local guidance documents, and implementation changes as final WIOA guidance is released. Training for special populations served within the AJC providing assistance to individuals with disabilities thru Diversity Partners and DORS for Assistive Technology MOED schedules and/or coordinate a monthly staff meeting that include training for staff and partners.

The One-Stop staff encourages customers to complete a customer satisfaction survey to provide feedback on their experience at the One Stop Career Centers. The Labor Exchange Administrator and Program Managers review the surveys to evaluate the feedback customer experience and effectiveness of services.

## **Section 5 – Title I – Adult, Youth, and Dislocated Worker Functions**

This Section includes –

- (A) A description and assessment of the type and availability of adult and dislocated worker employment and training activities within the local area.

The Baltimore Workforce Development Board wants to make available as many options for Individualized Career Services and Training as possible to allow a tailored unique experience based on client needs. This supports our customer-centric model. The local workforce system will not prescribe to “a one size fits all” mentality. The toolbox of opportunities will be extensive providing front-line workers and integrated business service team members a chance to individualize the workforce system experience and create a meaningful plan of action.

The assessment of the type and availability of these employment and training services was created through a service matrix by the workforce partners and therefore the services will not be the sole responsibility of the title I Adult and Dislocated Worker provider. In some instances, the services are Basic Career Services that one or more partner agencies may be providing. In other instances, such as Adult Education and Literacy, a Core Program Partner will provide the service. Adults and Dislocated Workers may be co-enrolled across partner programs to leverage resources and expand the menu options.

The MOED provides high quality Career Services to assist job seekers with acquiring in-demand skills to compete for in-demand jobs.

Some of the employment and training activities will be targeted to:

- Provide job seekers with the skills and credentials to compete and secure employment at a self-sustaining wage;
- Help job seekers access supportive services to address barriers to employment such as homelessness, a criminal background, child support, and/or adult education;
- Provide businesses and employers with skilled talent and access to other human resource services to grow their business; and
- Meet regularly with One-Stop partners to identify best practices and strategies that would work better to continuously improve local one-stop operations.

There are three types of "career services" available for adults and dislocated workers within American Jobs Center: basic career services, individualized career services, and follow-up services. These services may be provided in any order as sequence of service is not required which provides staff the flexibility to target services to meet the needs of the customer.

### **Basic Career Services**

Jobseekers may receive basic career services. Staff will:

- Determine eligibility for WIOA Adult and Dislocated worker services;
- Assess skills including literacy, and English language proficiency;
- Provide labor exchange services and information on in-demand industry sectors including accurate information about local, regional, and national labor market areas, job vacancy listings; information on job skills necessary to obtain the vacant jobs listed;
- Offer job search and placement assistance;
- Refer and coordinate supportive services and other workforce programs;
- Provide performance and program cost information on eligible providers of training services by program and type of providers; and
- Assist in establishing eligibility for financial aid assistance for training and education programs not provided under WIOA.

### **Individualized Career Services**

If one-stop center staff determines that individualized career services are appropriate for an individual to obtain or retain employment, staff will:

- Conduct comprehensive assessments of the skills and the service needs to help the resident obtain high wage employment;
- Develop an Individual Employment Plan to identify the employment goals, appropriate achievement objectives, and appropriate combination of services for the participant to achieve his or her employment goals;
- Provide individual counseling and mentoring;
- Offer career planning (e.g. case management);

- Provide job readiness preparation that provides basic academic skills, critical thinking skills, digital literacy, and self- management skills, including competencies in utilizing resources;
- Connect customers to financial literacy services;
- Refer to Occupational skills training;
- Offer Customized Training or On-the-job training;
- Offer incumbent worker training; and/or
- Refer customers to English language acquisition and integrated education and training programs.

## **Follow-up Services**

MOED provides follow-up services to all jobseekers deemed eligible for WIOA Individualized or Training Services who are placed in unsubsidized employment, for up to 12 months after the first day of employment. Follow up services may include any of the following:

- Referral to Community Resources
- Referral to Medical Services
- Tracking Progress on the Job
- Work Related Peer Support Group
- Assistance securing better paying job
- Career development and further education planning
- Assistance with Job/Work Related Problems
- Adult Mentoring/Tutoring
- Leadership Development or
- Other Follow-up Service, not classified.

Staff will contact jobseekers monthly and document all progress in the Maryland Workforce Exchange (MWE), and record notes in the customer case file. If the participant obtains employment, staff will obtain and maintain documentation of the job in the participant's record in MWE.

(B) MOED on behalf of the BWDB will coordinate workforce development activities carried out in the local area with statewide rapid response activities, as described in Section 134(a)(2)(A).

Outplacement assistance is available to businesses that are forced to downsize their workforce or shut down operations. Using a coordinated, comprehensive approach, the MOED Rapid Response team assists employees affected by mass layoffs and company shutdowns to quickly reenter the workforce by offering access to job prep workshops, academic skills update, occupational skills training/retraining, unemployment insurance information, job placement referrals and many other services. MOED Outplacement services assist the workers affected in transitioning from unemployment to re-employment in an effective and efficient manner. MOED provides dislocated workers with assistance regarding unemployment information, and information and access to the following workforce development activities: re-employment workshops, career counseling, job search workshops and instruction, assessment of skills, job readiness services (resume prep, interviewing techniques) occupational skills training, employment opportunities and placement assistance.

Rapid Response activities are a coordinated effort between the employer, affected workers, DLLR Dislocation Services Unit, and MOED One-Stop staff. The team works with employers and employee representative(s) to reduce the disruptions to businesses, affected workers, and communities that are

impacted by job loss. MOED has worked well with Worker Adjustment and Retraining Notification (WARN) and non-WARN businesses and employees to maximize resources in times of job loss.

Layoff Aversion consists of strategies and activities to prevent or minimize the duration of unemployment resulting from layoffs. As applicable, layoff aversion activities may include:

- Immediate and on-site contact to determine assessment of layoff plans, reemployment prospects for workers, available resources, and possibility of Trade impact;
- Short-time compensation;
- Employee skill upgrading and customized training;
- Provision of information and access to unemployment compensation benefits and program;
- Information sessions on the Trade Program;
- Information on Pell Grants and the GI Bill;
- Interviewing skills & resume workshops;
- Job fairs and targeted hiring events,
- Providing on the job training assistance;
- Connecting businesses with workers;
- Working with Economic Development to learn of potential businesses coming to the area with hiring needs; and
- Working with employers on proactive measures such as succession planning or opportunities of potential economic and work transition or expanding of business.

**Rapid Response Contacts**

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(C) A description and assessment of the type and availability of youth workforce development activities in the Local Area, including activities for youth who are individuals with disabilities, which description and assessment shall include an identification of successful models of such youth workforce development activities.

MOED's Youth Services Division provides a menu of workforce development activities for youth 16-24 years of age. In keeping with Education Article 7-301, Annotated Code of Maryland, as of July 1, 2015, the compulsory public school attendance age changed from 16 to age 18 therefore out of school programs

will only serve youth age 18 -24. Effective July 1, 2017 the age for compulsory public school attendance will change to 18 years of age, again changing the eligibility age for out of school participants to age 18. Our in-school WIOA provider works with youth 16-21 years of age. Youth are eligible for job readiness training, occupational skills training, internships, job shadowings, career fairs, field trips to post-secondary schools and opportunities to apply for apprenticeships.

Upon enrollment, each youth receives academic, occupational and life skills assessment (Ansel-Casey, TABE, Career Scope, etc.). The analyses of these assessments help the selected service provider develop a customized plan with the youth to ensure that the appropriate academic services and supports are provided. Currently, level 9 of the TABE is being administered. All WIOA youth providers will move to level 11/12 of the TABE beginning July 1, 2019 as directed per DLLR Policy Issuance 2019-05: Basic Education Skills and Language Assessments.

The WIOA youth providers (Bon Secours, Career Academy, Civic Works/ YouthBuild, Greater Baltimore Urban League, Healthcare Careers Alliance, Maryland New Directions, Urban Alliance) listed in Section 4 (O) have the choice of several occupational interest and aptitude tests to offer youth. These are a combination of electronic, web-based and paper products. The appropriate test is selected based on the counselor's initial assessment of the youth's ability, education level and desire to determine a career area. Once identified, the youth is provided with opportunities for exposure and training in the career.

MOED's Youth Services Division has a very close partnership with DORS. This partnership allows WIOA service providers and our Youth Opportunity program an opportunity to refer youth who might have undiagnosed learning disabilities. In addition, DORS actively participates in the Mayor's summer jobs initiative Youthworks.

- (D) The BWDB will coordinate education and workforce development activities with relevant secondary and postsecondary education programs and activities to coordinate strategies, enhance services, and avoid duplication of services.

The Baltimore Workforce Development Board's Business Engagement Committee will convene workforce stakeholders to further develop career pathways to include basics skills, technical training and practicums or experiential learning opportunities. While classroom instruction provides solid foundational support, the practical application of skills, especially those skills identified by employers, will improve outcomes for individuals with barriers to employment. Incorporating and utilizing work-based training options allowable under WIOA like internships, job shadowing, and transitional jobs will provide a workplace experience that can advance overall learning.

The Board intends to begin to coordinate sector strategies in the spring of 2017 by convening with industry, educational providers and other stakeholders by sector. During these convening's, the Board will identify labor market needs, the skills and credentials required and the career pathway for each of the occupations most in demand. Once needs are identified, local business will weigh in on curriculum and resources will be identified to support training.

The MOED intends to support career pathways as a method through which workers can move up the career ladder by completing short-term certificate training programs that lead to greater credentials and upward mobility in their career of choice. This laddering effect, over time, produces a better trained and

qualified workforce for local employers, as well as higher wages for employees, which, in turn, creates a positive economic impact on local communities.

In FY18, MOED was one of the awardees of the DLLR Competitive Grant for a demonstration project in Career Pathways. The Baltimore Accelerating Connections to Employment's Emergency Medical Technician (BACE-EMT) Project supports career pathways as a method through which students enrolled in Title II - Adult Education can gain skills, credentials and upward mobility in the healthcare sector. The BACE-EMT project will use an Integrated Education and Training model for service delivery. The (MOED) and partners, South Baltimore Learning Center (SBLC), Strong City, and Baltimore City Community College will recruit students currently enrolled or have recently completed their education in Title II funded programs, and interested in careers as EMTs.

- (E) MOED on behalf of the BWDB will coordinate workforce development activities in the local area with the provision of transportation, including public transportation, and other appropriate supportive services in the Local Area.

The Mayor's Office of Employment Development's supportive services are designed to remedy barriers that might impede a customer's ability to participate in One Stop Center services or link them to resources provided by partner agencies. To maximize resources and avoid duplication of services, MOED has developed partnerships with a wide range of agencies and community based organizations to link customers to supportive services such as clothing closets, child care support services, substance abuse treatment, temporary housing, and emergency food. Fundamental to providing intensive employment and training services is the ability to assist customers with referrals to supportive services. The AJC staff regularly mobilizes referral resources to address challenges that may inhibit a customer's ability to obtain and retain employment. Staff is trained to identify and define the problem and develop a customized plan so that customers can get the assistance they need. This plan is then integrated into the customer's Individual Employment Plan (IEP).

These strategies include:

- **Transportation Assistance:** The Mayor's Office of Employment Development has forged successful relationships with several entities that provide transportation assistance to individuals seeking employment, and to those who have obtained employment that is not easily accessible by public transportation.
- **Crisis Intervention:** Career Development Facilitators (CDF's) at the respective One Stop Career Center locations are trained to conduct a complete assessment of all customers. This includes identifying crises that may affect the participant's ability to be successful in the program. This may include referral to agencies dealing with problems of domestic violence, housing, health, budgeting, and so forth. Regular staff development activities include presentations from professionals knowledgeable in these areas. CDF's use the information obtained from the staff development activities to assist with the identification of potential abusers as well as to make referrals to available services for those in need.

(F) MOED on behalf of the BWDB will utilize Local Adult Funding, based on adult priority groups specified in the State Plan.

For WIOA Title I Adult program, Baltimore City will provide priority of service to individuals in the following target populations, in accordance with the Act, the State Plan, and the Local Plan.

<b>*Target Populations: Individuals with Barriers to Employment</b>
Displaced Homemakers
Eligible migrant and seasonal farmworkers
Ex-offenders
Homeless individuals
Individuals facing substantial cultural barriers
Individuals with disabilities, including youth with disabilities
Individuals within two years of exhausting lifetime eligibility under Part A of the Social Security Act <sup>4</sup>
Individuals who are English language learners
Individuals who are unemployed, including the long-term unemployed
Individuals who have low levels of literacy
Individuals without a High School Diploma
Low income individuals (including TANF and SNAP recipients)
Native Americans, Alaskan Natives, and Native Hawaiians
Older individuals
Single parents (including single pregnant women and non-custodial parents)
Veterans
Youth who are in or have aged out of the foster care system

Baltimore City is committed to ensuring target populations are able to access the WIOA services on a priority basis. For the WIOA Title I Adult program, priority is given in the following order further defined above in Section 4H:

<b>Priority of Service for the WIOA Title I Adult Program</b>	
<b>First Priority</b>	Veterans and eligible spouses <sup>5</sup> who are also low-income, <sup>6</sup> recipients of public assistance and/or basic skills deficient
<b>Second Priority</b>	Individuals who are meet criteria to be considered a target population* (including veterans)
<b>Third Priority</b>	Eligible spouses who did not meet "first priority" conditions
<b>Fourth Priority</b>	Individuals who are not veterans and do not meet criteria to be considered a target population

(G) MOED on behalf of the BWDB will utilize Local Dislocated Worker Funding as described below:.

Dislocated worker funds is utilized to provide services to individuals who lost their job due to plant closures, company downsizing, or some other significant change in market conditions. In most cases, eligible workers that are unlikely to return to their previous occupations, and are eligible for or have exhausted unemployment compensation are eligible for dislocated worker funds. Dislocated worker funds will support WIOA case management; skills development including career pathways, and occupational training; and OJT/ customized training. These services will assist dislocated workers in acquiring in-demand skills required for obtaining employment in the current job market.

(H) MOED on behalf of the BWDB defines “self-sufficiency” for employed Adult and employed Dislocated Worker participants as:

An annual wage greater than or equal to 125% of the Lower Living standard. Employed individuals may qualify for individualized career services and re-training services if they lack the skills to retain or gain employment at a sustainable wage. Incumbent workers who earn wages above self-sufficiency level are eligible to **receive WIOA funded incumbent worker services as defined by WIOA regulations (Subpart F—Work-based Training 680.700 page 20728)**

(I) MOED on behalf of the BWDB defines “unlikely to return to previous industry or occupation” when required for eligibility for Dislocated Worker services if one of the following conditions are met:

- current labor market data reflects a lack of employment opportunities in the industry or occupation, or
- occupation is listed as one of the area’s or region’s declining industries or occupations, or
- occupation has changed such that the customer no longer has the skills needed for the occupation, or
- obsolete or inadequate job skills for reentry into the former occupation or industry, or
- lacks appropriate industry and/or national certification or accreditation for re-hire or re-employment in industry/occupation of lay-off, or
- industry of occupation has been subject to, or is expected to be subject to, repeated layoffs or frequent business closings, or
- age and/or medical condition is such that the customer can no longer perform his/her previous occupation, or
- insufficient education for reentry into the former occupation or industry.

(J) Described below is how MOED on behalf of the BWDB will interpret and document eligibility criteria for both in-school youth and out of school youth who “require additional assistance to complete an educational program or to secure or hold employment” as set forth in the State’s Youth Policy and WIOA Sections 129(a)(1)(B)(iii)(VII) and (a)(1)(C)(iv)(VII).

Youth living in an urban environment such as Baltimore City experience a variety of outside influences that may make it difficult for them to remain in traditional high school or to secure and maintain employment. These factors include higher rates of crime, violence, drug traffic, substandard housing and challenges within the family, which make it more difficult to gain the skills and promote the interest and attitudes needed to succeed in the labor force.

In December 2013, the Youth Council of the Baltimore Workforce Investment Board approved the following additional barriers for youth to fall under the broader criteria for “requires additional assistance to complete an educational program or to secure or hold employment”. After review, this criterion will remain in effect and agrees with the State’s Youth Policy and WIOA Sections 129(a)(1)(B)(iii)(VII) and (a)(1)(C)(iv)(VII):

- limited/ non- existent employment skills based on the participant’s work history and documented in the Individualized Service Strategy (ISS)
- high school graduate or student without any clear career choices or no significant work history (not more than six consecutive months with the same employer) documented on the ISS or applicant statement

- high school graduate or student without any significant work history or a poor work history (to include no work history); or has been fired from a job in the last six calendar months documented on the ISS or applicant statement
- student in an alternative academic program in need of a high school diploma/GED documented by Baltimore City Public School (BCPS) transfer letter or attendance letter from a BCPS alternative school
- reside in high crime and or poverty area based on zip code or census tract as documented through address compared to high crime or poverty area list
- living in a single parent household and/or with a disabled parent as disclosed on an applicant statement, tax form, social services or social security documents, or disability documents
- individual with disabilities (including learning disabilities and/or mental health issues) as documented through BCPS Individual Education Plan or other medical documentation

(K) A description of the documentation required to demonstrate a “need for training”.

The customer’s need for training is based on a comprehensive assessment of work history, skills, education level, and aptitude and career interest. The need for training is documented in the Individual Employment Plan.

The IEP is an ongoing strategy jointly developed by the customer and the Career Development Facilitator (CDF) that identifies the customer’s employment goals, the appropriate achievement objectives, and the appropriate combination of services for the participant to achieve their employment goals. The IEP is developed as a result of the Comprehensive Assessment and is flexible and on-going based on the participant’s needs.

MOED’s local policy - **Policy Directive 2015-1: Individual Employment Plans** – outlines the use of the Individual Employment Plan including a section specifically designed for capturing the justification for training. The policy reads:

**Justification for Training**

Under WIOA SEC 134 (C) (3) (A), training services may be made available to employed and unemployed adults and dislocated workers without sequencing of services.

Section 680.220 of the Proposed Federal Register states “The case file must contain a determination of need for training services as determined through the interview, evaluation, or assessment, and career planning informed by labor market information and training provider performance information, or through any other career service received.” This justification must be documented on the IEP.

Examples of Justification of Training include:

- Customer is unemployed and lacks occupational skills required to obtain employment.
- Customer is unemployed and requires additional certification to obtain employment in their chosen occupation.
- Customer is unemployed and needs to up-grade his or her skills to obtain employment in their chosen occupation.
- Customer is unemployed but lacks marketable skills to obtain employment.
- Customer is employed and requires skills upgrading to obtain or retain employment that leads to self-sufficiency.
- Customer is employed and requires additional certification(s) to obtain or retain employment that leads to self-sufficiency.

(L) MOED on behalf of the BWDB will provide the fourteen required program elements for the WIOA Youth program design.

The Selected Youth Service Providers will offer a combination of the WIOA program elements listed below. Current service providers are described in Section 4 (O).

1. Tutoring, study skills training, instruction and evidence-based dropout prevention and recovery strategies that lead to completion of the requirements for a secondary school diploma or its recognized equivalent (including a recognized certificate of attendance or similar document for individuals with disabilities) or for a recognized post-secondary credential;
2. Alternative secondary school services, or dropout recovery services, as appropriate;
  - a. Collaboration with Baltimore City Public Schools Alternative Options /schools (which includes the Career Academy)
  - b. Partnership with Job Corps
  - c. Baltimore City Community College early enrollment program
3. Paid and unpaid work experiences that have academic and occupational education as a component of the work experience, which may include the following types of work experiences: summer employment opportunities and other employment opportunities available through the school year; pre-apprenticeship programs; internships and job shadowing; and on-the-job training opportunities;
  - a. Internships, summer employment opportunities have been incorporated into our year round strategy for preparing youth for careers. Such summer opportunities include: YouthWorks-Private Sector (Hire One Youth Initiative) and public funded summer jobs program and community based work and learning activities
4. Occupational skills training, which includes priority consideration for training programs that lead to recognized post-secondary credentials that align with in-demand industry sectors or occupations in the local area involved, if the BWDB Youth Committee determines that the programs meet the quality criteria described.
  - a. Office Administration including Microsoft Office Suite
  - b. National Retail Federation Certification
  - c. Entry level health related occupations training in Patient Transporter, Medical Records Clerk, Dietary Aide, Linen and Laundry Service Worker, Distribution Clerk, Clerical Associate, Environmental Services Worker, Client Services Representative
  - d. The HBI Pact
  - e. Retail Services (Customer Service and Sales Certification)
  - f. Certification in Allied Health
  - g. Biotechnical Institute of Maryland (entry-level bio technician certification)
5. Education offered concurrently with and in the same context as workforce preparation activities and training for a specific occupation or occupational cluster;
6. Leadership development opportunities, including community service and peer-centered activities encouraging responsibility and other positive social and civic behaviors;
  - a. Student government
  - b. BWDB Youth Council members
  - c. Community Service Projects
  - d. Volunteer activities

7. Supportive services;
    - a. Mentoring
    - b. Housing Assistance
    - c. Daycare Assistance
    - d. Interview Clothes
    - e. Peer-to-Peer Mentoring
    - f. Clothing Assistance
  8. Adult mentoring for a duration of at least 12 months that may occur both during and after program participation;
    - a. Advisory board members
    - b. Community volunteers
  9. Follow-up services for not less than 12 months after the completion of participation;
    - a. Career Seminars/Job Fairs
    - b. Social Media
    - c. Interaction with Career Navigator
    - d. Bi-monthly and Quarterly Meetings
  10. Comprehensive guidance and counseling, which may include drug and alcohol abuse counseling, as well as referrals to counseling, as appropriate to the needs of the individual youth;
    - a. Career awareness & Interest/skills assessment
    - b. One-Stop Career Services
    - c. Case Management
    - d. Referrals (mental health, substance abuse)
  11. Financial literacy education;
    - a. Partnerships with are financial institutions and credit unions
  12. Entrepreneurship skills training;
    - a. Partner closely with Junior Achievement of Maryland to provide the knowledge and skills participants need to know to own their economic success, plan for their future and make smart academic and economic choices. They provide relevant hands-on experience in financial literacy, work readiness and entrepreneurship.
  13. Services that provide labor market and employment information about in-demand industry sectors or occupations available in the local area, such as career awareness, career counseling, and career exploration services; and
  14. Activities that help youth prepare for and transition to post-secondary education and training.
- (M) MOED on behalf of the BWDB will ensure at least 20% of Youth Funds are used for work-based training activities.

All WIOA Youth Service Providers are required to have a summer jobs component that is supported by 20% of youth funds designated for work-based readiness. The RFP distributed for WIOA youth programs discusses the 20% funding criteria and the response must include a provision which ensures 20% of youth funds are used for work-based training activities. Although each awarded WIOA provider is required to register youth in the City of Baltimore's summer jobs program, providers have developed internships, occupational skills training, and subsidized employment opportunities that meet the definition of work-

based training activities and that will provide critical hands on training to allow successful completers to excel in the workplace. Summer employment opportunities are made available to any WIOA youth who has not obtained unsubsidized employment once the summer jobs programs begin. For programs that do not provide stipends, the summer employment serves as an incentive for participants while they work.

During quarterly reviews, the Youth Committee will review expenditures and planned activities to ensure programs are on target to meet or exceed the 20% expenditure of youth funds for work-based training activities.

(N) MOED on behalf of the BWDB plan to serve 75%+ out of school youth and plan to meet this new goal.

Baltimore has dedicated significant resources to out of school youth for decades. When the Baltimore Workforce Investment Board formed the Youth Council in 1999, its primary focus was on older youth/out of school because several successful in-school programs were already established. Over the years as the number of Opportunity Youth has grown, MOED has emphasized that and continues to ensure its service providers serve out of school youth.

The WIOA Youth RFP process specifically outlines the need to serve 75% out of school youth. Four programs were selected through the RFP process to specifically serve out of school youth and one program was selected to serve in school youth. A youth Career Navigator is housed at each MOED Career Center to meet with out of school youth and provide program referrals.

(O) , Provided below is a list and description of services for youth service providers who have contracted with MOED on behalf of the BWDB.

The organizations listed below provide services to youth 16-24 years of age that live in Baltimore City. Interested young adults must be committed to completing the enrollment process and all program activities, and attend services each day. Each program provides a small, nurturing learning environment with qualified staff to assist with life skills, employability skills, occupational skills training, and career development.

**BON SECOURS COMMUNITY WORKS HEALTH CAREER TRAINING** provides selected participants an opportunity to partner with IT Works Learning Center to receive CNA/GNA training as well as attending the Pathway to Success job readiness and life skills training.

**CAREER ACADEMY/ MAYOR'S OFFICE OF EMPLOYMENT DEVELOPMENT** is an alternative public high school providing academic, youth development and career awareness services to students in Baltimore City. The Career Academy represents an exchange and leveraging of resources between the Mayor's Office of Employment Development, the Baltimore City Public Schools, and the Job Corps Woodstock Center. The Career Academy currently offers a Diploma Plus model, which allows students the opportunity to earn a regular high school diploma, as well as college credits, while developing career skills through internship experiences.

**CIVIC WORKS/YOUTH BUILD** assists young adults to complete their high school education while providing on-site construction training experiences that provide housing for low-income families. The program fosters job readiness and leadership skills, and offers opportunities for participants to connect with apprenticeship programs and full-time employment in jobs that pay a living wage.

**GREATER BALTIMORE URBAN LEAGUE** is offering an alternative education model that will provide supplemental career readiness, job placement and post-secondary placement support to students attending the Excel Academy Alternative school. These services will be integrated into Excel Academy's already existing Career Research and Development CTE Program of Study.

**HEALTHCARE CAREERS ALLIANCE** is comprised of Sinai Hospital of Baltimore, VSP (the workforce development arm of Sinai Hospital/Lifebridge Health) in partnership with Civic Works. It offers participants a life-changing opportunity to train for careers in healthcare, Baltimore's largest economic sector. Participants receive certification and on-the-job training as clerical associates, client service representatives, nursing assistants, dietary aides, distribution clerks, environmental service workers, linen and laundry service workers, medical records clerks, medical transporters and patient transporters.

**MARYLAND NEW DIRECTIONS** will offer a complete range of educational support through their partnership with Strong City Baltimore and occupational skills training in the areas of Maritime Transportation, Distribution and Logistics (MTDL) Training Program and the Commercial Transportation Careers Training Program, Commercial Passenger Transportation/Licensure, Apartment Maintenance Certification (provided by MND). Additionally, participants in the MTDL program will be able to choose from industry specific certifications/credentials including: Forklifts Operations Certification (with Atlantic Lift Truck), Warehouse Inventory Control Specialist Certifications (with BCCC) and Certified Customs Specialist (provided by MND). Participants within the Commercial Transportation Career Program will have the opportunity to earn CDL-B Learners Permits and wheel training provided by Transdev N.A.

**URBAN ALLIANCE** will serve in-school youth and assist them in receiving their high school diploma, obtain an industry recognized credential, connect to employment or opportunity to earn the "Certified Front Desk Representative" credentials from the Educational Institute of the American Hotel and Lodging Association. Students will be awarded the certified Guest Service Professional designation and a Community College of Baltimore County (CCBC) MICROS OPERA training certificate.

Note: In keeping with Education Article 7-301, Annotated Code of Maryland, as of July 1, 2015, the compulsory public school attendance age changed from 16 to age 17 therefore out of school programs will only serve youth age 17-24. Effective July 1, 2017 the age for compulsory public school attendance will change to 18 years of age, again changing the eligibility age for out of school participants to age 18.

(P) MOED on behalf of the BWDB will provide basic and individualized career services to customers. Described below is how individualized career services will be coordinated across program/partners in the American Job Centers, including Vocational Rehabilitation, Temporary Assistance for Needy Families (TANF), and Adult Education and Literacy activities to prevent duplication and improve services to customers.

When it is determined that basic and individualized career services are appropriate for a customer to obtain or retain employment, these services will be made available through the entire AJC staff. The AJC staff will coordinate and integrate services by functions rather than specific programs, if appropriate, and strive to improve communication, capacity building, and cross trainings. Service integration will focus on serving all customers seamlessly, including targeted populations, by providing a full range of services staffed by cross-trained teams.

Coordination and the reduction of duplication of services is tracked through the Maryland Workforce Exchange (where appropriate), which directly tracks the labor exchange for all job seekers services, employer services, and training services under WIOA and TAA (Trade Adjustment Act) as well as Veterans, Reemployment Services and Eligibility Assessment and Reemployment Opportunity (RESEA)

and ROW services. AJC staff with access to the Maryland Workforce Exchange (MWE) will maintain and monitor the delivery of individualized career services in the system. Although, programs such as Temporary Assistance to Needy Families (TANF), and Supplemental Nutrition Assistance Program (SNAP) are tracked in an alternate management information system, regular communication across programs and center staff will assist to prevent duplication and provide a quality customer experience.

(Q) MOED on behalf of the BWDB has a written follow-up service policy. This policy includes follow-up requirements, frequency of contact, and required documentation.

In accordance with the Department of Labor, Employment Training administration, TRAINING AND EMPLOYMENT GUIDANCE LETTER WIOA NO. 19-16 OPERATING GUIDANCE for the WORKFORCE INNOVATION AND OPPORTUNITY ACT (referred to as WIOA or the Opportunity Act) as stated in Paragraph 4: There are three types of “career services”: Basic Career Services, Individualized Career Services, and **Follow-up Services**.

The MOED implemented its local Follow-Up Services Policy Number: 2016-2 to provide guidance to the Career Centers on providing follow up services under the Adult and Dislocated Worker programs under WIOA Title I, and individuals served by Wagner Peysner, as amended by WIOA Title III, beginning July 1, 2015 specifically related to Follow-up after employment placement. Additionally, the Follow-up Service Unit (FSU) is responsible to re-engage customers that have not exited and are not able to be contacted.

Follow-up services are conducted over a one year period as mandated by WIOA for both youth and adults. Youth Service providers & assigned MOED staff provide active case management to participants for at least one year after exiting the program. The relationship developed by the provider and participant often exists beyond the required one year of follow-up. Services may include assessment/re-assessment, mailers and notices of job fairs, additional training opportunities, support services, employment and education retention counseling, life skills/problem solving advocacy, services to support continued success for the participant or other program activities provided during the service period. Participants receiving follow-up services who work at the occupational skills training location often are asked to serve as a mentor to more recently engaged participants. They assist with recruitment, share information about program benefits and provide on the job support.

For the WIOA Adults and Dislocated Workers, follow-up services are provided as appropriate for participants who are placed in unsubsidized employment and continue for up to 12 months after job placement and/or participants that exit the program. Follow-up services are delivered monthly and include services such as mailers, phone calls, notices of job fairs, financial and literacy workshops, network clubs, special recruitments and job announcements to support career growth.

Follow up services may also include any of the following:

- Referral to Community Resources
- Referral to Medical Services
- Tracking Progress on the Job
- Work Related Peer Support Group
- Assistance securing better paying job
- Career development and further education planning
- Assistance with Job/Work Related Problems
- Adult Mentoring/Tutoring
- Leadership Development
- Other Follow up Service, not classified

Participants are contacted monthly, all contacts and attempts are documented and services provided will be documented in the Maryland Workforce Exchange (MWE) as an activity and noted in the case file. Documentation of new employment will be obtained and placed in the participant's case record and the MWE.

## **Section 6 – Title II – Adult Education and Family Literacy Functions**

This Section should include –

- (A) MOED on behalf of the BWDB will coordinate workforce development activities in the local area integrating the provision of adult education and literacy activities under Title II of WIOA, including, but not limited to, the implementation of the career pathways model. The description includes a discussion of how the board will comply with requirements to review local applications submitted under Title II as set forth in guidance provided by the Division of Workforce Development's Office of Adult Education and Literacy Services. Once review has been conducted, the BWDB will submit documentation (DLLR WIOA Alignment Form) of the review and any recommendations for increased alignment to the applicant for Title II services in a timely manner.

The state plan, consistent with federal WIOA guidelines, requires that as part of the WIOA Title II adult basic education application process, applicants will be required to submit their applications to the local board, which will review the applications for alignment with the local plan. The DLLR Division of Workforce and Adult Education and Literacy Services will provide the BWDB with an opportunity to make recommendations and potentially participate in the proposal review process when scoring the related sections of the applications. Services will:

- align with the skill needs of industries in the economy of the state and/or regional economy involved;
- prepare an individual to be successful in any of a full range of secondary or postsecondary education options, including registered apprenticeships;
- include counseling to support an individual in achieving the individual's education and career goals;
- include, as appropriate, education offered concurrently with and in the same context as workforce preparation activities and training for a specific occupation or occupational cluster;
- organize education, training, and other services to meet the particular needs of an individual in a manner that accelerates the educational and career advancement of the individual to the extent possible;
- enable an individual to attain a secondary school diploma or its recognized equivalent and at least 1 recognized postsecondary credential;
- helps an individual enter or advance within a specific occupation or occupational cluster; and
- provide English as a Second language classes to foreign born individuals

The Board will review any Title II proposal and state whether the Title II proposal: (a) aligns with the local plan, or (b) recommends revisions that would lead to alignment with the local plan. The Maryland Department of Labor, Licensing and Regulation (DLLR) will establish a uniform process for each local board to review for alignment applications for Title II funding. (See, WIOA Section 231(c)(2)). The MOED intends to follow the guidance provided by DLLR in reviewing RFP proposals for Title II funds.

Recognizing the importance of supporting Baltimore City's adult learners in increasing their earning capacity, the Mayor's Office of Employment Development (MOED) and partners, South Baltimore Learning Center, Strong City and Baltimore City Community College responded to a Competitive Grant

Proposal, Career Pathway Connections for Adult Learners initiative released in October 2017. In 2018, DLLR awarded the Career Pathway Connections for Adult Learners grant to Baltimore City. The Baltimore City implemented its Baltimore Accelerating Connections to Employment’s Emergency Medical Technician (BACE-EMT) Project career pathways model through which Adult learners can gain skills, credentials and upward mobility in the healthcare sector. The BACE-EMT project uses an Integrated Education and Training model of service delivery. The (MOED) and partners, South Baltimore Learning Center (SBLC), Strong City, and Baltimore City Community College intend to recruit students who are currently enrolled or have recently completed their education in Title II funded programs, and are interested in careers as EMTs. Our goal is to enroll forty (40) Title II adult education students into occupational skills training to acquire the skills and credentials necessary to secure employment as Emergency Medical Technicians.

(B) MOED on behalf of the BWDB will coordinate efforts with Title II providers to align basic skills and English language assessments.

Through joint planning sessions, the MOED and One-Stop partners developed a “Service Matrix” to coordinate and align workforce and educational services to increase access for individuals. Using the Service Matrix as a tool helps partners understand the services that each partner agency provides and streamline referrals. A referral mechanism and consent form was developed to efficiently refer the customer to partner programs, including the sharing of assessment scores and test results for the adult and dislocated worker populations. The workforce staff are trained in administering the common assessments utilized by Title II providers.

Adult Provider	Services
Baltimore City Community College	<ul style="list-style-type: none"> <li>• provide GED and adult basic education classes at the One-Stop Career Center</li> <li>• provide students with information on nontraditional career opportunities</li> <li>• provide students with information on adult apprenticeship programs</li> <li>• provide students with information about One-Stop training opportunities and referrals</li> <li>• assist students to attain a secondary school diploma or its recognized equivalent and at least 1 recognized postsecondary credential; and offer courses to for students for occupational skills training</li> </ul>
South Baltimore Learning Center	<ul style="list-style-type: none"> <li>• provide GED and adult basic education classes</li> <li>• provide information to students on nontraditional career opportunities</li> <li>• provide students with information on adult apprenticeship programs and refer to One-Stop</li> <li>• information on special events focusing on career exploration</li> <li>• provide information about One-Stop training opportunities and refer to the center’s point of contact</li> </ul>
Strong City Baltimore – The Adult Learning Center	<ul style="list-style-type: none"> <li>• provide Adult Basic Education(ABE) and English Language Acquisition (ELA)</li> <li>• setup a kiosk with information on training opportunities and events from partner organizations</li> <li>• refer individuals to American Job Centers that express interest in MOED services</li> <li>• designate a point of contact to schedule and track cross referrals</li> </ul>

The description should include:

- An outline of the agreed upon steps that will be taken to align basic education skills and English language assessments within the local area, including, but not limited to, any Memoranda of Understanding entered into by the workforce development and adult learning partners;

The MOED in coordination with Adult Education providers will assist in the development of a mapping tool that identifies all the local providers, services and English language assessments to increase referrals and connect learners to the provider that can best address their literacy need. Through continuous planning, devise a strategy to address low literacy learners without a High School Diploma/GED. MOED and the Adult Education providers created the following mapping tool: [Baltimore City Adult Education Providers \(https://www.google.com/maps/d/viewer?mid=1WHsJsZa29YfiNOSkaDKgE\\_spzBE&ll=39.296387124817194%2C-76.61815555000004&z=12\)](https://www.google.com/maps/d/viewer?mid=1WHsJsZa29YfiNOSkaDKgE_spzBE&ll=39.296387124817194%2C-76.61815555000004&z=12)

The MOED will also plan quarterly meetings with local Adult Education Literacy provider’s to share information and continue the practice of building and aligning basic education and sharing of best practices and success stories.

- An identification of how assessment scores will be shared among WIOA Title I areas and Title II providers (Consideration must be given to the Federal Education Rights and Privacy Act (FERPA));

The MOED in coordination with Title II providers’ developed and implemented the Baltimore City WIOA Partners – Voluntary Consent Form – (Attachment A7 within the WIOA MOU) which authorizes the release of information to include assessment scores among partners.

- An identification of who will conduct which of the approved assessments (including for Trade Participants) and when such assessments will be conducted, consistent with this policy;

Workers certified as eligible for TAA (TAA-certified) will be assessed by WIOA staff immediately after accessing One-stop services.

Effective July 1, 2017, Local Areas and WIOA Title II Adult Education Service Providers selected assessments from a recommended list. MOED and its Adult Education providers selected to be:

- Comprehensive Adult Student Assessment Systems (CASAS); and/or,
- Tests of Adult Basic Education (TABE).

In an effort to reduce over-assessment of shared participants, to increase efficiencies, and to improve the overall WIOA customer experience, MOED and its Adult Partner in accordance with the Baltimore City WIOA MOU for the 2016-2020 will share test results and coordinate the use of assessments and as indicated below.

Approved Assessments	By Who	When
CASAS	Adult Education	Prior to enrollment

TABE	WIOA Career Development Facilitator	After eligibility determination
TABE Complete Language Assessment System-English (TABE/CLAS-E)	Adult Education	
Basic English Skills Test (BEST) Literacy	Adult Education	
BEST Plus	Adult Education	

- An outline of how the local area will coordinate testing between workforce development and adult education providers; and

The Adult Education providers administers the CASAS and/or TABE/CLAS-E to learners requiring Adult Basic Education, GED and/or English language acquisition skills and the WIOA staff administers the TABE to jobseekers interested in WIOA Individualized and Training Services.

- An outline of how the local area will ensure that test administrators are to be trained in accordance with this policy and applicable testing guidelines as set forth by the applicable test publisher.

In accordance with DLLR POLICY ISSUANCE 2019-05, Basic Education Skills and English Language Assessments dated February 2, 2019:

### TRAINING FOR ADMINISTERING ASSESSMENTS

DLLR requires all Title I and Title II assessment administrators including Youth Program service providers to be properly trained before administering standardized assessments. Programs must comply with all training requirements established by the test publisher, including education and other minimum requirements.

Certified state assessment trainers will train new staff including Intake/Assessment staff, Instructional Specialist and designated workforce staff who will then deliver administration and interpretation procedures for all approved assessments to local programs. Exception: All BEST Plus 2.0 training shall be conducted at the state level by certified trainers.

All program staff who administer any CASAS assessment are required to complete the *CASAS Initial Implementation Training (IIT)* offered online prior to administering any CASAS assessment.

### Staff Training Schedule

WHO	BY WHOM	WHEN	
		Initial	Update
State Trainers	CASAS, TABE or BEST	Upon hire	Every two years
WIOA Title II Intake/Assessment	State Trainer & Online IIT	Upon hire	Every two years
WIOA Title II Instructional Specialists	State Trainer & Online IIT	Upon hire	Every two years
WIOA Title II ELA Lead Teachers	State Trainer & Online IIT	Upon hire	Every two years
WIOA Title II Local Program Staff	CASAS Online IIT, Intake/Assessment Specialist, Instructional Specialist and/or ELA Lead Teacher	Upon hire	Every two years

WIOA Title I Local Program Staff	CASAS Online IIT or Intake/Assessment Specialist, Instructional Specialist and/or ELA Lead Teacher from a Title II program	Prior to delivering assessments	Every two years
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State and local program staffs are responsible for maintaining a record for each assessment training they conduct. This will include original agendas, sign-in sheets, and evaluations in hard- copy or electronic form. State adult education staff will enter state training data and local WIOA Title II program staff will enter local program training data in the staff person’s LACES personnel record. WIOA Title I providers must be prepared to share training data on staff upon DLLR or USDOL request.

The MOED in coordination with local title II providers will plan ongoing staff development training sessions to include utilizing assessments resources and guides applicable to assessments used. Partners will also monitor the test developers’ websites to keep up to date with postings of any changes, information about new tests in development, and other resources.

(C) MOED on behalf of the BWDB will ensure that the individual appointed to represent Title II services on the Board will coordinate with all Title II Grant Administrators in the Local Area in a uniform, regular and consistent manner.

The One-Stop operator will convene regular meetings with the Title II providers to collaboratively implement the strategic intent of WIOA. Strategic goals : 1) will place special emphasis on the development, implementation and/or expansion of strategies for meeting the needs of local employers, workers and jobs seekers through sector partnerships related to in-demand industry sectors and occupations; and 2) will work with our core partner programs to facilitate the development of career pathways, especially within targeted industry sectors, to help individuals with low skill levels complete the education and training they need to attain industry recognized credentials and to meet the skills requirements of in demand industries or occupations.

The president of the Baltimore City Community College represents Title II on the Workforce Development Board.

(D) A description of how adult education services will be provided in the American Job Center system within the Local Area.

Baltimore City Community College, a Title II provider, will continue to facilitate GED and pre-GED classes in the AJC’s four half-days per week. Through practice testing and GED.com, the instructors will determine the candidate’s readiness to matriculate from Pre-GED to GED and readiness for sitting for the GED exam. The Title II providers may refer between programs based on the needs of the jobseekers after assessment and testing.

One-Stop staff will refer to ESL classes as appropriate.

## Section 7 – Title III – Wagner-Peyser Functions

This Section should include –

- (A) A description of plans and strategies for, and assurances concerning, maximizing coordination of services provided by the State employment service under the Wagner-Peyser Act (29 U.S.C. 49 et seq.) and services provided in the Local Area through the American Job Center delivery system, to improve service delivery and avoid duplication of services.

Through joint WIOA planning meetings, a collaborative model has been developed to provide seamless services to all program customers including Wagner Peyser, Veterans, Unemployment Insurance, Trade Act and WIOA eligible customers. This model includes streamlining and coordinating the operational process and procedures for customer flow and service design within the one-stop system. This has been accomplished through coordinated orientation sessions, outreach, retention services, marketing efforts, targeted workshops, integrated staffing, job development and collaborative management and oversight. Specifically, Job Service staff play a pivotal role in delivering basic career services that include: AJC orientation sessions, workshops, interviewing and screening for job opportunities, assisting customers with MWE registration, assisting with electronic resumes, providing job referrals, connecting customers to labor market information, conducting informal assessments, initiating referrals to individualized career and/or training services. The delivery of services to participants are recorded and tracked in the MWE management system and strongly supports coordination and reduces duplication of services. Job Service and WIOA staff jointly facilitate rapid response activities, both on the job site and in the One-Stop Centers.

Baltimore City job seekers and employers are served in a seamless and customer focused manner. Through a citywide network of One-Stop Career Centers and multiple workforce partners, Baltimore area businesses benefit from MOED's Employ Baltimore strategy that provides services to build and retain a quality workforce. The Business Services division of the Mayor's Office of Employment Development (MOED) coordinates and guides the efforts of business services staff comprised of WIOA, Wagner Peyser, DORS, TANF and Veterans. All staff are trained on a comprehensive menu of human resource services designed to accommodate the needs of business including Customized Training; no cost job posting; outreach and recruitment, prescreening and assessment of applicants, and job matching; tax credit information, job fairs and on-site recruitment and access to labor market information.

- (B) MOED on behalf of the BWDB will ensure that migrant and seasonal farm workers in its Local Area will be provided employment services.

Migrant and seasonal farm workers are not a population typically served by Baltimore City. In the event that there is a migrant or seasonal farm worker in Baltimore City, MOED stands ready to provide training services consistent with the requirements of Wagner-Peyser.

## Section 8 – Title IV – Vocational Rehabilitation Functions

This Section should include –

- (A) A description of the replicated cooperative agreements (as defined in section 107(d)(11)) between the Local Board or other local entities described in section 101(a)(11)(B) of the Rehabilitation Act of 1973 (29 U.S.C. 721(a)(11)(B)) and the local office of a designated State agency or designated State unit administering programs carried out under title I of such Act (29 U.S.C. 720 et seq.) (**The Maryland State Department of Education's Division of Rehabilitation Services**) (other than section 112 or part C of that title (29 U.S.C. 732, 741) and subject to section 121(f)) in accordance with section 101(a)(11) of such Act (29 U.S.C. 721(a)(11)) with respect to efforts that will enhance the provision of services to individuals with disabilities

and to other individuals, such as cross training of staff, technical assistance, use and sharing of information, cooperative efforts with employers, and other efforts at cooperation, collaboration, and coordination.

The Maryland State Department of Education/ Division of Rehabilitation Services, in accordance with 29 U.S.C. 721(a) (11) will provide the following services to individuals with disabilities:

- Provide intake, orientation, and assessments for disabled job-seekers;
- Promote employment of persons with disabilities;
- Provide comprehensive assessments and an Individualized Employment Plan;
- Provide guidance and counseling, physical restoration, and training to financially eligible persons with disabilities;
- Provide follow-up services to enhance job retention;
- Provide other services as may be available and appropriate;
- Provide Pre-Employment Transitioning Services for students with disabilities, as defined by WIOA;
- Provide Supported Employment Services for youth with disabilities as defined by WIOA;
- Provide independent living services older blind services to enhance the capacity of persons with disabilities to live unaided in the community;
- Provide performance information as required by WIOA;
- Provide crossing training to AJC workforce staff on disability related issues;
- Provide training and technical assistance on disability related issues and on assistive technology;
- Engage employers through the Division's Business Liaisons;
- Work in a collaborative manner to coordinate services among the Workforce Partners for individuals with disabilities.

(B) A description of how individuals with disabilities will be served through the American Job Center system in the Local Area.

As with all individuals, whether they have a disability or not, who visit the American Job Center, the goal is to have a universal referral form, which will enable individuals to select the services they feel they need. In addition, the goal is to ensure that all resources and services are accessible regardless of the individual's disability.

The American Job Centers employs a triage service delivery model. This model ensures the customer is not forced into a prescribed set of processes that may not meet their needs. Rather, the customer receives an informal assessment and overview of AJC resources and services then connected to the appropriate services and/or partner.

When a customer enters the American Job Center and self identifies as having a disability – AJC staff will inquire if the person needs special accommodations to access AJC services. The One-Stop will comply with the A.D.A. by promoting inclusion, choice, and accessibility and ensure that job seekers with disabilities have access to all resources/services. If the customer does not need special accommodations they will access services without supports. If the customer determines there are accommodation needs in order to access the AJC, they are offered supports to include:

Referral to DORS Services if requesting any of the following:

- an accommodation needed to access the AJC, or
- indicates a need for multiple or extensive vocational services, or

- Customer receives SSI or SSDI benefits as a result of a disabling condition (qualifies for Ticket to Work).
- Is interested in specialize vocational rehabilitation services to assist with employment.

The resources offered at the One-Stop are:

- A Vocational Rehabilitation Specialist/Counselor is assigned to AJC for direct referral, and technical support for persons with disabilities working with AJC Partners
- Promote blending, leveraging and braiding of resources to benefit job seekers with disabilities.
- Provide information to staff and customers regarding reasonable accommodations at job sites.
- Provide Employers information regarding ADA and reasonable accommodations.
- Employment and skills training workshops and seminars available through the job center
- Increased use of the career resources area.
- Awareness and utilization of the job center's assistive technology
- Information about the array of additional resources outside the job center
- Referrals to appropriate service providers
- Provision of supportive services
- Partners will share employer, job development and job placement databases and openings

***Physical Accessibility of Facilities, Programs and Services:***

The One-Stop offers physical accessibility to the facility, including:

- Wheelchair accessible ramp
- Extra wide handicap parking space
- Customer accessible bathrooms
- Universal Accessible workstation
- VRI –Virtual Interpreter Interaction

***Technology and Materials for Individuals with Disabilities:***

The One-Stop offers the following and will continue to improve assistive technology resources:

- Access to Vocational Rehabilitation Specialist/Counselor
- Screen reader applications
- Motorized Adj. Table (48"x30")
- Large Print keyboard
- Microsoft Narrator
- NVDA Magnification applications
- Microsoft Magnifier
- Freedom Scientific's MAGic Screen Magnification with SpeechMouse
- Motorized Adj. Table (48"x30")
- Lg Print keyboard
- Trackball
- Multi-Function LaserJet Printer
- Headphones (noise canceling)

DORS will conduct on-going staff development training (Quarterly and on-going) in areas such as:

- Importance of programmatic as well as physical accessibility
- Serving customers with identified disability requiring support services

- Disability etiquette and sensitivity training to Partner/Agency Staff
- Referral to DORS Staff for Rehabilitation Technology Services for assistive technology assessments of for employment using Universal Workstation design and accessibility.
- Technical assistance to all AJC Staff and Partners working with persons with disabilities
- Partner cross training on disability services and programs available to AJC users.

## Section 9 – Temporary Assistance for Needy Families Functions

DHS, in partnership with the 24 Local Departments of Social Services (LDSS) and the WIOA Partners, will implement this new mandated partnership using a phased in approach over the four year period of Maryland’s WIOA Combined Plan in all 12 Local Workforce Development Areas Statewide.<sup>27</sup> This will allow for strategic and thoughtful roll-out, flexibility based on crucial local needs and input, evaluation and ability to change course as needed. With guidance and technical assistance from DHS and DLLR, Local Areas will work to implement a functional approach to integration which may include revised practices and policies related to:

- Eligibility,
- The range and sequent of services,
- The use of funds for supportive services,
- Income support,
- Performance measurement,
- Reporting requirements, and
- Administrative structures and decision making.

The phased in schedule is informed by the WIOA/Temporary Assistance for Needy Families (TANF) readiness assessment data gleaned from both the LDSS and Local Workforce Development Areas.

This Section should include –

- (A) A description of the Local Board’s implementation timeline and planning activities for TANF (e.g., strategies for improving customer intake, service coordination, client monitoring and tracking, targeting employment services to low-skill, low-wage workers, etc.).

Implementation of the mandated partnership between the Temporary Assistance for Needy Families program and other WIOA Partners is being phased in over the four-year period of Maryland’s WIOA Combined Plan. As determined by the Maryland Department of Human Services through the WIOA/TANF readiness assessment, Baltimore City convened planning meetings to develop a framework and workflow to integrate TANF into the One-Stop Career Centers by July 2018.

Individuals served by Temporary Assistance for Needy Families called Temporary Cash Assistance in the State of Maryland, are a primary target population for Workforce Innovation and Opportunity Act Title I Adult Programs. This low-income and multi-barrier target population is an important component of a successful local workforce system making the partnership with Temporary Cash Assistance essential.

As referenced throughout this Plan, the WIOA partners including Temporary Cash Assistance agreed to an integrated common intake that includes initial screening, Maryland Workforce Exchange registration, referral, and basic career services. In addition, Temporary Cash Assistance representatives participate in

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<sup>27</sup> See, page 297 of the Combined State Workforce Plan located at <http://www.dllr.maryland.gov/wdplan/wdstateplan.pdf>

orientation/information sessions, coordination meetings to facilitate co-enrollments, career pathway strategies, supportive services, and staff development training.

In August and December 2016, Baltimore City's WIOA Partners participated in a strategic planning session made available through technical assistance resources provided by the Systems to Family Stability National Policy Academy. This session led by Dr. Michelle Derr of Mathematica Policy Inc. focused on innovative practices to increase engagement of TANF recipients and improve utilization of WIOA services. Many of these promising practices were considered in the design and implementation of TANF in the One-Stops.

(B) A description of the implementation and coordination process to enhance the provision of services to individuals on TANF that includes:

- Potential co-location of LDSS and/or WIOA Partners at American Job Centers or LDSS depending on the nature of local partnerships and operations;
  - Baltimore City Department of Social Services co-located at the Northeast Career Center 3 days per week (M,W,F) and 2 days per week (Tues & Thurs) at the Eastside
- Leverage existing financial and in-kind contributions to the WIOA system to ensure coordination of services provided by multiple programs, creating a seamless approach to delivering services;
  - Through the Resource Sharing Agreement TANF will contribute funds towards the infrastructure costs of the One-Stop Career Centers and leverage services and resources provided by other partners.
- Cross train and provide technical assistance to all WIOA Partners about TANF;
  - TANF staff will cross train WIOA partners about TANF programs, eligibility criteria, and available support services and provide technical assistance. TANF staff will attend WIOA partners and center staff meetings and receive training on One-Stop customer flow, service delivery, and partner referrals.
- Ensure that activities are countable and tracked for the TANF Work Participation Rate;
  - TANF staff co-located in the One Stop Career Center will track and monitor activities for TANF customers co-enrolled in WIOA and/or other partner programs.
- Access to business services and employer initiatives to attract and better serve employers by marketing joint services, minimizing the burden on employers who use the centers, and provide employer-focused services through a single point of entry rather than through all partnering programs; and
  - TANF staff will collaborate with the Center's Business Services Representative on employer events and job development initiatives to align and maximize efforts.
- Contribute and provide baseline outcomes data to the WIOA system through strategies for collecting and reporting varied program reporting requirements.
  - MOED and TANF will ensure countable activities are tracked for performance metrics; and will collect and share participant job placement information.

#### Existing Coordination of Services

Even prior to the enactment of WIOA and implementation of the combined local plan, a history of collaboration existed between TANF work programs and the broader workforce system in Baltimore City.

The following collaborations are currently in place:

- a. BCDSS partners with the Mayor's Office of Employment Development's Employment Continuum (MOED-EC) office to provide job training and job placement services to a portion of Baltimore City's TANF recipients.
- b. Every customer referred to MOED-EC is registered with the Maryland Workforce Exchange.
- c. BCDSS partners with MOED to help provide summer jobs for youth every summer.
- d. MOED-EC refers TANF customers to Baltimore City Community College (BCCC) for GED and pre-GED to help prepare them for the GED test.
- e. Data sharing: MOED-EC has access to the Maryland DHS WORKS program database.
- f. BCDSS established partnerships with the Community College of Baltimore County, University of Maryland Medical Center, Johns Hopkins University, Greater Baltimore Urban League and many others to provide specific skills training opportunities for TANF customers.

Through WIOA implementation, Baltimore City plans to continue and expand upon this existing partnership.

### Goals for Implementation

The goals for the partnership are as follows:

- a. Blend BCDSS services at the AJCs.
  - i. Located BCDSS staff at each American Job Center (AJCs) for 20 hours per week.
  - ii. Provide benefit screening and eligibility determination
  - iii. Refer eligible customers to workforce development programs and WIOA partner services
  - iv. Ensure access to AJC opportunities for all TANF customers, regardless of service provider.
- b. Cross-train staff
  - i. Train all workforce area partners to enhance awareness of BCDSS programs, eligibility criteria, and available support services.
- c. Participate in AJC orientations to provide an overview of BCDSS services
- d. Provide referrals to assist in removing barriers to employment
- e. Conduct outreach to BCDSS employed customers to educate them on available training opportunities offered by AJC to promote career pathway and stackable credentialing opportunities
- f. Align and share job-readiness assessment tools to create a more seamless intake process and prevent duplication of effort for customers and staff.
- g. Blend performance measures across programs to aid in reporting.
- h. Leverage opportunities provided by the Maryland State Department of Education Division of Rehabilitation Services (DORS) for TANF customers with disabilities.
- i. Enroll a portion of TANF youth between the ages of 16-24 in WIOA's out-of-school youth program.
- j. Increase utilization of Re-entry Center services for TANF customers who are ex-offenders.

To ensure coordination of services, the MOED and workforce partners developed a One Stop Career Center services matrix that outlines the services provided by each partners for better coordination and mitigate duplication of services. The matrix is being used as a tool to better understand the scope of services available to customers and to enhance providers' ability to refer customers to the appropriate program to meet their employment development needs. An Assistance Request Form has been created to help facilitate the process. ([https://docs.google.com/forms/d/e/1FAIpQLSfQWT93X1\\_1YoI2jPZ-jVJv53i8ccTW1JiqXg1hOoE2sUQVjg/viewform](https://docs.google.com/forms/d/e/1FAIpQLSfQWT93X1_1YoI2jPZ-jVJv53i8ccTW1JiqXg1hOoE2sUQVjg/viewform))

(C) A description of the LDSS representation on the Local Board to ensure that TANF expectations, roles, and responsibilities are addressed in the Local Area.

The BCDSS Director is a longstanding member of the Local Board since 2015 and sits to ensure that TANF expectations, roles, and responsibilities are addressed in Baltimore City.

(D) Provide a description of what strategies the Local Board will employ to support TANF recipients in accessing skills and credentialing, life management skills, and employment to improve the financial status of those exiting the TANF program.

The MOED understands the importance of supporting TANF recipients with obtaining the skills and credentialing they need to increase their earning potential. The AJC offers a combination of education, job readiness, career counseling and support services. TANF recipients are encouraged to enroll in occupational skills training and other sector-based strategies like Career pathways and apprenticeship programs. To ensure these strategies are achieved the following has been implemented:

- Co-location of LDSS both AJC to provide services in accordance with WIOA MOU
- Outreach Coordinator provides weekly orientation and MWE enrollment sessions to TANF customers enrolled in the Employment Continuum program at the Workforce Reception Center (WRC)
- Distribution of Now Hiring publication and training opportunity available thru the AJC
- Availability of Follow-up Tracking service to be provided to TANF recipients exiting and or completing Employment Continuum program (to be implemented)
- Leverage existing RSA contributions to the WIOA system to ensure coordination of services provided by multiple programs, creating a seamless approach to delivering services
- Cross train and provide technical assistance to all WIOA Partners about TANF
- Providing Partner Access to MWE to facilitate case management, data sharing and job search/placement tools
- Access to business services and employer initiatives to attract and better serve employers by marketing joint services, minimizing the burden on employers who use the centers, and provide employer-focused services through a single point of entry rather than through all partnering programs

## Section 10 – Community Service Block Grant Functions

This Section includes –

(A) A list of Community Service Block Grant (CSBG) providers in the Local Area and whether they provide employment and training activities.

**Baltimore City Community Action Partnership**  
1731 E. Chase Street  
2<sup>nd</sup> Floor  
Baltimore, MD 21213  
410-396-5850

The Baltimore City Community Action Partnership provides limited employment training programs:

- Resume writing and job applications via case management
- Internship programs for college students
- Referrals to MOED
- Referrals to other job training programs

(B) A description of the implementation and coordination process to enhance the provision of services to individuals on CSBG that includes:

- Potential co-location of Community Action Agencies (CAAs) and/or WIOA Partners at American Job Centers or CAAs depending on the nature of local partnerships and operations;

The Community Action Partnership (CAP) will co-locate staff in the One-Stop Centers. The CAP will provide information and/or staff for the One-Stops information sessions; provide one staff person to provide CAP services, including financial literacy workshops at the One-Stop Career Centers at least once a month; and provide one staff person to provide CAP services on the Mobile Workforce Unit.

- Leverage existing financial and in-kind contributions to the WIOA system to ensure coordination of services provided by multiple programs, creating a seamless approach to delivering services;

Through the Resource Sharing Agreement, the CAP will provide support to the infrastructure of the One-Stop Career Centers; and continue to provide workforce services creating a seamless approach to service delivery for the participants.

- Cross train and provide technical assistance to all WIOA Partners about CSBG; CAP staff will cross train the WIOA partners and One-Stop center staff about CSBG and provide technical assistance as needed. CAP staff will be trained by MOED's One Stop Operator on One-Stop customer flow, service delivery, and partner referrals. CAP staff will attend the quarterly WIOA's One Stop Partner and center's staff meetings where all partners share information.

The Human Services director will also attend the Baltimore Workforce Development Board meetings.

- Ensure that activities are countable and tracked for CSBG performance metrics;

MOED will ensure countable CAP activities are tracked for CSBG performance metrics; and will collect and share participant job placement information.

- Access to business services and employer initiatives to attract and better serve employers by marketing joint services, minimizing the burden on employers who use the centers, and provide employer-focused services through a single point of entry rather than through all partnering programs; and

A representative from CAP will collaborate with the Center's Business Services Representative on business services and employer initiatives to align efforts.

- Contribute and provide baseline outcomes data to the WIOA system through strategies for collecting and reporting varied program reporting requirements.

CAP will provide MOED with data for shared participants including services provided to customers within the One Stop Center and the Mobile Workforce Unit; outcomes for job placement and retention; and services provide to employers.

(C) A description of the CAA representation on the Local Board to ensure that CSBG expectations, roles, and responsibilities are addressed in the Local Area.

The Baltimore City Community Action Association director, Lori Cunningham, will be appointed to the local board.

## **Section 11 – Jobs for Veterans State Grants Functions**

This Section includes –

(A) A description of how priority of service to veterans and their eligible spouses will be provided in the American Job Center.

In accordance with the Jobs for Veterans Act (P.L. 107-288) (38 USC 4215(a)), the Veterans’ Benefits, Health Care, and Technology Act 2006 (P.L. 109-461), and 20 CFR Part 1010, published on December 19, 2008, MOED will ensure that eligible veterans and eligible spouses are identified at the point of entry, are aware of their entitlement of priority of service and are given an opportunity to take full advantage of priority of service and the full array of employment, training and placement services, consistent with requirements outlined in Training and Employment Guidance Letter 10-09 and Veterans’ Program Letter 07-09. The initial assessment and registration documents must identify veteran status. Veterans and their eligible spouses advancing to intensive level and training services have to provide their DD214 to receive training services under WIOA as it is outlined in the Employment Services for Veterans Policy Issuance 2016-04 dated February 24, 2016. Priority of service for veterans and their eligible spouses as defined in the public law is as follows:

- Veterans and their eligible spouses must meet the program operator’s eligibility requirements before priority is applied.
- Veterans and their eligible spouses are afforded priority when they meet program eligibility requirements, and when two or more applicants are of equal status, skill or ability.
- First to be served are public assistance recipients and low-income individuals who are also veterans or their eligible spouses.
- Second to be served are public assistance recipients and low-income non-veterans or their eligible spouses.
- Among participants who are not public assistance recipients or low-income individuals, veterans and their eligible spouses will receive priority over non-veterans.

Individuals who self-identify as a veteran or eligible spouse to the greeter upon entering the Job Center are screened with the Veterans Significant Barriers to Employment Checklist to identify significant barriers. Those veterans identified as having significant barriers (and determined to need additional assistance) are directly routed to the Disabled Veterans’ Outreach Program (DVOP) specialist in the center. Those veterans who do not have significant barriers are seen by other staff and will receive priority of service within the American Job Center.

(B) A description of how MOED on behalf of the BWDB will engage Local Veterans Employment Representatives in engaging and providing services to local businesses.

All job orders developed by the MOED Business Services Unit are shared with the Veterans Services LVER who reviews and screens for candidates 24 hours prior to the job order being released to the general population. The LVER attends the monthly Business Services meeting and connects with the business community to create recruitment events and opportunities for veterans to network with employers as well as facilitating job clubs. In addition, they share business contacts to avoid duplication of efforts.

## **Section 12 – Trade Adjustment Assistance for Workers Program Functions**

This Section includes –

(A) A description of how Trade Adjustment Assistance services will be provided in the American Job Center system within the Local Area.

The Trade Adjustment Assistance (TAA) program is a federal entitlement program established under the Trade Act of 1974, as amended by the Trade Adjustment Assistance Reform Act of 2002. The TAA Program provides aid to workers who lose their jobs or whose hours of work and wages are reduced as a result of foreign trade. More information on petitions for TAA can be found on the DLLR website.

<https://www.dllr.state.md.us/employment/taa.shtml>

Individuals who qualify for Trade Adjustment Assistance can receive services through the American Job Center. WP staff, supervised by DLLR supervisors, typically have primary responsibility for the program at the One-Stop Career Centers and WIOA funding provides several services to assist TAA participants with completing and submitting all paperwork required to receive training. Staff also assist participants with completing documentation to receive Trade Readjustment Allowances (TRA), and applying for and renewing waivers.

Coordination and the reduction of duplication of services is tracked through the Maryland Workforce Exchange (where appropriate), which directly tracks the labor exchange for all job seekers services, employer services, and training services under WIOA and TAA (Trade Adjustment Act). AJC staff with access to the Maryland Workforce Exchange (MWE) will maintain and monitor the delivery of individualized career services to participants within the system.

Services available through the Trade Act:

- Training- classroom training, on-the-job training, and customized training designed to meet the needs of a specific employer or group of employers, apprenticeship programs, and more.
- Trade Readjustment Allowances (TRA) -income support available in the form of weekly cash payments to workers who are enrolled in a full-time approved training course and have exhausted all rights to State and Federal Unemployment Insurance.
- Work Search Allowances- may be payable to cover expenses incurred in seeking employment outside your normal commuting area.

- Relocation Allowances- provide reimbursement for approved expenses if you are successful in obtaining employment outside your normal commuting area for you to relocate to your new area of employment.
- Alternative Trade Adjustment Assistance (ATAA) and Reemployment Trade Adjustment Assistance (RTAA)- a wage subsidy for up to two years that is available to reemployed older workers, age 50 and over, and covers a portion of the difference between a worker's new wage and his/her old wage (up to a specified maximum amount).

### **Section 13 – Unemployment Insurance Functions**

This Section includes –

- (A) A description of how Unemployment Insurance claimants will be supported by the American Job Center system within the Local Area. This description includes how the AJC will utilize the Wagner-Peyser program to provide access to local workforce development services for Unemployment Insurance claimants.

Unemployment Insurance Claimants are invited by letter to participate in mandated reemployment workshops. Federally funded RESEA (Reemployment Services and Eligibility Assessment) workshops target individuals receiving Unemployment Insurance that are most likely to exhaust benefits before finding employment. Sixty (60) day follow-up workshop activity is required by each claimant to assist the customer in obtaining employment or exploring resources they may need while on unemployment. The workshop leader is to ensure engagement within center services and to follow up to see if each participant has attended the additional designated workshops.

ROW (Reemployment Opportunity Workshops) is federally funded but administered by State staff and targets individuals receiving Unemployment Insurance that are least likely to exhaust benefits before finding employment. These workshops teach claimants about resume writing techniques, interviewing and job search strategies and job opportunities. Workshop participants also receive an orientation to the center, partner services and training opportunities across the workforce system.

Wagner-Peyser staff also provide information to individuals requiring assistance with filing claims for unemployment compensation.

Additionally, information on services such as job fairs and training opportunities are posted in the One-Stop centers and disseminated telephonically using the phone tree system and electronically through social media, associated workforce systems and websites.

Outplacement services or Rapid Response Services include on site job readiness workshops (resume writing, interviewing skills, and job search on the internet); labor market information to guide the job search process, and referrals to other Career Center services such as one-on-one meeting with center staff. MOED also conducts mini job fairs for specific dislocated workers and offers training initiatives including OJT and Customized Training which provide hands on work skills with a commitment to hire.

## Section 14 – Senior Community Service Employment Program Functions

This Section includes –

(A) A list of Senior Community Service Employment Program providers in the Local Area.

- DLLR, Workforce Development and Adult Learning, Senior Community Service Employment Program,
- Senior Services America, Inc., Senior Community Service Employment Program

(B) A description of how Senior Community Service Employment Program services will be provided in the American Job Center system within the Local Area.

Both Senior Services America, Inc. (SSA) and DLLR Workforce Development & Adult Learning, Senior Community Services Employment Programs (SCSEP) – are co-located in the Baltimore City AJCs. SCSEP services are provided by the (SSA) at the ESCC and (DLLR) at the NWCC.

The program staff will:

- a) Conduct outreach and intake at the AJC as well as participate in the AJC’s orientation sessions;
- b) Provide employment and training services to older and disadvantaged individuals;
- c) Provide opportunities for eligible participants to learn, work and serve others;
- d) Provide case management and supportive services to participants in training programs, and
- e) Refer clients to other workforce development partners as appropriate.

## Section 15 – Reintegration of Ex-Offenders Functions

(A) The Baltimore City American Job Center system is working with the Re-Integration of Ex-Offenders grantees.

The Re-Integration of Ex-Offenders USDOL Training to Work 3 (DOL T2W3) grantee, SEEDCO/Bon Secours Community Works West Baltimore Career Pathways Collaborative (WBCPC), is fully incorporated into the American Job Center system. SEEDCO has an active Memorandum of Understanding (MOU) with the Baltimore Workforce Development Board and Bon Secours Community Works has been operationally integrated into the Northwest American Job Center, which specializes in assisting jobseekers who are justice-involved.

The T2W3 Career Pathways Collaborative works in partnership with One-Stop System and community partners to serve citizens placed in work-release programs, ensuring they are job-ready and able to succeed in the Baltimore workforce. The CPC focuses on the Greater Baltimore City Area and services are delivered at Bon Secours. These services include:

- Participants receive comprehensive assessments;
- Participants receive ongoing career case management and services to address barriers to employment and advancement;
- Participants are referred to job training for industry-recognized credentials and job placement assistance; and
- Participants are referred to the Northwest One Stop Career Center as appropriate, for complementary employment and training services, using the One-Stop partner referral form.

The Northwest AJC’s Project Manager is a West Baltimore Career Pathways Collaborative Member and represents the One-Stop System for WBCPC activities and initiatives. The WBCPC “Training Partners” have formed a subcommittee and hold quarterly meetings to provide insight and advice on training opportunities for WBCPC participants.

Civic Works, Inc. also provides training and employment services in Baltimore City in accordance with the Department of Labor (DOL) - Reentry Project and YouthBuild. Civic Works is fully incorporated into the American Job Center system and has an active Memorandum of Understanding (MOU) with the Baltimore Workforce Development Board. Civic Works is also operationally integrated into the Northwest American Job Center.

Civic Works, Inc. works in partnership with the One-Stop System and community partners to recruit participants for the YouthBuild (YB) and Reentry Projects (RP) initiatives. Civic Works is housed at the Northwest One Stop Career Center one day per week and delivers the following services:

- Participants receive comprehensive assessments;
- Participants receive ongoing case management and wraparound supportive services to address systemic barriers to employment and advancement;
- Participants receive job readiness training, industry-recognized credentials, occupational skills training, work-based learning and job placement assistance;
- Participants are referred to the American Job One Stop Career Center as appropriate for complementary employment and training services, using the One-Stop partner referral form; and
- Civic Works holds weekly workshops that are open to all One Stop Career Center job seekers.

MOED is also working with other Intermediary Organizations to provide workforce services to ex-offenders including Family Health International (fhi360), and Goodwill Industries International.

MOED plans to meet with Non-Intermediary Organizations including Living Classrooms Foundation and Volunteers of America.

## **Section 16 – WIOA Section 188 and Equal Opportunity Functions**

This Section includes –

- (A) A designation of the local Equal Opportunity Officer, including their name, location, email, and telephone number.

Myisha Dixon-Henry  
Human Resources Director/Local EOO  
Mayor’s Office of Employment Development  
417 E. Fayette Street, Suite 468  
Baltimore, MD 21202  
410-545-3123  
Mdixon-henry@oedworks.com

- (B) A description of how entities within the American Job Center delivery system, including American Job Center operators and the American Job Center partners, will comply with Section 188 of WIOA and 29 CFR Part 39, and applicable provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) regarding

the physical and programmatic accessibility of facilities, programs and services, technology, and materials for individuals with disabilities and individuals with Limited English Proficiency, including providing staff training and support for addressing the needs of individuals with disabilities and for individuals with Limited English Proficiency.

In serving persons with disabilities, the MOED and youth service providers will make every effort to provide reasonable accommodations to allow for full program participation including, but not limited to, providing auxiliary aids and services and ensuring effective communications through alternative formats. The MOED intends to collaborate with DORS and other vendors on staff development training to enhance customer engagement.

Within the past year, The Youth Services Division and WIOA youth service providers participated in a training program developed by the National Collaborative on Workforce and Disability for Youth (NCWD/Youth). The NCWD/Youth is composed of partners with expertise in disability, education, employment and workforce development issues.

Four sessions were held:

- \*Knowledge of the Field: This is the Work That We do
- \*Communication with Youth: The Helping Relationship
- \*Assessment and Individualized Planning: Charting a Course with Youth
- \*Employer Relations: Beyond the Handshake

(C) An acknowledgment that the BWDB understands that, while Section 188 of WIOA and 29 CFR Part 39 ensure equal opportunity for individuals with disabilities, sub-recipients may also be subject to the requirements of:

- Section 504 of the Rehabilitation Act, which prohibits discrimination against individuals with disabilities by recipients of Federal financial assistance;
- Title I of the ADA, which prohibits discrimination in employment based on disability;
- Title II of the ADA, which prohibits State and local governments from discriminating on the basis of disability;
- Section 427 of the General Education Provisions Act; and
- Maryland Anti-Discrimination laws, including Code Ann. §10-1102-1103, which stipulates that oral language services must be delivered on-site for those in frequent contact with a service provider.

The Regional Director of the Baltimore City Department of Rehabilitation Services of the Maryland State Department of Education is a member of the Baltimore Workforce Development Board. Additionally, information regarding federal and state policy and guest speakers sharing equipment to assist those with disabilities is shared from quarterly meetings and communication from the DLLR Disability and Youth Services Coordinator in the Division of Workforce Development and Adult Learning.

The Regional Director of the Baltimore City Department of Rehabilitation Services of the Maryland State Department of Education is also participating in WIOA American Job Center partner meetings. With his guidance; MOED will fully comply with Section 188 and the Americans with Disabilities Act (ADA) regarding physical and programmatic accessibility. As part of compliance, MOED will conduct an annual review of the American Job Center.

American Job Centers and satellite locations deliver WIOA programs and activities in a manner that makes services readily accessible to qualified individuals with disabilities.

MOED staff and partners have the education, training, and experience (skill, ability, and knowledge) to perform assigned duties regarding nondiscrimination and equality of opportunity for persons with disabilities. MOED believes that these actions help ensure that communications with individuals with disabilities are as effective and equal to communications with non-disabled individuals.

(D) A description of how the American Job Centers will ensure meaningful access<sup>28</sup> to all customers.

MOED maintains operations at two AJCs strategically located in Baltimore City – Eastside One-Stop Career Center, 3001 E. Madison Street; The Re-entry Center at the Northwest One-Stop Career Center, 2401 Liberty Heights Avenue, Mondawmin Mall; and the Employment Connection Center, 1410 Bush Street. All centers are accessible via mass transportation.

Interpretation service is available for limited English speaking customers through the Language Line. Similarly, assistive technology equipment is available for those visually impaired customers and we intend to utilize on-demand video relay for those customers requiring sign language interpretations.

(E) A description of the procedures for handling grievances and complaints from participants and other interested parties affected by the local American Job Center system, including partners and service providers. Provide a separate description for the:

- Complaints alleging discrimination on the ground of race, color, religion, sex, national origin, age, disability, political affiliation or belief, and for beneficiaries only, citizenship or participation in any WIOA Title I – financially assisted program or activity;

Complaints alleging discrimination on the basis of race, sex, religion, color, national origin, age, political affiliation or belief, and for beneficiaries only, citizenship or participation in any program funded under the WIOA or in the process of admission or access to, opportunity or treatment in, or employment in the administration of or in conjunction with, any WIOA funded program or activity, may file a complaint, either by him/herself or through a representative, within one hundred eighty (180) days from the date of the alleged violation with the MOED’s equal opportunity officer. If such a complaint is received, MOED will immediately notify DLLR and the directorate of Civil Rights, Washington, D.C. of the existence of the complaint. . If MOED has not provided the complainant with a written decision within ninety (90) days of the filing of the complaint, the complainant need not wait for a decision to be issued, but may file a complaint with CRC within thirty (30) days of the expiration of the ninety (90 ) day period (in other words, within 120 days of the date on which the original complaint was filed). If the complainant is dissatisfied with MOED’s resolution of the complaint, the complainant may file a complaint with CRC. Such complaint must be filed within thirty (30) days of the date the complainant received notice of the MOED’s proposed resolution.

A complaint may be filed directly with the Civil Rights Center (CRC) within 180 days of the alleged discrimination through the Complaint Information Form sent by postal mail to the Director, Civil

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<sup>28</sup> The Equal Employment Opportunity Commission provides an example of “meaningful access” as it relates to language access for individuals with Limited English Proficiency as “Language assistance that results in accurate, timely, and effective communication at no cost to the LEP individual. For LEP individuals, meaningful access denotes access that is not significantly restricted, delayed, or inferior compared to programs or services provided to English proficient individuals,” available at: <https://www.eeoc.gov/eeoc/plan/lap.cfm>.

Rights Center Attention: Office of External Enforcement, US Department of Labor, 200 Constitution Ave NW, Room N-4123, Washington DC 20210; by fax to 202-693-6505 attention: Office of External Enforcement; or by email to [CRCEXternalComplaint@dol.gov](mailto:CRCEXternalComplaint@dol.gov). Persons with a disability who require auxiliary aids and services in order to file a complaint, to access the CRC complaint form, or during the CC complaint process should contact the CRC by email at [CRCEXternalComplaints@dol.gov](mailto:CRCEXternalComplaints@dol.gov), by phone at 202-693-6500 or TTY/TRS 1-800-877-8339.

- Complaints and grievances not alleging illegal forms of discrimination. This includes grievances from individuals alleging labor standards violations; and

The MOED EO officer in coordination with the Baltimore City Office of Civil Rights will facilitate complaints and grievances not alleging illegal forms of discrimination including labor standards violations. A grievance may also be filed with the Department of Labor Licensing & Regulation office of Fair Practice following the standardized procedures for the filing and processing of complaints against an employee, policy of or program operated by, though, or funded by DLLR alleging discrimination in employment or in the delivery of services.

- Remedies that may be imposed for a violation of any requirement under WIOA Title I, limited to, suspension or termination of payments under the title; prohibition of placement of a participant with an employer that has violated any requirement under WIOA Title I; reinstatement of an employee, payment of lost wages and benefits, and reestablishment of other relevant terms, conditions, and privileges of employment (where applicable); and other equitable relief as appropriate.

Remedies shall be tailored to the specific circumstances and violations and may include, but not limited to:

- provision of service, benefit or employment opportunity denied,
- monetary payment for loss of employment opportunity or benefit,
- disciplinary action(s) against the individual(s) found to have discriminated,
- training for individual(s) found to have discriminated and for other appropriate individuals,
- nullification of an adverse action,
- removal of adverse records or documents from client/personnel files, and
- preparation of statement of no retaliation.

Should the proposed remedies be acceptable to all parties, if appropriate, the EO Officer shall prepare a written Settlement Agreement which contains the specified remedies and which the complainant and respondent will sign.

The Agreement shall include a stated time frame for implementation of the remedies. Should the complainant or respondent be dissatisfied with the recommended remedies and no solution appears probable, the Equal Opportunity Officer shall inform the complainant of additional filing rights and time frames for filing as appropriate.

Where the Equal Opportunity Officer makes a decision that there is no probable cause to believe that an act of illegal discrimination has occurred, the complainant shall be so notified.

Where the complainant does not accept the decision, the Equal Opportunity Officer shall inform the complainant of additional filing rights and time frames, as appropriate.

The current Grievance Procedures/ Complaint Process Procedures are attached.  
(See attachment 3).

- (F) A description of the policy and procedures with regard to aid, benefits, services, training, and employment; include a statement of assurance that you will provide reasonable accommodation to qualified individuals with disabilities unless providing the accommodation would cause undue hardship.

In serving persons with disabilities, MOED will make every effort to provide reasonable accommodations to allow for full program participation including, but not limited to, providing auxiliary aids and services and ensuring effective communications through alternative formats.

- (G) A description of how MOED on behalf of the BWDB will comply with the Americans with Disabilities Act. The description should include how the Local Board will provide reasonable accommodations regarding materials, technology, and physical and programmatic accessibility of facilities. The description should also include how the Local Board will provide staff training and support for addressing the needs of individuals with disabilities.

MOED will take reasonable steps to provide auxiliary aids and materials to ensure that communications with individuals with disabilities, including individuals with visual or hearing impairments are assisted. Examples include but are not limited to: large print, Braille, interpreting services, allowing people with visual or learning disabilities or who have limited use of their hands access to tape recorders or a computer.

All MOED facilities go through an annual assessment to verify that they are ADA accessible.

MOED will coordinate training with DORS to provide quarterly WIOA staff training on awareness, interpretation, available tools, the use of tools and connecting individuals to resources.

- (H) A description of the policies and procedures in place to ensure that communications with individuals with disabilities, including individuals with visual or hearing impairments, are as effective as communications with others.

MOED works with sign language translators and relay services to ensure we are relaying the same messages to all customers and the message is understood. Assistive technology at the Career Centers labs includes zoom technology for the visually impaired.

- (I) A description of the steps taken to meet the language needs of individuals with Limited English Proficiency who seek services or information. The description should include how the Local Board proposes that information will be disseminated to individuals with Limited English Proficiency, including using oral interpretation and written translation services in accordance with Maryland State Government Code Ann. §10-1102-1103, which stipulates that oral language services must be delivered on-site for those in frequent contact with a service provider.

For individuals who speak limited English, MOED plans to translate vital documents into Spanish, French, Chinese, Arabic, & Korean and utilize the “Language Line” for oral interpretation services and/or bilingual staff from MOED staff and/or partnering agencies. The “I Speak” signage is prominently displayed in the AJC reception/ waiting areas so individuals can “point to their language” for interpretation service. The MOED will notify LEP customers that language assistance services are available at no cost.

Some ways of accomplishing this objective include:

- Posting signs in intake areas and other customer entry points.
- Placing notices that language services are available in outreach documents (brochures, recruitment information, etc.) in appropriate languages and that language services are available.

- Working with community-based organizations to inform LEP persons of the language assistance available.
- Making presentations and/or posting notices at schools and religious organizations.
- Posting information on MOED Website to announce Language Assistance services

Baltimore City is dedicated to taking reasonable steps to provide meaningful access to City services to all people including those individuals who are limited English proficient. The Mayor’s Office of Immigrant Affairs (MIMA) formed a Language Access Committee to work with Baltimore City agencies including MOED and other entities to support the implementation of language assistance for Limited English Proficient (LEP) individuals.

## **Section 17 – Fiscal, Performance, and Other Functions**

This Section includes –

- (A) An identification of the entity responsible for the disbursement of grant funds described in section 107(d)(12)(B)(i)(III), as determined by the chief elected official or the Governor under section 107(d)(12)(B)(i).

The Mayor’s Office of Employment Development, on behalf of the City of Baltimore, is responsible for the disbursement of grant funds described in section 107(d)(12)(B)(i)(III).

- (B) A description of financial sustainability of the American Job Center services with current funding levels, and a description of the ability to make adjustments should funding levels change.

The ongoing BWDB planning process along with consideration of available and adequate resources to support the AJC operations define the number and type of centers required to best serve the workforce development needs of Baltimore City. MOED regularly reviews funding levels quarterly to forecast changes and trends and seeks funding to support the services needed for city residents. MOED uses an integrated approach to service delivery which includes the use of braided funding.

- (C) A description of the competitive process to be used to award the subgrants and contracts in the Local Area for activities carried out under this title, including risk assessment of potential subgrantees and contractors.

MOED will use a competitive process based on the principles of competitive procurement outlined in 2CFR 200.318-200.326 of the federal Uniform Administrative Requirements for Grants provisions. In addition, MOED will review the risks associated with a potential sub-grantee prior to making an award. Risk analysis tools could include: available audit information; requiring disclosures of conflict of interest and relevant criminal violations; prohibiting profit, if applicable; requiring certifications of senior entity officials. Our award agreements should provide MOED with strong remedies to address non-compliance, combined with flexibility to adjust its oversight framework based on risk considerations and assessments.

Agreements include Standard Exhibit (G) Certification Regarding Debarment, Suspension, and Other Responsibility Matters. The Authorized Representative is required to certify that the principals are not debarred, suspended or excluded from covered transactions by any Federal department or agency, not

convicted of fraud or a criminal offense performing a public transaction or contract, not presently indicted, no public transactions terminated for cause or default within a three year period preceding the application/proposal.

A subcommittee of the Youth Committee, the Systems Building Committee, identified the criteria to be used in selecting eligible youth service providers several years ago. Committee members used information from the National Youth Employment Coalition as well as documents from the America Youth Policy Forum and the Sar Levitan Youth Policy Network. Periodically, the process is reviewed and updated. The Department of Labor, Licensing and Regulation, Division of Workforce Development and Adult Learning coordinate statewide meetings that allow practitioners to meet and discuss strategies for selecting effective criteria to designate youth service providers.

The Youth Committee disseminates a Request for Proposal (RFP) to identify community based organizations and agencies to provide services to in-school and out-of school youth every other year. The RFP is advertised in the local newspaper and on websites (BWDB & MOED). An informational Bidder's Conference is scheduled and parties who plan to submit a proposal are strongly encouraged to attend and/or be represented by an individual authorized to act on the bidder's behalf. All inquiries related to the RFP are to be submitted electronically. A compilation of responses from the Bidders Conference are posted in the "Questions and Answers" section of MOED's website. After the RFP's are submitted, Youth Committee members are asked to volunteer to review the RFP's. The volunteers are placed in teams to review, rate and rank the RFP's. Volunteers are asked to disclose any conflicts of interest prior to reading the assigned RFP's. Review teams have an opportunity to discuss the RFPs and submit recommendations to the BWDB for approval.

Additionally as described in section 4C, the Baltimore Workforce Investment Board released an RFP for a complete process for the selection of the WIOA One Stop Operator.

- (D) A description of the actions the BWDB will take toward becoming or remaining a high-performing board, consistent with the factors developed by the State board pursuant to Section 101(d)(6); this should include a description of the process used by the Local Board to review and evaluate performance of the local American Job Center(s) and the One-Stop Operator.

The BWDB's Workforce Effectiveness Committee and MOED will work closely with the state to develop a series of reports and dashboards for the system which will include performance indicators for Baltimore's workforce system.

Evaluation has always been, and will continue to be an integral part of the local board and MOED. As a forerunner in the field of workforce development evaluation, MOED has a Program Evaluation department that is responsible for establishing goals and monitoring performance. Each program's goals are designed to assist in the achievement of overarching agency goals. Program performance information is reviewed monthly at management team meetings, biweekly by one-stop centers, and quarterly by the Baltimore Workforce Development Board and subcommittees for youth, and One Stop operations.

Performance data helps to inform the board's strategic planning and is used by MOED as a mechanism for identifying course corrections in process, protocols and procedures.

Performance information plays a significant role during the procurement process which selects service

providers as well as during the formation of each provider's performance contract. Throughout the contract period, performance is reviewed monthly and quarterly and establishes a performance feedback loop and management tool which is used by MOED staff and providers to identify areas of underperformance and to implement corrective action plans.

- (E) A description, including a copy of, the Local Area's Individual Training Account policy. The description includes information such as selection process, dollar limits, duration, etc. and must be in accordance with the State's WIOA Title I Training and the Eligible Training Provider List policy.

MOED's individual training account policy has a cap of \$3000 person with exceptions approved by the executive staff and each ITA shall not exceed fifty-two weeks in length. See Attachment 1 for MOED's ITA process and procedures (revised April 2018).

Detailed procedures for adding a new school or course are outlined in DLLR Policy Issuance 2017-09-WIOA Title I Training & Maryland's Eligible Training Provider List - October 26, 2017.

<https://www.dllr.state.md.us/employment/mpi/mpi9-17.pdf> The MOED will comply with the policies set forth within the DLLR policy for recommending new programs or courses be added to the Eligible Training Provider List.

- (F) A description of how training services under chapter 3 of subtitle B will be provided in accordance with Section 134(c)(3)(G), including, if contracts for the training services will be used, how the use of such contracts will be coordinated with the use of individual training accounts under that chapter and how the Local Board will ensure informed customer choice in the selection of training programs regardless of how the training services are to be provided.

The Individual Training Account (ITA) initiative empowers customers through a comprehensive assessment and evaluation of their skills, aptitudes, abilities, and values. The ITA initiative is paramount to the customer's long term success and career planning process by helping them acquire marketable skills in a high growth, high demand industry to successfully enter or re-enter the workforce at sustainable wages. Accordingly, all customers who are in need of training begin the process with an interest and aptitude assessment, and participation in a Training Strategies Workshop.

The one on one sessions or workshops provide customers with an in-depth orientation to the ITA process, policies and application requirements. The curriculum also introduces the resources available to them to facilitate their decision making process. As part of the workshop, information is provided regarding the Pell Grant process as well as other resources for financial aid by a partner representative stationed at the American Job Center (One-Stop Center) from The Maryland Educational Opportunity Commission (MEOC). The MEOC staff also provides individualized assistance to customers in the completion and submission of the Pell Grant application.

To reinforce the information provided in the sessions, an ITA application packet is provided to each customer. The application process requires customers to justify that their career choice is in one of the eight targeted high-growth, high demand industries for workforce development designated by the Baltimore Workforce Development Board. Pertinent labor market information collected through the auspices of the Baltimore Workforce Development Board will be available to the customer to assist with their decision making. The ITA packet was revised to include WIOA changes and was updated in April 2018.

Completed applications are reviewed with the customer by center staff to ensure completeness and accuracy. The center supervisor also reviews the completed application packet and provides sign-off approval prior to submission to the MOED Contracting and Compliance Unit. Once the funding is approved, a “Welcome to ITA” packet is issued to the customer emphasizing their responsibilities and providing the start date and estimated end date of training. Extensive follow-up is conducted while the customer is in training to ensure that they are progressing and to offer assistance and guidance. Customers are required to submit attendance and grades and to work with staff to develop and participate in a job search plan commencing 30-60 days prior to their estimated training completion date. The BWDB set the current cap for ITA vouchers at \$3,000, allowing for the consideration of exceptions based on an individual’s documented need. Exceptions may be granted by an executive staff.

Customized Training is an alternative strategy also used by MOED which assists businesses in hiring skilled workers for hard-to-fill or newly created positions. Businesses interested in customized training work directly with MOED’s Business Services staff to create a proposal which is forwarded to the Contracting and Compliance Unit to be finalized and a contract created.

Businesses engaged in customized training are responsible for a 50% match for each dollar MOED invests in skills training for the customer. The match can be made monetarily or with in-kind contributions. The advantage to the customer is that businesses agree to hire the customer if the individual successfully completes the skills training. MOED staff will assist customers in determining which training program best fits their particular needs and skills set.

The local board may also select a training service program of demonstrated effectiveness offered in the local area by a community-based organization or another private organization to serve individuals with barriers to employment through a competitive process and may be a pay-for-performance agreement.

(G) A description of how the American Job Centers are utilizing the Maryland Workforce Exchange as the integrated, technology-enabled intake and case management information system for programs carried out under WIOA and programs carried out by American Job Center partners.

The MOED and its core partners leverage the MWE website to ensure that job seekers have twenty-four hour access to assessments, labor market information, resume development and job matching. The MWE Jobs Mobile App is available both on Apple iTunes and in the Google play sites for mobile phones or tablets. This app allows jobseekers to search for available job openings and easily map jobs near their current location.

The MWE and the MWE app allow customers to access services from home or other preferred locations without having to access the AJC.

The One-Stop Career Centers utilize the myriad of integrated, technology-enabled features available in the MWE, such as: MWE VOS Greeter, Comprehensive Case Management, “Read-Only” access for partners and Performance Management:

1. The One-Stop Career Centers utilize the MWE VOS Greeter Module to record visitor traffic electronically in lieu of a paper-sign-in sheet. The module enhances the customer experience and allows the centers to track the number of individuals visiting and capture the purpose of their visit;

2. The MWE comprehensive features i.e. client tracking, case management and employer services are utilized by WIOA staff to determine eligibility, document services and outcomes for employers and individuals served across the number of WIOA programs: WIAO Title III – Wagner Peyser, Trade, and Veteran Services; WIOA Title I Adult, Dislocated Worker, Youth programs; and discretionary grants. Staff assist job seekers with unemployment insurance job contacts on REX, resume development, researching labor market information, and job search. The business service team assist employers with job order posting, recruitment, and labor market services;
3. “Read-Only” access provides One-Stop partners with access to the MWE. This feature provides limited access to partners to view information, services and the progress of their customers enrolled in programs at the One-Stop; and
4. One Stop Career Center managers utilize the MWE for determining center performance and tracking staff goals. MOED’s Performance Evaluation Division (PEDS) utilize the MWE to manage performance data to ensure compliance with state and federal requirements.

(H) A description of the procedures for conducting oversight and monitoring of its WIOA activities and those of its subgrantee and contractors. The monitoring plan shall address the monitoring scope and frequency and shall include the following:

- The roles and responsibility of staff in facilitating this procedure;

MOED staff (both program and fiscal staff) are responsible for these processes;

- A requirement that all subgrantee agreements and contracts be monitored at least annually;

Subgrantees are monitored (includes programmatic and fiscal elements) at least once during each full twelve month period of the underlying agreement. An evaluation of risk factors will determine whether or not monitoring is conducted if the agreement period is less than twelve months. These processes do not apply to vendor relationships.

- Procedures for determining that expenditures have been made against the cost categories and within the cost limitations specified in the Act and WIOA regulations;

A description of our related processes and controls is provided throughout our responses to individual questions posed in Section 17 of this plan. Collectively, our responses describe how our financial system will permit tracing of funds to a level adequate to establish that funds have not been used in violation of applicable WIOA standards or applicable DOL regulations. More specifically, access to procurement and financial systems is restricted to specific positions/individuals; generally there are least two (2) levels of supervisory oversight of procurement and financial transactions; and, there is an Executive level review and approval process in place for external financial reports (eg. Quarterly Reports and Closeout Reports to DLLR). Our systems provide easy tracing of transactions from original source documents to related fund accounting reports via unique fund (general ledger) accounting numbers and staff identification codes.

- Procedures for determining compliance with other provisions of the Act and regulations and other applicable laws and regulations, including the method of monitoring to be used for subgrantees and contractors;

MOED has a written subgrantee monitoring plan which outlines compliance monitoring processes and procedures. A copy was filed with DLLR in April 2014. (Attachment 2). Procedures are reviewed in 2019 to ensure compliance with current guidelines and regulations.

- Provisions for the recording of findings made by the recipients' monitor(s), the forwarding of such findings to the subgrantee or contractor for response and the recording of all corrective actions;

MOED has a written subgrantee monitoring plan which addresses corrective action processes related to findings and/or concerns resulting from monitoring activities. A copy was filed with DLLR in April 2014;

- Provisions of technical assistance as necessary and appropriate; and

MOED continually commits to providing technical assistance to subgrantees when necessary and appropriate.

- Specific local policies developed by the Local Board for oversight of the American Job Center system, youth activities and employment and training activities under Title I of WIOA.

MOED develops local policies as necessary based on federal Training and Employment Guidance Letters and DLLR Policy Issuances. Current local policies include Priority of Service, Justification for Training, Documenting Eligibility Barriers, Income Guidelines, ITA Procedures, and Grievance Procedures.

- (I) A description of the policy and procedures regarding the safeguarding and handling of personally identifiable and confidential information (ex., physical and electronic files), including the incident response plan for loss of records.

The MOED makes every effort to protect personally identifiable information which includes name, address, social security number, and other related data elements that indirectly lead to identification. Physical files are kept securely locked and safeguarded. Yearly, MOED staff who have a "need to know" in their official capacity and have access to such systems of records will sign a confidentiality agreement.

Aligning with DLLR Policy Issuance 2019-4: Privacy and Data Security, MOED has implemented a local Operational Policy 2019-2 outlining the protection of data and sensitive information included in physical data, electronic data, and the protocols MOED will follow in the event of a physical or electronic security breach. Staff have signed an Employee Acknowledgement form which acknowledges receipt of the policy, agreement to adhere to the highest level of confidentiality involving sensitive and personally identifiable customer information, understanding the importance of safeguarding confidential electronic/ paper files and reports; and if a breach occurs staff, will immediately notify their supervisor so appropriate actions can be taken.

If a breach were to occur, the Agency Director will notify the Baltimore City Solicitor's Office and the staff outlined in DLLR Policy Issuance 2019-4 within three (3) days and include a brief description of the breach including types of data; what has occurred to investigate the breach, mitigate losses, and protect against any further breaches; contact information of persons leading the investigation; and the process of notification to the affected customers.

The MOED will consult with attorneys from the Baltimore City Solicitor's Office to ensure that evolving issues related to the protection, maintenance and use of personal information is in keeping with accepted industry standards and relevant state and federal laws. As an agency under the direction of the City of Baltimore, MOED will also adhere to any local City policies and processes regarding a security breach of personally identifiable information.

- (J) A description of the procurement system, including a statement of assurance that the procedures conform to the standards in USDOL regulations set forth in 29 CFR Part 95, Part 97 and 2 CFR 200. Note that this should include details on how the Local Board would award emergency contracts when current contracts have been eliminated for just cause, in accordance with the Local Board's procurement system.

MOED follows the procurement policies and procedures outlined by the City of Baltimore. The actual procurement of most goods and non-professional services are initiated by MOED but the actual awards and placement of orders is handled by the Baltimore City Bureau of Purchases. Emergency contracts will be expedited to the City Procurement Agent (CPA) for immediate action.

The city's procurement policies do allow for MOED to procure goods and services which are valued at less than \$5,000 after securing at least three (3) competitive quotes and making a sound price and quality comparison. There are few exceptions permitted to the process.

The City of Baltimore procurement practices conform to the standards in USDOL regulations. Additionally, an Ethics and Professional Conduct policy requires maximum objectivity and fair treatment to all individuals and businesses doing business or desiring to do business with the City of Baltimore.

- (K) A description of any documentation to demonstrate that the acquisition, management, and disposition of property adhere to the Property Management Procedures taken from USDOL regulations 29 CFR Part 97 and 29 CFR Part 95.

The MOED shall adhere to the acquisition, management and disposition of property as prescribed in the United States Department of Labor Regulations 29 CFR Part 97 and/or 29 CFR Part 95. Evidence of adherence to those regulations can be found in applicable Baltimore City policies & procedures, written supporting documents related to actual and relevant activities, including but not limited to: solicitations for proposals, pricing and value reviews, monitoring and evaluation reports, inventory logs, etc.

- (L) A description of any policies or procedures the BWDB adopted to avoid conflicts of interest or the appearance of such conflicts in the exercise of their responsibilities, particularly those related to the awarding of contracts.

The BWDB has prepared and implemented its **Conflict of Interest policy** (Attachment 4) signed by each BWDB member. This policy addresses conflicts of interest including matters related to the awarding of contracts.

(M) A description of the fiscal agent's accounting procedures, including the procedures used in preparing reports to the State. In addition to the requirement that all financial transactions be conducted in compliance with Generally Accepted Accounting Principles (GAAP), the fiscal management system must include the following in the accounting procedures. This description must address how the fiscal system:

- Tracks funding types, funding amounts, obligations, expenditures and assets;
- Permits the tracking of program income, stand-in costs, and leveraged funds; and
- Is adequate to prepare financial reports required by the State.

Baltimore's City Dynamics system and the MOED's MIP/MICROIX workflow module system are both designed to track and report actual costs against established cost categories (e.g. administration, training, etc.) and natural account codes. Each funded activity requires a detailed budget and alignment with appropriate cost categories and natural account codes. The pre-approval of expenditures and effective monitoring of financial transactions, supporting documents and records all aid in ensuring timely and accurate accounting and reporting.

a. Fiscal Reporting System

The monthly receipts and expenditures reports are generated by the Baltimore City Accounting Operations Division. Interfacing these reports with MOED's internal financial management information system, MIP/MICROIX, forms the basis for accruals that are recorded and reported to DLLR and others.

b. Obligation Control System

The MOED initiates a planning cycle prior to the beginning of each program/fiscal year to plan for anticipated funding and the associated delivery of associated services and infrastructure support. This planning process allows for control of the total obligation level of each funding source. After planning targets are identified and subsequent programs are put in place, MOED staff monitors the obligation and anticipated expenditure levels of each of its programs and grants to ensure that costs are in-line with available funding. A variety of review processes are put in place to monitor and control the level of obligations and subsequent expenditures.

(N) An identification of key staff who will be working with WIOA funds.

MOED's Fiscal Administration and Contracting Units are the primary units responsible for financial recording and reporting. Key staff positions from these units include, but are not limited to: Assistant Director/Comptroller; Director of Fiscal Operations; and, Manager of Contracting & Compliance.

(O) A description of how the fiscal agent's financial system will permit tracing of funds to a level adequate to establish that funds have not been used in violation of WIOA standards or USDOL regulations.

A description of our related processes and controls is provided throughout our responses to individual questions posed in Section 17 of this plan. Collectively, our responses describe how our financial system will permit tracing of funds to a level adequate to establish that funds have not been used in violation of applicable WIOA standards or applicable DOL regulations. More specifically, access to procurement and financial systems is restricted to specific positions/individuals; generally there are least two (2) levels of supervisory oversight of procurement and financial transactions; and, there is an Executive

level review and approval process in place for external financial reports (e.g. Quarterly Reports and Closeout Reports to DLLR). Our systems provide easy tracing of transactions from original source documents to related fund accounting reports via unique fund (general ledger) accounting numbers and staff identification codes.

(P) A brief description of the following:

- Fiscal reporting system,
- Obligation control system,
- ITA payment system,
- Chart of account system,
- Accounts payable system,
- Staff payroll system,
- Participant payroll system, and
- Participant stipend payment system.

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Under the ITA payment system contracted training providers submit an invoice for payment of each pre-authorized ITA event. Invoices are accompanied by required back-up documentation that is reviewed and validated by MOED staff. Once approved by MOED staff the invoice is submitted to the city's accounting operations department for payment. Approved ITAs are tracked, and financially accounted for, by MOED staff from the time an authorization commitment is made to the training provider until actual payment is made to the provider.

MOED's chart of accounts, as well as Baltimore City's sister chart of accounts, allows MOED to capture, segregate, track and reports revenue and expenses by various and multiple categories,

including but not limited to: funding stream, vendor, program title, operating period and/or fiscal period, operating department, natural account number, etc.

Within the MOED's infrastructure, a series of checks and balances are used to insure the accuracy and timeliness of accounts payable processing. When an invoice is received for payment it, along with relevant supporting documentation, is reviewed for accuracy, legitimacy, etc. by at least two separate and distinct staff review levels before being approved and submitted to the City's Department of Finance for actual payment processing.

The staff payroll processing is a part of the overall Baltimore City Payroll System and requires individual time and attendance reports to be submitted for each payroll period. Time and attendance reports must be approved by both the staff person and his/her supervisor. The Baltimore City Payroll Department processes all payrolls and manages the Payroll System. Back up payroll documents are maintained by MOED. MOED's Human Resources Department manages the underlying wage and salary records for MOED staff which cover such events as: onboarding of new employees, staff transfers, terminations, wage and salary adjustments, etc.

The participant payroll and the participant stipend systems have similar processing and control features to those of the staff payroll system. However, management and control of MOED individual participant records rests with a unique program operating departments of MOED versus MOED's Human Resources Department.

(Q) A description of the fiscal agent's cash management system, providing assurance that no excess cash will be kept on hand, and that procedures are in place to monitor cash.

Grant funds are drawn-down through DLLR quarterly and typically on a cost reimbursement basis.

(R) A description of the fiscal agent's cost allocation procedures including:

- Identification of different cost pools,
- Procedures for distribution of staff costs between cost categories (Administrative cost, program cost and indirect cost),
- Procedures used for distribution of funds from each cost pool,
- Description of funds included in each cost pool, and
- Description of cost allocation plans for American Job Centers.

#### Cost Allocation Plan

During both the budgeting (e.g. detailed budgets are required by DLLR) and quarterly reporting (of actual expenses) processes, MOED assigns administrative and program costs to the benefiting programs/funding sources. Expenses benefiting a single program/funding source are directly charged to that source while those benefiting multiple programs/funding sources (indirect costs) are "pooled" (MOED maintains an Administrative Cost Pool [ACP] and a Program Cost Pool [PCP]) for later pro rata allocation between the multiple programs/funding sources based on logical criterion. Effective July 1, 2016 indirect costs (ACP & PCP), including salary and fringe benefits costs, are allocated using a Direct Cost Allocation Method with Modified Total Direct Costs used as the basis for

allocation. This methodology follows guidelines published by the US Dept. of Labor's Division of Cost Determination.

If warranted, additional cost pool classifications may be developed in the future. Costs that are directly charged are also categorized as Administrative or Program based on guidelines established by each funding source. The Cost Allocation Plan and its related distribution methodology are applied consistently throughout the fiscal year.

MOED intends to share applicable One Stop Career Center costs with required partner agencies via negotiated and equitable resource sharing (cost sharing) agreements with each. Each partner is assessed a fair share of the commonly-shared operating costs of the One-Stop system.

(S) A description of the fiscal agent's procedure for collecting debts involving WIOA funds.

The BWDB/Fiscal Agent will have the lead responsibility for resolution of any and all debts pertaining to WIOA funds awarded to sub-grantees and others. Usually a potential debt is identified at the point where an audit of the program has identified questioned or disallowed costs and generally labels the situations as findings. Steps for resolution of finding(s) include, but are not limited to:

- a. The potential debtor is given no more than thirty (30) days to either accept the finding(s) and acknowledge the debt or provide additional documentation not reviewed during the audit which documents the allow ability of the costs questioned. NOTE: disallowed costs are automatic debt payments due to the Baltimore City.
- b. If documentation is received, the BWDB/Fiscal Agent and the auditors will review and either accept or reject the documentation. This review shall conclude not more than thirty (30) days upon receipt of the documentation.
- c. Should the original finding(s) stand, the BWDB/Fiscal Agent will notify the sub-grantee (or other relevant party) in writing of its findings and prepare a repayment schedule to recover all funds due. The repayment of funds shall not exceed six (6) months from the date of notification by the BWDB/Fiscal Agent.

## 2016-2020 Local Plan Assurances

The following checklist and signed certification must be included in the submitted Local Plan. Check the following boxes to accept the assurances listed below.

		<b>Assurance</b>
<input checked="" type="checkbox"/>	1.	Consistent with WIOA Section 108(d), for the 2016-2020 Plan, the Local Board has held at least one public comment period of no less than 30 days to obtain input into the development of the Local Plan and to provide the opportunity for comment by representative of business, labor organizations, education, other key stakeholders, and the general public.
<input checked="" type="checkbox"/>	2.	The final Local Plan is available and accessible to the general public.
<input checked="" type="checkbox"/>	3.	The Local Board has established procedures to ensure public access (including people with disabilities) to board meetings and information regarding board activities, such as board membership and minutes.
<input checked="" type="checkbox"/>	4.	The Local Board makes publicly-available any local requirements for the Local Area, such as policies, including policies for the use of WIOA Title I funds.
<input checked="" type="checkbox"/>	5.	The Local Board has established a written policy or procedure that identifies circumstances that might present conflict of interest for any local workforce investment board or entity that they represent, and provides for the resolution of conflicts.
<input checked="" type="checkbox"/>	6.	The Local Board has copies of memoranda of understanding between the Local Board and each American Job Center partner concerning the operation of the American Job Center delivery system in the Local Area, and has provided the State with the latest versions of its memoranda of understanding.
<input checked="" type="checkbox"/>	7.	The Local Board has written policy or procedures that ensure American Job Center operator agreements are reviewed and updated no less than once every three years.
<input checked="" type="checkbox"/>	8.	The Local Board has procurement policies and procedures for selecting One-Stop operators, awarding contracts under WIOA Title I Adult and Dislocated Worker funding provisions, and awarding contracts for Youth service provision under WIOA Title I in accordance with applicable state and local laws, rules, and regulations, provided no conflict exists with WIOA.
<input checked="" type="checkbox"/>	9.	The Local Board has procedures for identifying and determining the eligibility of training providers and their programs to receive WIOA Title I individual training accounts.
<input checked="" type="checkbox"/>	10.	The Local Board has written procedures for resolving grievances and complaints alleging violations of WIOA Title I regulations, grants, or other agreements under WIOA and written policies or procedures for assisting customers who express interest in filing complaints at any point of service, including, at a minimum, a requirement that all partners can identify appropriate staff contacts and refer customers to those contacts.

<input checked="" type="checkbox"/>	11.	The Local Board has established at least one comprehensive, full-service American Job Center and has a written process for the local Chief Elected Official and Local Board to determine that the center conforms to the definition therein.
<input checked="" type="checkbox"/>	12.	All partners in the local workforce and education system described in this plan ensure the physical, programmatic and communications accessibility of facilities, programs, services, technology and materials in the Local Area's American Job Centers for individuals with disabilities.
<input checked="" type="checkbox"/>	13.	The Local Board ensures that outreach is provided to populations and sub-populations who can benefit from American Job Center services.
<input checked="" type="checkbox"/>	14.	The Local Board implements universal access to programs and activities to individuals through reasonable recruitment targeting, outreach efforts, assessments, service delivery, partner development, and numeric goals.
<input checked="" type="checkbox"/>	15.	The Local Board complies with the nondiscrimination provisions of Section 188, and assures that Methods of Administration were developed and implemented.
<input checked="" type="checkbox"/>	16.	The Local Board collects and maintains data necessary to show compliance with nondiscrimination provisions of Section 188 of WIOA.
<input checked="" type="checkbox"/>	17.	The Local Board complies with restrictions governing the use of federal funds for political activities, the use of the American Job Center environment for political activities, and the Local Board complies with the applicable certification and disclosure requirements.
<input checked="" type="checkbox"/>	18.	The Local Board ensures that American Job Center staff, along with the Migrant and Seasonal Farmworker program partner agency, will continue to provide services to agricultural employers and Migrant and Seasonal Farmworkers that are demand-driven and consistent with DLLR policy.
<input checked="" type="checkbox"/>	19.	The Local Board follows confidentiality requirements for wage and education records including, but not limited to, 20 C. F. R. 603, the Family Educational Rights and Privacy Act of 1974 (FERPA), as amended, WIOA, and applicable State laws, Departmental regulations and policies.
<input checked="" type="checkbox"/>	20.	The Local Board has a written policy and procedures to competitively award grants and contracts for WIOA Title I activities (or applicable federal waiver), including a process to be used to procure training services made as exceptions to the Individual Training Account process.
<input checked="" type="checkbox"/>	21.	The Local Board has accounting systems that follow current Generally Accepted Accounting Principles (GAAP) and written fiscal-controls and fund-accounting procedures and ensures such procedures are followed to insure proper disbursement and accounting of WIOA adult, dislocated worker, and youth program and the Wagner-Peyser Act funds.

<input checked="" type="checkbox"/>	22.	The Local Board ensures compliance with the uniform administrative requirements under WIOA through annual, on-site monitoring of each local sub-recipient.
<input checked="" type="checkbox"/>	23.	The Local Board has a written debt collection policy and procedures that conforms with state and federal requirements and a process for maintaining a permanent record of all debt collection cases that supports the decisions made and documents the actions taken with respect to debt collection, restoration, or other debt resolution activities.
<input checked="" type="checkbox"/>	24.	The Local Board has a written policy and procedures for ensuring management and inventory of all properties obtained using WIOA funds, including property purchased with JTPA or WIA funds and transferred to WIOA, and that comply with WIOA, and, in the cases of local government, Local Government Property Acquisition policies.
<input checked="" type="checkbox"/>	25.	The Local Board will not use funds received under WIOA to assist, promote, or deter union organizing.
<input checked="" type="checkbox"/>	26.	The Local Board has a written policy and procedures that ensure adequate and correct determinations of eligibility for WIOA-funded basic career services and qualifications for enrollment of adults, dislocated workers, and youth in WIOA-funded individualized career services and training services, consistent with state policy on eligibility and priority of service.
<input checked="" type="checkbox"/>	27.	The Local Board has a written policy and procedures for awarding Individual Training Accounts to eligible adults, dislocated workers, and youth receiving WIOA Title I training services, including dollar and/or duration limit(s), limits on the number of times an individual may modify an ITA, and how ITAs will be obligated and authorized.
<input checked="" type="checkbox"/>	28.	The Local Board has a written policy and procedures that establish internal controls, documentation requirements, and leveraging and coordination of other community resources when providing supportive services and, as applicable, needs-related payments to eligible adult, dislocated workers, and youth enrolled in WIOA Title I programs.
<input checked="" type="checkbox"/>	29.	The Local Board has a written policy for priority of service at its American Job Centers and, as applicable, affiliate sites and for local workforce providers that ensures veterans and eligible spouses are identified at the point of entry, made aware of their entitlement to priority of service, and provided information on the array of employment, training and placement services and eligibility requirements for those programs or services.
<input checked="" type="checkbox"/>	30.	The Local Board has developed plans and strategies for maximizing coordination of services provided by the State employment service under the Wagner-Peyser Act (29 U.S.C. 49 et seq.) and services provided in the Local Area through the

		American Job Center delivery system, to improve service delivery and avoid duplication of services.
<input checked="" type="checkbox"/>	31.	The Local Board will provide reasonable accommodation to qualified individuals with disabilities unless providing the accommodation would cause undue hardship.

The Local Workforce Development Board for Baltimore City certifies that it complies with all required components and assurances of the Workforce Innovation and Opportunity Act plan development guidelines issued by the state of Maryland. The Local Board also assures that funds will be spent in accordance with the Workforce Innovation and Opportunity Act, Wagner-Peyser Act, and their regulations, written U.S. Department of Labor guidance implementing these laws, Office of Management and Budget circulars, and all other applicable federal and state laws, regulations, and policies.

  
 Local Chief Elected Official(s)<sup>29</sup>

2/26/19  
 Date

  
 Local Workforce Development Board Chair

3/1/19  
 Date

  
 Local Workforce Development Director

2/26/19  
 Date

# Baltimore City List of Attachments

1. Individual Training Account Procedures
2. Sub-Grantee Monitoring Plan
3. Grievance/ Complaint Procedures
4. Conflict of Interest Form

# WIOA

## Individual

## Training

## Accounts

# Procedures Manual



**Mayor's Office of Employment Development**

**Revised:** April, 2018

The policies outlined in this version supersede all previous versions of ITA procedures

The regulations for the Workforce Innovation Opportunity Act require that occupational training be provided through Individual Training Accounts (ITA's) allowing for customer choice. Training funded with WIOA dollars can only be provided by those organizations that are approved as qualified and appear on Department of Labor Licensing and Regulation Eligible Training Provider list found on the web:

<http://webapps.dllr.state.md.us/wioa/CountyPgmDescs.aspx>

WIOA Title I (Adults and Dislocated Workers) and special populations funded by WIOA and other funding sources can apply for an ITA. Funding is capped at \$3000. Any amount over \$3000 but not to exceed \$4500 may be submitted for consideration and approval to MOED's Assistant Director for Adult Services. All training must be completed within 52 weeks unless authorized by the MOED Assistant Director for Adult Services.

Customers will only be approved for the actual cost listed on DLLR's Eligible Training Provider List which should include the cost of the course, books, and exam fees where applicable. If a customer enrolled in any training course(s) funded by MOED in the past three (3) years, they will not be eligible for additional training. Exceptions may be considered using the criteria outlined below in the Policies section, #6.

Current labor market information is a key factor in the ITA process, and training can only be provided in occupations that are Workforce Development Board approved and in demand in the Baltimore metropolitan area.

### **MOED Policies Pertaining to Individual Training Accounts**

1. Customers approved for training are expected to begin classes on the assigned training start date. Exceptions may include: (a) death in the immediate family, illness or other medical reasons precluding start, or (b) when the school cancels the class, the class is full, or the school postpones the class start date. Documentation for the postponement must be provided before a new start date will be assigned. The vendor will be required to submit in writing why the customer was not able to start and provide a new start date. If the customer does not begin on the approved start date, all dollars will be de-obligated. With supportive documentation from the vendor, the CDF (Career Development Facilitator) must change and initial the new start and end dates on the *Customer Application Summary and Checklist*. Operational Policy 2016-1 – ITA Change in Enrollment Date
2. Customers who are referred to an ITA must have attended and completed a training orientation. Additionally, customers referred to a WIOA Title I funded ITA must have an assessment and a documented justification for training on both the Individual Employment Plan and in the Maryland Workforce Exchange Justification for Training screen. This is mandatory for all Centers in accordance with WIOA regulations. Operational Policy 2015-1 – Individual Employment Plans for Adults – Justification for Training.
3. Vendor invoices must be submitted within thirty (30) days of the customer's actual start date. (Policy Directive #12 – revised 1/26/2010)
  - a. If an invoice is 30 days past due, an out of compliance letter or email will be sent by the Contract Specialist.
  - b. A final past out of compliance letter will be sent by the Contract Specialist when an invoice is 60 days past due. The letter will advise the vendor that the invoice must be received within 10 days.
4. The ITA Application is limited to courses leading to an industry recognized credential. Prerequisite courses and pre-training costs are the responsibility of the customer but may be authorized by the

MOED Assistant Director for Adult Services. The completion of all courses and certification must be obtainable within 52 weeks unless authorized by the MOED Assistant Director for Adult Services, and increase the customer's ability to obtain a job that leads to self-sufficiency.

5. All training is restricted to customers who can verify residency in Baltimore City and meet the eligibility criteria set forth by the applicable funding stream. Additionally, customers must have a family income verifiable to be within the 125% Lower Living Income Standard, customers who are eligible for WIOA dislocated worker services, or customers who are eligible for approved training programs under special grant funding (e.g. Rapid Response, TRA, Veterans Employment Program). WIOA Adult customers must also meet the criteria for target populations as cited in DLLR Policy Issuance 2016-10: WIOA Title I Program Eligibility dated November 17, 2016. All eligible funding sources must be recorded on the *ITA Customer Application Summary and Checklist* form.
6. If a customer has been enrolled in an MOED funded training program during the past three (3) years, no further ITA training will be provided. Exceptions may be made for individuals who have received previous training through MOED, and who are unable to gain employment in the same occupational area or need additional training to advance up the career ladder. Valid justification for requesting training must be completed by the Career Development Facilitator (CDF) and attached to the application. The Division Director has final approval.

### **Functions at the America Job Center**

#### **General Eligibility**

In accordance with MOED's priority of service policy (Operational Policy 2015-5 revised April 3, 2017), priority will be given to veterans and other individuals with low-income or other barriers to employment.

To be considered for a WIOA Title I Adult funded ITA, customers must:

- be determined eligible for WIOA services
  - be at least 18 years of age
  - be a resident of Baltimore City
  - have a family income at or below the 125% Lower Living Standard
- Customers have an assessment and documented justifications for training

To be considered for a WIOA Title I Dislocated Worker funded ITA, customers must:

- be determined eligible for WIOA services
- be at least 18 years of age
- be a resident of Baltimore City
- have an assessment and documented justifications for training.

To be considered for a TAA/TRA funded ITA, customers must:

- be at least 18 years of age
- be a resident of Baltimore City
- be receiving UI benefits
- be displaced by foreign trade

To be considered for an ITA through special grant funding, customers must:

- be at least 18 years of age
- meet the eligible requirements of the grant funding

All WIOA Title I participants must meet the qualifications for training as defined under WIOA sec.134(c)(3)(A)

- be unlikely or unable to obtain or retain employment that leads to economic self-sufficiency or wages comparable to or higher than wages from previous employment through career services alone;

- be in need of training services to obtain or retain employment that leads to economic self-sufficiency or wages comparable to or higher than wages from previous employment;
- have the skills and qualifications to successfully participate in the selected program of training services;
- be unable to obtain grant assistance from other sources to pay the costs of such training, including such sources as State-funded training funds or Federal Pell Grants established under Title IV of the Higher Education Act of 1965, or requires WIOA assistance in addition to other sources of grant assistance, including Federal Pell Grants;
- be a member of a worker group covered under a petition filed for Trade Adjustment Assistance (TAA) and is awaiting a determination;
- be determined eligible in accordance with the State and local priority system in effect for adults under WIOA regulations sec. 134(c)(3)(E) if training services are provided through the adult funding stream.

Customers will have eligibility determination completed for all possible funding. The eligible funding titles of the customer will be recorded on the *ITA Customer Application Summary and Checklist*.

The justification for training must be documented on the customer's service plan (IEP), in the MWE, and kept in the customer's case record.

**NOTE: Customers who are currently employed in a declining industry will not be offered training in the same industry.**

**Assessment** is conducted using the Test for Adult Basic Education (TABE) and other available assessment tools based on customer needs, interests, and goals. Industry or trainer entrance requirements may dictate which assessment tools are used. When customers are interested and deemed appropriate for enrollment in training, they will be required to attend a training orientation conducted by the American Job Centers. The curriculum is designed to orient customers to all training including the ITA process.

Customers who are interested in distance learning classes (on line classes) should take an on-line self-assessment to ascertain their ability to be successful in a distance learning environment. There are several free assessments available on the internet, including:

Dr. Robert Kizlik's *Distance Education Aptitude and Readiness Scale (DEARS)*  
<http://www.adprima.com/dears.htm>;

*10 mistakes to avoid when pursuing an online degree* by Thomson & Peterson  
<https://www.petersons.com/college-search/10-mistakes-to-avoid-when-pursuing-an-online-degree.aspx>

*Online Self- Assessment*: Carroll Community College <https://www.carrollcc.edu/Student-Services/Distance-Learning/Online-Self-Assessment/>

The Washington State Board for Community and Technical Courses *Is Online Learning for Me?* questionnaire:  
<https://www.sbctc.edu/becoming-a-student/right-degree-you/is-online-learning-for-me.aspx>

**ITA Orientation** subjects include:

- Customer responsibilities.
- Career Development Facilitator (CDF) responsibilities.
- Amount and limitations of the voucher (expenditure of WIOA, Governor's Discretionary and Rapid Response dollars and associated caps).
- Steps to be followed to obtain an ITA.
- Responsibilities of the training vendor.

- Information on obtaining financial aid. Determination as to whether the customer is eligible for a State Department of Education Pell Grant or other forms of assistance. Other forms of assistance must be determined prior to using WIOA funds for training.
- If the ITA training costs exceed the funding cap, documentation must be provided by the customer demonstrating how the additional costs will be paid.
- Distribution and review of the ITA Application Packet (Attachment 1).

### **Maryland Eligible Training Provider List**

See CDF section for protocol related to customer requested courses not currently on the ETPL.

Detailed procedures for adding a new school or course are outlined in MD DOL Policy Issuance 2017-09-WIOA Title I Training & Maryland's Eligible Training Provider List - October 26, 2017.

<https://www.dllr.state.md.us/employment/mpi/mpi9-17.pdf> The MOED will comply with the policies set forth within the MD DOL policy for recommending new programs or courses be added to the Eligible Training Provider List.

MD DOL has been designated as the entity responsible for defining and carrying out the processes and procedures for determining the eligibility of training providers and programs of training services and maintaining the Eligible Training Provider List (ETPL). As the administrator of the Eligible Training Provider List, MD DOL will be responsible for:

- Developing and maintaining the State ETPL and programs as described in 20 CFR 680.450 (initial eligibility), 20 CFR 680.460 (continued eligibility), and 20 CFR 680.490 (performance and cost information reporting requirements);
- Ensuring that programs meet eligibility criteria and performance criteria established by MD DOL;
- Removing programs that do not meet State-established program criteria or performance levels, as described in 680.480(c) and in this policy;
- Taking appropriate enforcement actions against providers that intentionally supply inaccurate information or that substantially violate the requirements of WIOA Title I, as described in 680.480(a) and (b); and,
- Disseminating the ETPL, accompanied by performance and cost information related to the public and the Local Boards throughout the State, as further described in 680.500.

In accordance with 20 CFR 680.410, an ETP must be included on the Maryland Eligible Training Provider List and must be one of the following types of entities:

- An institution of higher education that holds a certificate of approval from the Maryland Higher Education Commission (MHEC) or is legally authorized by another State and provides a program that leads to a recognized postsecondary degree or credential;
- An entity that carries out a program registered under the National Apprenticeship Act;
- A public or private provider of training services, which may include:
- A Private Career School that holds a certificate of approval from the authorizing agency or holds a letter of exemption issued by MHEC;
- A community based organization;
- A joint labor-management organization; or,
- An eligible provider of adult education and literacy activities under WIOA Title II if such activities are provided in combination with certain training services; or,
- A Local Board meeting the eligibility requirements set forth in MD DOL Policy Issuance 2017-09-WIOA Title I Training & Maryland's Eligible Training Eligible Training Provider List - October 26, 2017

All eligible training providers are subject to the equal opportunity and nondiscrimination requirements set forth in WIOA Section 188.

All applicants to the ETPL must:

- possess a Certificate of Approval from the Maryland Higher Education Commission (MHEC);
- receive a letter of exemption or “not regulated status” from MHEC (not required to obtain a Certificate of Approval from the Commission); or
- be advised that the entity must seek approval from the higher education authority in the home State where the out-of-State training provider is conducting training and included on the home State’s ETPL.

A training provider must meet Maryland’s requirements for continued eligibility, as demonstrated via annual performance reporting, and must provide accurate information in order to retain its status as an eligible training provider.

The Local Board may use a contract for services instead of an ITA only when one or more of the following five exceptions apply **and** the Local Area has fulfilled the consumer choice requirements of 20 CFR 680.340, as described below:

Exception 1 - When the services provided are on-the-job training, customized training, incumbent worker training, or transitional jobs;

Exception 2 - When the Local Board documents determination that an insufficient number of eligible training providers exists in the Local Area to accomplish the purpose of a system of ITAs.

Exception 3 - When the Local Board determines that there is a training services program of demonstrated effectiveness offered in the area by a community-based organization to serve individuals with barriers to employment as defined by WIOA and in the Maryland State Plan

Exception 4 - When the Local Board determines that it would be most appropriate to contract with an institution of higher education or other provider of training services in order to facilitate the training of multiple individuals in in-demand industry sectors or occupations, provided that the contract does not limit consumer choice. When using this exception, the Local Board must document its determination in writing.

Exception 5 - When the Local Board is considering entering into a Pay-for-Performance contract.

Additional detail on each exception can be found in the MD DOL Policy Issuance 2017-09- WIOA Title I Training & Maryland’s Eligible Training Eligible Training Provider List - October 26, 2017

### **Responsibilities of the Customer regarding application for an ITA**

- Use the O\*NET and other resources to document labor market demand, and/or collect information from specific employers that a demand exists for the selected occupation at a sustainable wage as defined in the local plan.
  - Compare all the information obtained about the training vendors and the course of interest, and with the assistance of a CDF, select a course that best matches the assessments and interests of the customer.
  - Make an on-site visit to the vendor obtaining an acceptance letter on school/ company letterhead.
  - Complete the ITA Application Packet, ensuring that any financial assistance required to pay for training above the funding cap is documented.
  - If applicable, apply for financial aid, and submit required documentation.
- 
- Submit a completed packet to the CDF no less than four (4) weeks prior to the class start date. Each packet must contain the expected start date. Training must begin within sixty (60) days of the approval

date unless there are extenuating circumstances as outlined in the "Welcome To ITA" packet (Attachment 2), or the school semester start does not fall within sixty (60) days of approval. **Training for customers who do not begin on the determined start date will be voided, and dollars de-obligated.** Customers who are still interested in training will be required to resubmit another ITA application.

- If the ITA packet is incomplete or needs adjustments, the customer has one (1) week from the date the packet is returned to resubmit to the CDF.
- For all course trainings (single and multiple), the customer must notify and provide documentation to the CDF regarding completion of each training course. In cases where the customer takes multiple courses, completion documentation for the first course must be submitted to the CDF prior to the start date of subsequent approved training courses.
- If training is delayed, it is the customer's responsibility to obtain a new Letter of Acceptance with a new start date.
- While enrolled in training, proof of attendance (attachment 3) must be submitted to the CDF at least monthly while in the classroom. Customers who are registered for an online class must provide monthly proof of participation during enrollment in class. (i.e. homework assignments, printout of class assignments, grade summary, etc.)
- Notify CDF regarding attainment of a job, and provide employment information.

### **Responsibilities of the CDF regarding application for ITA**

- Confirm customer has not participated in supported training within the last three (3) years.
- Provide accurate information to customers at all times.
- Verify that vendor/school and the requested course is on the MD DOL Eligible Training Provider List of WIOA approved vendors/schools. <http://webapps.dllr.state.md.us/wioa/searchfor.aspx>
- Check the ITA MOED List of Approved Agreements to confirm that there is an existing agreement with the vendor/school for that course. **Training will not be approved unless there is an agreement in place, and the vendor appears on the approved list.**
- If there is no agreement in place, notify the CDF Supervisor/ Program Manager who in turn will send to the ITA Liaison and discusses with the Division Director. The Division Director will send the Contracts Manager a ***Request for New ITA Vendor/ Course*** form (attachment 8) and cc the Workforce Operations ITA liaison. Inform the customer that MOED does not have a current agreement with the chosen vendor/school, but that every effort will be made to develop one. It is advisable to suggest that the customer should investigate using an approved vendor.
- Provide current information to the customer regarding the status of agreement development with the vendor, and notify the customer when the new agreement is approved.

**If the customer is determined not eligible for training during review, offer the opportunity to participate in other services.**

- Ensure that the customer has received financial aid information.
- Verify use of Pell Grant or other assistance if applicable.
- Work with the customer to determine what support services may be needed during training (i.e. transportation, uniforms, physical examinations, tools etc.).
- Review the ITA Application packet, and complete the ITA Customer Application Summary and Checklist form (attachment 4). Be sure to include any financial assistance the customer will receive (PELL Grant, scholarships, etc.) as well as the expected start date. **Incomplete Summary Sheets will be returned to the CDF by the CDF Supervisor or contracting department, and may negatively impact the start of training.**
- Submit the packet to the Center Supervisor/ Manager for review. It is the responsibility of the Workforce Operations Management Team to ensure all ITA packets meet the eligibility requirements

outlined in this document and that internal reviews are periodically conducted in preparation for external audits.

- Once the packet is approved, the supervisor/ manager will email the ITA checklist to the ITA Liaison who will review and determine if slots and funds are available in the appropriate funding title. Once returned from the Liaison to the supervisor, the supervisor will email the ITA Customer Application Summary and Checklist, transmittal form, printout of the MD DOL ETPL course, letters for additional funds, other additional required documents for the course, and Acceptance Letter from the School to the contracting department ITA email address. (ITARRequests@oedworks.com)
- If the packet is not approved, the CDF will discuss the results of the packet review with the customer and address any areas of concern, assist the customer in correcting missing or incorrect information, and resubmit within one week to the Center Supervisor/ Manager.
- Support such as uniforms, certification tests, etc., that cannot be built into the cost of the training must be paid by the customer. If uniforms or exams are available from the school/vendor, they must be added to the Task Order.
- After receipt of the task order and authorization letter from the contract specialist, give the customer a copy of the Vendor Letter of Authorization (Attachment 5) and “Welcome to ITA” document. Inform them of the location and start date of training.
- Once the customer begins training, the CDF will verify the start date of the training with the school after the third day of enrollment. The CDF will notify the supervisor who in turn will notify the ITA Liaison and contract specialist that the customer has begun training. The approval date in the MWE justification must be adjusted to the actual verified start date of training.
- If training is delayed, notify the supervisor, ITA Liaison and contract specialist of delay, enter Training Delay service in the MWE, and work with customer to obtain a new start date through a new acceptance letter. The new acceptance letter and a revised checklist are sent to the contracting department who in turn will complete a new letter of authorization (LOA)
- If customer fails to begin training, notify the supervisor, ITA Liaison and contract specialist so funds can be de-obligated.
- Provide On-Going case management during time of enrollment and follow-up for both completers and non-completers.
- Conduct follow-up with the customer no less than once a month to monitor attendance and ensure that the customer is successfully participating. This includes progress reports for participants in on-line courses.
- Follow-up documentation must be included in customer’s file.
- Notify the supervisor, ITA Liaison and contract specialist via e-mail if the customer drops out of class. The contract specialist verifies with the school that the participant has dropped from the program and will send a refund check if applicable.
- Once a customer is no longer in the program (either drops or completes), key the ITA leave date into the MWE or appropriate reporting system and document any measurable skills gains and credentials received.
- Inform the customer of intensive job search options prior to the completion of training and assist with development of a resume.
- If the customer obtains unsubsidized employment, verify the job with the customer, and collect placement information.
- Enter the verified employment information into the MWE or appropriate data collection system.
- Using the WIOA placement form, verify the following employment information with the customer:
  - ✓ Employer’s Name
  - ✓ Employer’s Address
  - ✓ Job Title
  - ✓ Start Date
  - ✓ Hourly Wage
  - ✓ Hours per week
  - ✓ Availability of medical benefits, unemployment insurance, other fringe benefits
  - ✓ Credentials obtained

- If the customer does not obtain employment, provide counseling in job search activities, enroll in Job Search Assistance, and refer to the business service representative who will match the customer to appropriate jobs based on skills obtained in training.

**Responsibilities of the CDF Supervisor**

- Only the CDF Supervisor and the AJC Manager can review the ITA packets prior to submission to the ITA Liaison and the contracting unit. It is managements responsibility to review each packet for accuracy, proof of eligibility documentation, justification for training is documented, and based on assessments and labor market information determine an appropriate match for training. Approved packets will be signed off by management or returned to the CDF for corrections and resubmission. Management approval must occur within forty-eight (48) hours of receipt from the CDF.
- Approved packets will be retained by the Career Center CDF. The AJC Manager or their designee will email the approved Checklist to the designated contracting unit staff member for review. There must be at least three (3) weeks between the date the information is submitted to contracting and the start date of the class. Any exceptions must be approved by the Contracting Manager prior to sending the customer information to the contracting department.
- Perform quality review of CDF case files.

**Responsibilities of the Workforce Operations ITA Liaison**

- Review the ITA Summary checklist against budget/ vendor and return to manager for approval.
- Determine appropriate funding source based on customer's eligibility and verify fund availability i.e. WIOA Title I Adult (WA), Dislocated Worker (WD) or Casino Impact Funds (CIA).
- Prepare a weekly ITA report and distribute to the WO Division Director, Assistant Manager, supervisors and managers indicating the status of ITA funding allocations by funding title and vendor
- Act as point of contact between Workforce Operations, MOED Contracting Unit, PEDS, and Vendors.
- Attempt to resolve any outstanding issues pertaining to start dates, task order, and funding/vendor slot allocation.
- Vendors are to report placement information to the ITA liaison at least 60 days after the placement has been captured.
- Participate in annual monitoring of ITA vendors for programmatic compliance in coordination with Workforce Operations, contracting department and the agency compliance monitor.
- Ensure that customer satisfaction surveys are completed annually by staff other than the CDF and send surveys to Division Director who will take the lead to analyze and make adjustments where necessary. (attachment 7)

**Responsibilities of the Central Contracting Unit (CCU)**

The CCU has the oversight responsibility for contract development and monitoring for vendors; enrolling customers into ITA's; and payment of invoices submitted by vendors. Also includes monitoring relevant customer fiscal data to ensure correctness and consistency of information and available dollars. Process and monitor Letters of Authorization, data entry of starts, submission of Task Orders, and comparison of MWE and fiscal data.

The Central Contracting Unit will:

- Upon receipt of the Application Summary and Checklist, review for accuracy. Complete forms will initiate contracting's steps in the ITA approval process. Incomplete forms should be returned to the center manager within 48 hours.
- Ensure the completeness and correctness of the contracting agreement
- Verify that there is an existing agreement with the selected vendor.

- Verify that the course(s) requested is/are on the MD DOL Eligible Training Provider list.
- Facilitate the new vendor process application for the approved vendor list.
  - When the school is approved by MHEC or exception granted, is on the MD DOL Eligible Training Provider List, and a contract has been approved by the Board of Estimates notify the Career Center staff that participants may be referred to the vendor/ course.
- Develop and maintain a comprehensive ITA report using the MICROIX system as a base. Report must contain customer enrollment information as well as fiscal data.
- Determine appropriate funding source based on customer's eligibility and verify fund availability.
- Forward two copies of the Task Order (attachment 6) to the vendor for completion of course information and signature.
- Process Letter of Authorization within five (5) business days of approval date. Forward a copy to the CDF, center supervisor/ manager, CCN ITA liaison and Vendor via email. Send the original Letter of Authorization and two (2) copies of the Task Order to Vendor via U.S Postal Services. Authorization letter must include the MICROIX EN number.
- Enter case information into MICROIX using data from the Letter of Authorization.
- Review the balance in MICROIX to ensure adequate funding to support training.
- Key account information into MICROIX – Document Type- Standard Requisition  
Complete the following line items:
  - Line item 8: Description (Reason/ Justification, customer name).
  - Line item 9: Required Date
  - Line item 10: Bill To.
  - Line item 11: Ship To
  - Line item 12 Vendor Name
  - Line item 16A – 16D: Distribution code, Sub-object, Fund, Grant, Year, Program, Activity, Cost Center.
- Verify correctness of information on Task Order when signed copies are received from the vendor. Return one copy of the approved T.O. to the vendor; maintain one copy for MOED/Contracting files.
- Key ITA Service into MWE or appropriate electronic reporting system.
- Attempt to resolve issues related to payment of courses for customer.
- Process weekly ITA data/reports (ITA Transmittals, Training Slot Status, and Training Cost Average).
- Monitor refunds for students who drop out during the allowed dropout period, and notify the school that a refund is due. Process a memo to the Fiscal Department for refunds crediting the amount of the refund to the proper budget account number. Refunds are based on the individual school/vendor policy for dropouts.
- Review the balance in MICROIX to ensure adequate funding to support training. Notify Workforce Operations Division Director, Workforce Operations Assistant Director, and Comptroller when funding level in any funding source has reached an 80% expenditure level.
- Review weekly MICROIX reports, and correct any discrepancies related to program activity. Monitor the movement through the appropriate stages.
- Approve invoices within five (5) working days of receipt and receive review and approval from Contracting Manager. Prepare and submit invoices to Fiscal. Fiscal then has five (5) working days to process the invoice and forward it to City Finance for payment. The normal amount of time for City Finance to process payment is forty-five (45) days. Invoices cannot be paid if movement through the stages is incomplete.
- Annual monitoring of ITA vendors for fiscal and programmatic compliance in coordination with Workforce Operations and the agency compliance monitor.

## **Audit Responsibilities**

At the request of any local, state, or federal audit:

- The AJC responsible for registering the jobseeker will also be responsible for the participant record including proof of eligibility documents; prints of enrollments, completions, and job placements; ongoing attendance/ class participation records; and proof of obtained credentials and measurable skills gains.
- Central Contracting Unit will be responsible for allowable costs on the task order, invoice receipt and payment, and proof of any applicable refunds.

### ITA Responsibility Quick List

Task/ Event	Customer	Career Center Staff	Central Contracting Unit
ITA Information Session	Attends orientation	CDF conducts orientation	
Assessments including TABE Testing	Determine career interest and eligibility for training	Discuss assessments with customer to determine "best match"	
ITA Application	Must be completed in full including LMI, site visit, complete cost of training (pre-requisite course, certification course, books, uniforms, etc), and alternate start dates	CDF assists customer with completion of packet. Determines "Justification for Training" Submits to center management for approval	
Application Summary and Checklist		Completed by CDF Signed off by center management and ITA Liaison Approvals emailed to contracting with transmittal form and Letter of Authorization (LOA)	Reviewed by Contract Specialist for completeness Incomplete forms will be returned to center manager
Transmittal form			Names are logged onto the cumulative spreadsheet for reporting
Authorization to Start Training		CDF notifies customer of start date and presents <u>Welcome Packet</u>	Task order received from school. LOA is completed emailed to CDF with cc to CCN ITA Liaison, and Center Manager or their designee
Start Date of Training	Customer begins training or notifies CDF if they are unable to start	CDF Verifies start date start and forwards verification to contract specialist	Service entered into the MWE or appropriate reporting system.

Start Date Postponement	<p>If postponed because of customer, customer notifies CDF</p> <p>It is the customers responsibility to obtain a new start date and updated Approval Letter</p>	<p>If postponed because of vendor, CDF notifies customer. ITA <i>Customer Application Summary and Checklist</i> is revised once new start date are obtained</p> <p>Training delay service entered into the MWE.</p>	<p>New letter of Authorization is crafted and sent to vendor.</p>
During class enrollment	<p>Submit attendance (or progression for on-line classes) at least monthly</p>	<p>Follow-up at least monthly to monitor attendance and resolve barriers that may pop-up</p> <p>If attendance is not received, CDF must follow-up with customer or school to determine status. Begin job search</p>	<p>Process invoice or follow-up if invoice has not been received within 30 days of start</p>
Drops before Completion	<p>Customer notifies CDF</p>	<p>CDF notifies Contract Specialist</p>	<p>CS examines refund policy and processes refund if applicable</p>
Successfully completes class	<p>Customer notifies CDF</p>	<p>CDF enters end date information into appropriate MIS and makes copies of credentials received.</p> <p>Job placement services continue. IEP is updated.</p>	<p>CS monitors school for compliance with agreement</p>
Unsubsidized employment is obtained	<p>Employment is reported to the CDF</p>	<p>Employment information entered into appropriate MIS. Follow-up and retention information collected.</p>	

### **List of Attachments**

Attachment 1	ITA Application Packet
Attachment 2	Customer <i>Welcome to ITA</i> Packet
Attachment 3	Customer Attendance Log while in Class
Attachment 4	ITA Customer Application Summary And Checklist Form
Attachment 5	Vendor Letter of Authorization
Attachment 6	Task Order
Attachment 7	Customer Satisfaction Survey
Attachment 8	Request for New ITA Vendor/ Course

# BALTIMORE CITY MAYOR'S OFFICE OF EMPLOYMENT DEVELOPMENT

## Individual Training Accounts Application



### Contact Information

<b>Name:</b>	.....
<b>Last 4 of Soc. Sec:</b>	.....
<b>Address:</b>	.....
<b>City/State/Zip:</b>	.....
<b>Home Phone #:</b>	( ) .....
<b>Message Phone#:</b>	( ) .....
<b>E-Mail Address:</b>	.....

# Individual Training Accounts

This application collects the data needed to make an informed decision about the occupation, type of training and the training provider that will help you achieve your career goal. Please respond to each section and provide complete information.

Training is restricted to customers who can verify residency in Baltimore City.

Jobseekers interested in attending training must submit a completed application packet to the Career Development Facilitator (CDF) **no less than four (4) weeks prior to the class start date**. The CDF is available to assist you to ensure the packet is complete.

## Training Information

1. What type of training is being requested?
2. Do you have any work history in this career field? If yes, please briefly describe.
3. Select (circle) the Maryland Eligible Training Provider List approved vendor that offers this course.

Allstate

Baltimore City Community College

Community Colleges of Baltimore County

Catonsville

Dundalk

Essex

JARC

MCAT

Virginia L. Grant (GRACE)

Stein Academy

Towson

TranZed

Other:

## JUSTIFICATION

Approval of your training plan requires that you clearly define and justify why you feel you are best suited for this training. Your response to the questions below will help determine your suitability for the training selected.

1.	List specific reasons for requesting training in this particular field.

2.	List specific skills, abilities and certifications you will obtain from the training.

## TRAINING RESEARCH

Answer the following questions regarding the career path and training provider you have selected. This information will ensure your understanding of the training commitment necessary to complete training and obtain employment.

Name of College and Course: Certificate Courses Only	
<b>Labor market demand should reflect the availability of jobs in Maryland:</b>	
Attach two (2) types of documentation verifying the availability of jobs in the career for which you are requesting training (internet job search sites, newspaper, job announcements, etc.)	
1.	What type of jobs do people obtain upon completion of training?
2.	What was the entry wage for people who obtained jobs?

<b>3. What are the entry requirements for this occupation?</b>	
<b>4. Use O*Net to obtain information about the occupation you have chosen. Attach a copy of the occupation and summary reports.</b>	
<b>Training Requirements:</b>	
Applicant must be able to meet the entry requirements and commit to the hours required for successful completion of the curriculum. Please attach a copy of the course catalog for your selected curriculum.	
<b>5. What are the entry requirements for this training?</b>	
<b>6. How long will it take a student to complete this training? (must be completed within 12 months of start date)</b>	
<b>7. List the start and end dates of the next two (2) classes scheduled.</b>	
Start Date 1:	End Date 1:
Start Date 2:	End Date 2:

## COST OF TRAINING

Please provide an estimate of the total cost of training.

Training Expenses	Course 1 Name	Course 2 Name	Amount
Tuition			\$
Application Fee			\$
Lab Fees			\$
Books			\$
Tools			\$
Uniforms			\$
Certification Fees			\$
Other (Please explain)			\$

<b>Sub-total Cost of Training (add above costs)</b>			\$
<b>Financial aid (subtract from sub-total)</b>			\$ -
<b>Costs you must pay** (Subtract)</b>			\$ -
<b>Funding Amount authorized by MOED</b>			\$
<b>TOTAL TRAINING COST</b>			\$

## Financial Aid

Students must apply for financial aid (Pell Grant) as a requirement of certain programs. Students are responsible for costs not covered under this agreement. The trainee must pay for support items such as uniforms, certification tests, books, and supplies that are not built into the cost of the training.

Does your selected course accept financial aid for payment?  Yes  No

Have you applied for:  Pell Grant  Student Loan  Scholarship  Other:

---

If you were approved for financial assistance, please provide a copy of your Student Aid Report (SAR).

If you were not approved for financial assistance, please provide a notarized written statement indicating how the remaining balance will be paid.

## Welcome to Individual Training Accounts (ITA)!

***Congratulations – Your ITA Application for training has been approved!*** You are now on your way to training and the career of your choice. We will make the arrangements so you can attend the school you selected. You have been approved for the following:

Training Provider	Type of Training
Estimated Start Date	Estimated Completion Date

During your training it is imperative that we keep in touch as we will be monitoring your progress. Should you encounter any challenges that might affect your ability to successfully complete training and/or obtain employment, please give us a [call](#). If your address or phone number changes, please let us know as soon as possible.

### **Starting Your Training:**

MOED will make every effort for you to start your courses based on the estimated start date listed above. If you do not start class as scheduled, your funding may be reallocated unless:

- o The School changed your start date
- o You were ill or in accident
- o Family death

*Once you start your training we ask that you provide us with the following:*

### **Attendance Forms:**

You must complete and submit written attendance forms to your Career Development Facilitator (CDF) monthly while in training. If your training is less than one month (30 days), you must submit written attendance forms weekly to your CDF. The attendance form will be provided to you. You can email, fax, or bring this form to the office, but we must receive this form as stated above.

### **Progress Reports:**

We want to know how well you are doing in training. When you receive progress reports, report cards and/ or certificates as you complete modules of your training, please provide us with a copy of these forms. If you are having difficulties, let us know. Our Career Center Staff and Resource services are available to you. Please initial if you agree to provide MOED progress reports.

Customer's initials here: \_\_\_\_\_

### **Notification of Completion:**

Upon completion of your training, you must submit a copy of your certificate of completion to your CDF within two (2) weeks of completing your course. If you receive a license or certifications, a copy must be submitted to your CDF within two (2) weeks of receipt.

**Exit Interview:**

You must schedule a meeting with your CDF within two (2) days of completing your training. We will assist you in your job search by developing a sixty days (60) job search plan to help you meet your employment goal.

**Employment Information:**

The ultimate goal of training is to prepare you for the career or job in your training field. Once you obtain employment, please provide the following information to your CDF:

- o Employer's Name, Address, Zip Code, Phone Number an E-mail Address (if available)
- o Job Title, Start Date, Work Hours, Contact Person, Wage, and Benefits (if available)
- o Is your job training related?

**Now it is up to you to complete your training and get that job!** You are well on your way to a rewarding career! If you believe you can, you will. If you have any questions or need help, it will be our pleasure to assist you at the center in which you began your journey. Your Career Development Facilitator can be reached at

**Baltimore City Career Center Network**

<b>Career Center</b>	<b>Phone number</b>
Employment Connections Center	410-396-1052
Eastside American Job Center	410-396-9030
ReC at Northwest AJC	410-396-7873
Workforce Reception Center	410-396-6580

Your Career Development Facilitator is: \_\_\_\_\_

at \_\_\_\_\_.

A representative from the Mayor's Office of Employment Development may also contact you to complete a customer satisfaction survey. Please be honest with your answers – we are always seeking to improve our services.

***Good Luck!***

I have reviewed, understand and agree to the requirements explained in this *Welcome to Individual Training Accounts Packet*.

Name: \_\_\_\_\_ Date: \_\_\_\_\_

**For Office Use Only**

- Original copy is to be kept in the customer's file.

## STUDENT ATTENDANCE LOG

<b>Name:</b>				<b>For Month of:</b>				
<b>School:</b>				<b>Social Security # (Last 4):</b>				
<b>Training Course:</b>								
<b>Start Date:</b>				<b>Est. Completion Date:</b>				
<b>Course #</b>			<b>Hours &amp; Days Per Week</b>				<b>Instructor's Name</b>	
<b>Week 1</b>	<b>Course #</b>	<b>Monday</b>	<b>Tuesday</b>	<b>Wednesday</b>	<b>Thursday</b>	<b>Friday</b>	<b>Saturday</b>	<b>Instructor's Initials</b>
		<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	
<b>Week 2</b>	<b>Course #</b>	<b>Monday</b>	<b>Tuesday</b>	<b>Wednesday</b>	<b>Thursday</b>	<b>Friday</b>	<b>Saturday</b>	<b>Instructor's Initials</b>
		<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	
<b>Week 3</b>	<b>Course #</b>	<b>Monday</b>	<b>Tuesday</b>	<b>Wednesday</b>	<b>Thursday</b>	<b>Friday</b>	<b>Saturday</b>	<b>Instructor's Initials</b>
		<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	
<b>Week 4</b>	<b>Course #</b>	<b>Monday</b>	<b>Tuesday</b>	<b>Wednesday</b>	<b>Thursday</b>	<b>Friday</b>	<b>Saturday</b>	<b>Instructor's Initials</b>
		<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	
<b>Week 5</b>	<b>Course #</b>	<b>Monday</b>	<b>Tuesday</b>	<b>Wednesday</b>	<b>Thursday</b>	<b>Friday</b>	<b>Saturday</b>	<b>Instructor's Initials</b>
		<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	<b>Date:</b>	

Center: \_\_\_\_\_

CDF: \_\_\_\_\_

Center Fax Number: \_\_\_\_\_

CDF Email Address: \_\_\_\_\_

<b>Date:</b>	<input type="checkbox"/> ESCC <input type="checkbox"/> ECC <input type="checkbox"/> Other: _____	<input type="checkbox"/> REC /NWCC	<b>CDF:</b>
<b>Customer:</b>			<b>Last 4 SSN#:</b>
<b>Vendor</b>	<b>Course</b>		<b>MOED Cost Reimbursement:</b>
<b>Code:</b>	<b>Code:</b>		
<b>Tentative Start Date:</b>	<b>Tentative End Date:</b>	<b>Course:</b> <input type="checkbox"/> College Credit <input type="checkbox"/> Non-Credit/ Certificate	
<b>Tentative Start Date:</b>	<b>Tentative End Date:</b>		
<b>Did the customer apply for:</b> <input type="checkbox"/> Pell Grant <input type="checkbox"/> Student Loan <input type="checkbox"/> Scholarship <input type="checkbox"/> Other: _____ <input type="checkbox"/> Not Applicable			
<b>Training Provider Information</b>	<input type="checkbox"/> DLLR ETPL <input type="checkbox"/> Class Schedule <input type="checkbox"/> Description/ Cost <input type="checkbox"/> Acceptance Letter <input type="checkbox"/> Documentation of Cost Difference (Including Budget and Payment Plan Worksheet) <input type="checkbox"/> Justification for Training is complete in the MWE and verified.		
<b>Financial Verification</b>	<input type="checkbox"/> Pell Approval <input type="checkbox"/> Financial Statement from Vendor <input type="checkbox"/> Notarized Statement		
<b>Verified eligible for funding:</b> <input type="checkbox"/> WIOA Title I Adult <input type="checkbox"/> WIOA Title I Dislocated Worker <input type="checkbox"/> CIA <input type="checkbox"/> Other: _____			
<b>COMMENTS</b>			
<b>Budget Review</b> <input type="checkbox"/> Approved _____ Date: _____ <input type="checkbox"/> Not Approved _____ Date: _____			
<b>Reviewed by Supervisor:</b>			<b>Date:</b>
<b>Reviewed by Program Manager:</b>			<b>Date:</b>
<b>Compiled by Contracting:</b>			<b>Date:</b>
<b>To be completed by Contract Specialist:</b>			
<b>Information complete:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No – Returned to Center on _____ (date)			
<b>Fundware obligation number:</b> _____ <b>Date Task Order/ LOA issued:</b> _____			
<b>Funding for Training:</b> <input type="checkbox"/> WIOA Title I Adult <input type="checkbox"/> WIOA Title I Dislocated Worker <input type="checkbox"/> CIA <input type="checkbox"/> Other: _____ (Budget Account Number)			



**TASK ORDER**

**Attachment 6**

<b>Vendor #:</b>	<b>Account:</b>	
<b>Name of Training Institution:</b>		
<b>Address of Training Institution: -</b>		
<b>Contact:</b>		
<b>Phone Number:</b>		
<b>PARTICIPANT:</b>	<b>S.S.#:</b>	<b>OB#</b>
<b>CAREER CENTER:</b>	<b>CDF Initials:</b>	
<b>TELEPHONE #:</b>		

TRAINING COURSE	COST PER COURSE	NO. OF DAYS/WK	NO. OF HRS/DAY	NO. OF WKS	TOTAL HOURS OF TRAINING	ACTUAL START DATE	END DATE

ITEMIZED COSTS OF TRAINING	
Total Tuition:	
Fees (Registration, Laboratory, Comprehensive, etc.):	
Books/Supplies:	
Uniforms:	
Other:	
<b>TOTAL COST OF TRAINING:</b>	
PELL/Financial Aid Grant Amount (Specify):	(            )
<b>TOTAL COST OF COURSE AFTER ALL DEDUCTIONS</b>	

<p><b>REPORTING REQUIREMENTS:</b> The training institution will issue the following periodic and final reports on standing: grade achievement, competency achievement, credentials, and performance appraisal on the dates indicated. Mail Reports to:</p>

**SIGNATORY AUTHORITY:** The undersigned agree to the terms of This Agreement and commit their respective organizations to compliance with them.

<b>VENDOR:</b> Please type information	<b>MAYOR'S OFFICE OF EMPLOYMENT DEVELOPMENT</b>
<b>VENDOR NAME:</b>	<b>CONTRACTOR:</b>
<b>AUTHORIZED SIGNATURE/ DATE</b>	<b>AUTHORIZED SIGNATURE/ DATE</b>
<b>TITLE</b>	<b>TITLE:</b>

## Occupational Training Program Satisfaction Survey

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Primary: \_\_\_\_\_ Cell: \_\_\_\_\_

Hello, my name is \_\_\_\_\_ and I am calling on behalf of the Mayor's Office of Employment Development in reference to our training program that you attended. We are conducting a short survey to find out how Satisfied and Helpful the program was for you. The survey will only take about 5 minutes of your time and your responses are strictly confidential. Is this a good time?

Yes -Thank you Phone # use to reach client \_\_\_\_\_

No- What is a best time for me to call you back \_\_\_\_\_

Is this the best # to reach you? Yes No, New # \_\_\_\_\_

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1. Overall, how satisfied were you with the assistance you received from your Career Development Facilitator (CDF) that assisted you in enrolling in training?

Very Satisfied      Satisfied      Somewhat Satisfied      Not Satisfied  
If Somewhat or Not Satisfied, Why \_\_\_\_\_

2. My records indicate that you attended \_\_\_\_\_ for \_\_\_\_\_

3. Overall, how would you rate the training that you received?

Excellent      Good      Fair      Not Good      Poor  
If Less than Good, Why \_\_\_\_\_

4. How would you rate your Training Instructor(s)?

Excellent      Good      Fair      Not Good      Poor  
If Less than Good, Why \_\_\_\_\_

5. Did you complete the training? Yes      Still in training?      Did Not Complete  
If No, did not complete, why not? \_\_\_\_\_

6. Did you receive a certificate when you completed the program (*some type of Certification*)?

Yes      No  
If yes, what type of certificate/certification? \_\_\_\_\_  
If no, were you told that you would receive a certificate? Yes      No

7. Are you currently employed? Yes      No

**8. Employment Information; *The purpose of this next question is to find out if the training we offer is financially beneficial to our customer (not minimum wage) and once again your information is strictly confidential.***

- Is this job related to the training that you received?                      Yes                      No
- What is the name of your Employer : \_\_\_\_\_
- What is your Job Title: \_\_\_\_\_
- When did you start: \_\_\_\_\_
- Does your Job offer you Benefits:                      Yes                      No
- What is your hourly wage \$ \_\_\_\_\_ you can give me an estimate if you'd like.
- Is the job Full time(FT) or Part Time(PT) \_\_\_\_\_
- Would you recommend this training for others?

Yes or No, if no, why not? \_\_\_\_\_

Mr. /Ms. (Customers Name), do you have any additional comments that you would like to add?

Yes

**Final Comments:**

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No

Mr. /Ms. (Customers Name) that was my final question, your feedback is very valuable to us. Thank you so much participation in the survey .....

**Request for New Vendor**  
**Or Course for ITA**

Request submitted by: \_\_\_\_\_

Career Center: \_\_\_\_\_ Contact Phone Number: \_\_\_\_\_

Staff: \_\_\_\_\_ Date of Submission: \_\_\_\_\_

Request submitted on behalf of: \_\_\_\_\_

(Insert the customer's name requesting the course, or the name of the provider requesting submission on ERPL)

Request both **Provider and Course** be added to the MD DOL ETPL list

Request **Course** be added to the MD DOL ETPL list

Name of School: \_\_\_\_\_

Contact Person and Title: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Phone Number: \_\_\_\_\_ Alternate Number: \_\_\_\_\_

List all courses to be considered: \_\_\_\_\_

Approved by Center Program Manager: (Initials) \_\_\_\_\_

Approved by ITA Liaison: (Initials) \_\_\_\_\_

Approved by Workforce Operations Division Director: (Initials) \_\_\_\_\_

All potential vendors must follow the instructions outlined in the Department of Labor Licensing and Regulation Policy Issuance 2017-09: WIOA Title I Training and Maryland's Eligible Training Provider List (ETPL) / October 26, 2017 for inclusion on the ETPL.

**Contracting Use: A vendor must complete steps 1-3 before approval will be granted by the Department of Labor Licensing and Regulation**

1.  Has the vendor/ course been submitted to MD DOL for approval?  
<http://www.doit.state.md.us/selectsurvey/initialetpl>
2.  Once the initial approval has been made by MD DOL, did the vendor submit required application enclosures as outlined in the Policy Issuance
3. A  Does the vendor/ course possess a Certificate or Approval from the Maryland Higher Education Commission (MHEC)?  
[http://www.mhec.state.md.us/institutions\\_training/Documents/pcs/PCS\\_Training\\_Quest\\_082911.DOC](http://www.mhec.state.md.us/institutions_training/Documents/pcs/PCS_Training_Quest_082911.DOC)

B  If the vendor/ course do not possess a Certificate or Approval from the Maryland Higher Education Commission, do they possess a letter of exemption or “not regulated status” from MHEC?

C  If the vendor/ course do not possess a Certificate or Approval from the Maryland Higher Education Commission, do they possess a letter advising them that they must seek approval from the higher education authority in the home state where the out-of-state training provider is conducting training and included on the home state’s ETPL?

D  The course is for a Registered Apprenticeship and does not need MHEC approval

Final Approval by MD DOL for inclusion on ETPL

The vendor/ course have been approved by MD DOL and is on the ETPL list.

Approval Date: \_\_\_\_\_

Contract Agreement key milestones

Draft contract agreement has been completed by MOED

Date: \_\_\_\_\_

Final contract agreement has been negotiated

Date: \_\_\_\_\_

Contract has Been Approved by Baltimore City Board of Estimates

Date: \_\_\_\_\_

Workforce Operations Division Director and ITA Liaison have been notified that the vendor/ course is now accepting participants.

Date: \_\_\_\_\_

Recommended funding to support course: \_\_\_\_\_

Approved by Assistant Director Workforce Operations: (Initials) \_\_\_\_\_

Approved by Assistant Director/ Comptroller: (Initials) \_\_\_\_\_

**The [Baltimore City] Mayor’s Office of Employment Development’s (MOED)  
Subrecipient Monitoring Guide**

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Appendix:

- Subrecipient Monitoring Checklist
- Participant Folder Review Checklist - WIA Adult Services
- Participant Folder Review Checklist - WIA Youth Services
- Training Program Checklist - WIA Customized and OJT Training
- Participant Folder Review Checklist - Non-WIA Youth Services
- Performance Review of Measurable Stats: Goals versus Actual

# The [Baltimore City] Mayor's Office of Employment Development's (MOED) Subrecipient Monitoring Guide

## Subrecipient Monitoring Policy

Effective Date: April 1, 2014

Revision Date: March 11, 2014

PDF Version: Subrecipient Monitoring Policy

Approved by: Karen L. Sitnick, Director

### Policy Statement

MOED is responsible for monitoring the programmatic and financial activities of its subrecipients in order to ensure proper stewardship of Workforce Investment Act (WIA) or other grantor funds. This policy addresses MOED's responsibilities and assists applicable departments and staff, agency-wide, to ensure that, in addition to achieving performance goals, subrecipients comply with Federal laws and regulations and with the provisions of any agreements that govern the subaward.

### Reason for Policy

OMB Circular A-110 and OMB Circular A-133, along with 29 CFR 97.20 and 29 CFR 97.40, require primary recipients of federal funds to monitor subawards and to ensure subrecipients meet the audit requirements in OMB Circular A-133 and use funds in accordance with applicable laws, regulations and terms of the award. This Subrecipient Monitoring Policy applies to all subawards issued under primary funding awards made to the Baltimore City Mayor's Office of Employment Development (MOED), without regard to the primary source of funding.

### Who Must Comply

All MOED employees must comply with this policy.

### Roles and Responsibilities

As a direct or indirect recipient of federal funds that expends \$500,000 or more per fiscal year, MOED must ensure that its subrecipients comply with OMB Circular A-133. MOED's responsibilities include, but are not limited to:

- Informing the subrecipient of all applicable federal laws and regulations and all appropriate flow-down provisions from the prime agreement
- Reviewing the subrecipients' A-133 audit results (ex. via copies of audit reports or via the Federal Audit Clearinghouse (<http://harvester.census.gov/sac/>))

## The [Baltimore City] Mayor's Office of Employment Development's (MOED) Subrecipient Monitoring Guide

- Reviewing any actual or planned corrective actions cited by subrecipients in response to reported audit findings, where the audit findings are related to MOED's awards to the subrecipients
- Issuing a management decision on subrecipient's audit findings within six months after receipt of the audit results and ensuring the subrecipient takes appropriate and timely corrective action

**Subrecipient monitoring responsibilities are shared among the following:**

The MOED Contracting & Compliance Unit and applicable MOED Workforce Services delivery units share the responsibility of monitoring the subrecipients' progress in delivering services in compliance with Federal and other applicable regulations and the terms and conditions of their subrecipient award agreement with MOED, reviewing subrecipient invoices, identifying and following up on questionable expenditures, if necessary, and maintaining documentation of monitoring efforts, developing monitoring plans, and reviewing the results of subrecipient findings, corrective action plans, and other issues brought to their attention.

### Procedures

MOED, inclusive of the Contracting & Compliance Unit and the Workforce Services Units, should use the following subrecipient monitoring procedures when appropriate:

1. Review technical reports, performance progress reports or other specified deliverables on a timely basis. Any unforeseen and/or problematic issues should be documented, investigated and effectively resolved.
2. Perform an expense to budget comparison for cost-reimbursement sub-agreements. The subrecipient's invoices must show both current period and cumulative expenses against budget.
3. Review invoices regularly and document the results of the reviews in the applicable files. Such documentation may include, for example: reviewer's initials or authorizing signature on invoices, email communications, notes of applicable meetings with those within and outside of MOED, etc.
4. Request the subrecipient to provide clarification of invoiced charges that appear unusual, excessive, or otherwise questionable. If the sub-award terms permit, MOED may request detailed justification to verify the allowability of the cost. Examples of detailed justifications include:
  - Payroll records
  - Copies of paid invoices showing item cost and Vendor Justification forms if required
  - Descriptions of services rendered by consultants including hourly rates and time reports
  - Details of incurred travel charges stating the purpose of the travel
  - Records identifying any unallowable costs

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5. Subrecipients not subject to Circular A-133 may require additional monitoring to ensure compliance, including requesting audited financial statements and/or completion of a financial controls questionnaire by the subrecipient.
6. MOED should establish additional channels of communication and monitoring methods for subrecipients deemed to require closer scrutiny in light of considerations, including, but not limited to:
  - MOED's current and prior experience with the subrecipient
  - The amount of supporting documentation required to be submitted with invoices and programmatic progress reports
  - The complexity of the award, sensitivity of the work and/or scope of governing regulations
  - The level of competency of the subrecipient's administrative and/or program services team and its financial systems
  - The A-133 audit results
7. In addition to earlier noted compliance review procedures, MOED will conduct at least one (1) on-site monitoring visit per year to further assist in evaluating compliance with applicable regulations and the terms and conditions of the subrecipient agreement, and the appropriateness of the subrecipient's administrative systems, programmatic operational processes and procedures and expense charges. Factors to be considered in deciding the frequent of on-site monitoring visits, include, but are not limited to the relative term and monetary value of a subrecipient award, the amount of documentation required to be submitted with invoices, along with other risk factors some of which are covered in #6, above, in deciding whether to conduct on-site visits, and the frequency of such visits. A written monitoring plan should be prepared in advance of on-site visits and used as a tool for managing and/guiding activities to be undertaken during the visit. Monitoring reports should be issued to the subrecipient within fourteen (14) business days from the completion of the visit.
8. Program specific audits of subrecipients may be requested on a discretionary basis in order to resolve questionable costs or other noncompliance issues.

.....

## The [Baltimore City] Mayor's Office of Employment Development's (MOED) Subrecipient Monitoring Guide

### The Monitoring Process:

We view the monitoring process as an effective tool in ensuring that subrecipients, as well as MOED, complete their agreed upon tasks and responsibilities in a timely and professional manner as outlined in their written agreement.

A formal (written) schedule should be developed at the start of each fiscal year. The schedule should identify the most probable subrecipients for the period and be flexible enough to incorporate any new subrecipients that are identified later in the year. The requirement, as outlined in the Monitoring Policy on page one (1), is to conduct at least one on-site monitoring visit for each subrecipient. A related goal (but not a requirement) is to complete each visit within six (6) months of the start of services being provided under the agreement.

To help ensure that the proper elements of each sub-contracted program are being examined (monitored) standardized Monitoring Checklists (or similarly titled documents) have been developed for use by the monitors (see Appendix). The checklists help promote relevant, thorough, consistent, organized and efficient monitoring activities. The checklists and associated notes sections should greatly assist in writing up the results of the monitoring visit in the resulting monitoring letter.

### Preparing for the Monitoring Visit:

We should ensure that the assigned monitoring staff members are adequately trained to conduct subrecipient monitoring activities. We anticipate that contracting or fiscal unit staff will conduct the fiscal related monitoring activities while program staff will conduct the programmatic related monitoring activities. In some instances, consideration should be given to use a "team" approach to on-site monitoring assignments to more efficiently and thoroughly complete a comprehensive monitoring assignment. These staffing decisions should be made early in the fiscal year during the monitoring plan and schedule development process.

In preparing for each monitoring visit, the assigned monitor(s) should thoroughly review the following (where applicable):

- the Board of Estimates (BOE) approved agreement between MOED and the subrecipient
- processed invoices, including any related correspondence
- performance/progress reports, including any related correspondence
- documentation from the most recent monitoring visit, including follow-up correspondence
- copies of the most recent A-133 audit report or other independent auditor's report if A-133 is not applicable

## The [Baltimore City] Mayor's Office of Employment Development's (MOED) Subrecipient Monitoring Guide

### Conducting the Monitoring Visit:

There are at least six (6) key elements of a monitoring visit:

- Developing a written plan to guide the monitoring visit
- Notifying the subrecipient of the planned visit
- An entrance conference
- Reviews, conversations, documentation acquisition and analysis
- An exit conference
- Follow-up monitoring letter

It is recommended that we begin the monitoring process by constructing a written plan that identifies at least the following: the purpose of the monitoring visit; the target time-frame (beginning and ending dates); what specifically we intend to look at and what are we looking for; who we wish to speak with; what tools do we intend to use; who, from MOED, will be visiting and who will serve as the lead; what should be done if the MOED team encounters significant obstacles during the visit. The written plan should be reviewed and approved by an appropriate MOED manager.

The next step of the monitoring process calls for contacting the subrecipient by telephone to explain that we would like to visit their location(s) to review on-going operations and records related to the program that we are funding. The goal is to agree upon a date and time for the visit and to outline the intended scope of our review, including staff and participants that we would like to speak with and records and documents that we would like to see. We should also give the subrecipient an estimate of the amount of time we will be spending with them as well as any specific accommodations that we might need (ex. office space, copier access, etc.). We should follow this conversation up with a formal letter that confirms all that has been discussed and agreed-upon.

The entrance conference represents a front-end, sit-down session, with the appropriate staff of the subrecipient just before the monitoring activities actually begin. It's an opportunity to make certain everyone is clear on: the purpose of the visit; what we expect to be able to review; who we expect to be able to speak with; and, the time-frame that we need to complete our on-site review.

It is extremely important that we use the checklists and other guides that were developed to efficiently and effectively lead us through the monitoring visit. We should not leave MOED's offices without these tools when journeying to a subrecipient's location for a monitoring visit. We should systematically follow the checklist elements and clearly record the steps we followed, the information we reviewed/received and the relevant portions of conversations we had with staff. Where possible, these notes should be made directly on the checklist document. We cannot over-emphasize the need to record our notes as we go versus trying to rely on our memories hours and/or days later. We should find this information helpful in developing conclusions from the monitoring visit and sharing the basis for those conclusions.

At the end of the monitoring visit, the reviewer(s) should again meet with key members of the subrecipients staff to share the results of the visit, including any areas of concern and preliminary conclusions drawn from the visit. It's a great opportunity for the subrecipient to: offer any clarifying facts; provide additional documents to support their position/s; and to report any changes that they have already taken to correct deficiencies and/or concerns that we have noted. Careful and detailed

## The [Baltimore City] Mayor's Office of Employment Development's (MOED) Subrecipient Monitoring Guide

notes should be taken of the items discussed during the exit conference, including areas of agreement and disagreement.

Following every monitoring visit, and in a timely fashion, we should provide the subrecipient with a follow-up letter (not an email or phone call) that thanks them for their assistance and cooperation and clearly communicates any particularly good practices and/or deficiencies (findings or concerns) that we identified during our visit. A "finding" is being defined as an item/action that is in violation of the terms and conditions of the agreement, particularly if it violates applicable funding laws and regulations. A "concern" is being defined as a potential weakness in the subrecipient's operations that if not corrected could result in a future "finding".

For each finding identified in the letter, we must clearly specify the corrective actions that must be taken by the subrecipient and the related time-frames. For each concern we must offer recommendations for effectively eliminating these issues from future operations. The monitoring letter should request a written response from the subrecipient within a reasonable period of time (ex. within thirty days from the date of the monitoring letter) and require that all findings, concerns and related corrective actions be addressed in their response.

**EQUAL OPPORTUNITY**  
**COMPLAINT, MEDIATION and GRIEVANCE**  
**PROCEDURES**

**FOR**

**PROGRAM**

**PARTICIPANTS/EMPLOYEES/SUB-RECIPIENTS**

**Baltimore City**  
**MAYOR'S OFFICE OF EMPLOYMENT DEVELOPMENT**

Revised 12/2018

# MAYOR'S OFFICE OF EMPLOYMENT DEVELOPMENT

## GRIEVANCE POLICY AND PROCEDURE

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### I. PHILOSOPHY

This grievance procedure is concerned with the protection of the rights, privileges and prerogative of the program and participants, employees, subrecipients or other interested persons for the purpose of receiving and resolving complaints raised in connection with programs operated by the Mayor's Office of Employment Development (MOED), and its subrecipients. The MOED has established procedures for resolving disagreements among the parties to any compliant which allege a violation of the Workforce Innovation and Opportunity Act, the regulations under the Act or the terms of a grant, subgrant or program operated under the act. It is the intent of this policy and procedure to afford customers a voice in those matters that have a potential adverse, unjust or inequitable effect on their employment conditions. Such issues may be honest differences of opinion, or judgement situations, but the Agency acknowledges the importance of their expression.

It is the responsibility of the Mayor's Office of Employment Development to determine the goals of each of its components, set standards of services, and exercise control and direction over its organization. This responsibility is derived from federal and state regulations, and local direction is provided by the Mayor's Office, the Workforce Development Board and established City of Baltimore administrative policies and procedures. Nothing contained in this policy is deemed to deny the right of any participant to submit a grievance for prompt disposition.

Participants who seek resolution of complaints or service situations by using established procedures are assured that they will not be subjected to discrimination or retaliation, or be penalized in any way for their use of these procedures.

### II. DEFINITIONS

For the purpose of clarity and understanding, the following definitions will apply throughout this document.

- A) "GRIEVANCE OFFICER" is appointed by the Director and is responsible for overseeing adherence to the procedure in a timely manner by all related parties.
- B) "HEARING OFFICER" is an objective person appointed by the Director from within or outside the agency.
- C) "MEDIATION POLICY" is an alternative dispute resolution that resolves allegations of discrimination at the informal stage of the complaint process.
- D) "MOED" means Mayor's Office of Employment Development
- E) "WIOA" means the Workforce Innovation and Opportunity Act
- F) "DLLR" means the State of Maryland's Department of Labor, Licensing and Regulation

- G) "Participant" means a person enrolled in a program funded through MOED
- H) "Subrecipient" means any person, organization, or entity which received funds through MOED
- I) "EOO" means MOED's Equal Opportunity Officer
- J) "Immediate Supervisor" means any instructor for training participants or the actual agency or worksite supervisor for work-related participants
- K) "Department Head" means the Director or Manager of the training program for complainant/grievant enrolled as a training participant. If the grievant/complaint is enrolled as a work-related program participant/ MOED employee, "Department Head" shall mean the next level of agency or worksite supervision above that of the immediate supervisor
- L) "Filing a Complaint" means the act of writing down the complaint and presenting it to the MOED or its subrecipients in accordance with this procedure
- M) "Secretary" means the Secretary of the United States' Department of Labor
- N) "Complainant" means the person(s) or organization(s) filing a complaint of alleged discrimination or for whom the complaint is filed
- O) "Respondent" means the individual, program or activity against whom a complaint is filed

### III. TYPES OF COMPLAINTS

- A) **Discrimination:** Complaints alleging discrimination on the basis of race, color, national origin, sex, age, religion, marital status, political affiliation or belief, sexual orientation, disability, and for beneficiaries only, citizenship or participation in programs funded by the MOED in admission or access to, opportunity or treatment in, or employment in the administration of or in conjunction with, any MOED funded program or activity, may file a complaint within one hundred eighty (180) days from the date of the alleged violation with the MOED's Equal Opportunity Officer.

If such a complaint is received by the MOED, it will immediately notify DLLR and the Directorate of Civil Rights, Washington, D.C., of the existence and nature of the complaint using the notification of receipt of complaint alleging discrimination form. The individual may file a complaint directly with the Directorate of Civil Rights (DCR), U.S. Department of Labor, 200 Constitution Avenue, NW, Room N-4123, Washington, DC 20210. If the individual elects to file his/her complaint with the MOED, the individual must wait until the MOED issues a decision or until ninety (90) days have passed, whichever is sooner, before filing with DCR. If the MOED has not provided the individual with a written decision within ninety (90) days of the filing of the complaint, the individual need not wait for a decision to be issued, but may file a complaint with DCR within thirty (30) days of the expiration of the ninety (90)-day period. If the individual is dissatisfied with the MOED's resolution of the complaint, the individual may file a complaint with DCR. Such complaint must be filed within thirty (30) days of the date the individual received notice of the MOED's proposed resolution.

- B) **Violations Not Involving Discrimination:** Persons with this type of complaint must exhaust this grievance procedure and may request a DLLR review by filing a request with DLLR with ten (10) days from receipt of the MOED decision resulting from the hearing of the complaint. Complaints

must be filed within one (1) year of the event(s) on which the complaint is based except in the case of complaints alleging fraud or criminal activity. DLLR will render a decision on the MOED's written decision within ninety (90) days of the original complaint date.

- C) **Temporary Assistance to Needy Families (TANF)**: Complaints by regular customers or their representatives that allege any violation of 251.3 (Displacement of the Jobs) regulations have the opportunity for an informal resolution of the complaint. If not resolved informally, a hearing will be held in accordance with this grievance procedure. The procedure must take no longer than sixty (60) days from the date of filing to be exhausted which includes time spent informally attempting to resolve the complaint. The complainant has the right to have the decision reviewed by DHS. The complaint must be filed within one (1) year of the alleged occurrence.
- D) **Fraud, Abuse, or Other Criminal Activity**: Persons with this type of complaint will be referred to the Secretary.

#### **IV. SCOPE OF PROCEDURES**

These procedures cover complaints that allege a violation of the Workforce Innovation and Opportunity Act, the regulations under the Act or the terms of a grant, subgrant or program operated under the Act. Therefore, matters which can not be grieved include but are not limited to:

- A) Determination of the mission of an agency, and the standard of service to be offered to the public.
- B) Exercise of direction and control over an agency's organization and operation.
- C) Examination and qualification of employees and applicants for employment.
- D) Establishment or alteration of wages, hours, or leave benefits.
- E) Established personnel policies and procedures concerning promotions, classifications, discharges, lay-offs, and suspensions.

Employers, including private-for-profit employers of participants under the Act, are required to have a Grievance Procedure as a condition of participating in programs for the training or hiring of participants under the Act. Employers may operate their own complaint system or may utilize the complaint system established by the Mayor's Office of Employment Development. Employers must inform participants and their employees of the complaint procedure they are to follow when the participant/employee begin employment.

An employer's complaint system shall provide for, upon request by the complainant, a review of an employer's decision by the MOED and the state if necessary, in accordance with the regulations.

#### **V. MATTERS WHICH CAN NOT BE GRIEVED**

All matters which by law are vested in the Board of Estimates, the Civil Service Commission, or a City agency can not be the subject of a grievance. These include but are not limited to:

- A) Determination of the mission of an agency, and the standard of service to be offered to the public
- B) Exercise of direction and control over the agency's organization and operation.
- C) The right to hire, promote, transfer, assign, or retain employees in positions within an agency

- D) The supervisor's appraisal of an individual's performance
- E) The right to suspend, demote, discharge, or take other appropriate disciplinary action against an employee for just cause
- F) Establishment or alternative of wages, hours or leave benefits
- G) Examination and qualification of employees and applicants for employment
- H) Right to determine classifications
- I) EEO complaints which are covered under EEO procedures
- J) Any Personnel action taken during the initial probationary period

Management has the right to establish policies related to these issues. Employees can grieve the specific applications of these policies only if they can document that the application of the policy was not congruent with established procedure.

The determination that a grievance is related to a specific application of a policy is at management's discretion.

## **VI. COMPLAINT PROCESSING PROCEDURE**

### **General Requirements**

Each State and each Local Workforce Area is required to adopt and publish procedures for processing complaints alleging discrimination against any program or activity funded or authorized under WIOA title I. The procedures must provide the complainant with the option to file with the MOED or directly with the Civil Rights Center (CRC). The MOED as well as service providers must comply with the complaint procedures.

The requirements for the MOED's discrimination complaint processing procedures are grouped under the following five general categories:

- A) Jurisdiction
- B) Methods of Resolution/Disposition
- C) Notice of Final Action
- D) Processing Timeframes
- E) Recordkeeping

### **1. Jurisdiction**

#### **Filing A Complaint**

- A) Any person who believes that either he or she, or any specific class of individuals, has been or is being subjected to discrimination prohibited under WIOA will be given the option to file a written

complaint, either by him/herself or through a representative. Examples of who may file a complaint include the following:

- Applicants/registrants for aid, benefits, services or training
- Eligible applicants/registrants
- Participants
- Employees
- Applicants for employment/customers
- Service providers (the organization involved is one which may be attributed a racial, national origin, or other characteristic entitled to protection under WIOA)
- Eligible service providers (same definition applies)

B) The complainant must be given the option to file a complaint with either the MOED, through the EO Officer or the Director (of CRC). Complaints filed with the director should be sent to:

The Director  
Civil Rights Center (CRC)  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Room N-4123  
Washington, D.C. 21210

C) Complaints must be filed within 180 days of the alleged discrimination. Only the Director, CRC may extend the filing time for good cause shown by the complainant.

D) Each complaint must be filed in writing, and must contain the following information:

- i) The complainant's name, address, and telephone number (or other means of contacting the complainant);
- ii) Full name, address, and telephone number of person/agency that complaint is about;
- iii) A description of the complainant's allegations. This description must include enough detail to allow the Director or the MOED, as applicable, to decide whether:
  - a) CRC or the MOED, as applicable, has jurisdiction over the complaint;
  - b) The complaint was filed in time; and
  - c) The complaint has apparent merit; in other words, whether the complainant's allegations, if true, would violate any of the nondiscrimination and equal opportunity provisions of WIOA.

iv) The complainant's signature or the signature of the complainant's authorized representative.

E) A complainant may file a complaint by completing and submitting CRC's Complaint Information Form <https://www.dol.gov/oasam/programs/crc/filing-complaint.htm>

F) Both the complainant and the respondent have the right to be represented by an attorney or other individual of their choice.

G) If the MOED determines that it does not have jurisdiction over a complaint, it must notify the complainant, in writing, immediately. This Notice of Lack of Jurisdiction must include:

- A statement of the reasons for that determinations
- Notice that the complainant has a right to file a complaint with CRC within 30 days of the date on which the complainant receives the Notice.

## 2. Methods of Resolution/Disposition of Complaints

### Required Elements of the MOED's Discrimination Complaint Processing Procedures

At a minimum, the procedures that the MOED adopts and publishes will include the following elements:

A) Initial written notice to the complainant that contains the following information:

- An acknowledgement that the MOED has received the complaint, and
- Notice that the complaint has the right to be represented in the complaint process;

B) A written statement of the issue(s), provided to the complainant, that includes the following information:

- A list of the issues raised in the complaint, and
- For each issue, a statement whether the MOED will accept the issue for investigation or reject the issue, and the reasons for each rejection;

C) A period for fact-finding or investigation of the circumstances underlying the complaint;

D) A period during which the MOED attempts to resolve the complaint. The methods available to resolve the complaint must include alternative dispute resolution (ADR). A mediation will take place, and the grievance office officers will be the following individuals:

**Myisha Dixon-Henry**  
Human Resources Director  
417 E. Fayette Street, Suite 468  
Baltimore, MD 21202  
(410) 396-1790

**Terri Parker**  
MOED Assistant Director  
417 E. Fayette Street, Suite 468  
Baltimore, MD 21202  
(410) 396-1910

E) The MOED's ADR procedures must provide that :

- i) The choice whether to use ADR or the customary process rests with the complainant:
- ii) A party to any agreement reached under ADR may file a complaint within the Director in the event the agreement is breached. In such circumstances, the following rules apply:
  - (a) The non-breaching party may file a complaint with the Director within 30 days of the date on which the non-breaching party learns of the alleged breach;
  - (b) The Director must evaluate the circumstances to determine whether the agreement has been breached. If he or she determines that the agreement has been breached, the company may file a complaint with CRC based upon his/her original allegation(s), and the Director will waive the time deadlines for filing such a complaint
  - (c) If the parties do not reach an agreement under ADR, the complainant may file a complaint with the Director as described under **Jurisdiction.**

### 3. Notice of Final Action

The procedures that the MOED adopts and publishes must provide that the MOED will receive a written Notice of Final Action on discrimination complaints within 90 days of the date on which the complaint is filed. The Notice of Final Action must contain the following information:

- A) For each issue raised in the complaint, a statement of either;
- The MOED's decision on the issue and an explanation of the reasons underlying the decision, or
  - A description of the way the parties resolved the issue
- B) Notice that the complainant has a right to file a complaint with CRC within 30 days of the date on which the Notice of Final Action is issued if he or she is dissatisfied with the MOED's final action on the complaint.

### 4. Processing Timeframes

- A) Within 90 days of the date on which a complaint is filed, the MOED must take one of the following actions:
- Issue satisfactory written Notice of Final Action
  - Issue unsatisfactory written Notice of Final Action Decision
  - Issue written notice of lack of jurisdiction
  - Refer complaint to another Federal Grantmaking Agency for investigation where there is joint jurisdiction
- B) If, by the end of 90 days from the date on which the complainant filed the complaint, the MOED fails to issue a Notice of Final Action, the complainant or his/her representative may file a complaint with the Director within 30 days of the expiration of the 90-day period. In other words, the complaint must be filed with the Director within 120 days of the date on which the complaint was filed with the MOED.
- C) The Director may extend the 30-day time limit under the following circumstances:
- If the MOED does not include in its Notice of Final Action the required notice about the complainant's right to file with the Director.
  - For other good cause shown.
  - The complainant has the burden of proving to the Director that the time limit should be extended.

### 5. Recordkeeping Requirements

- A) The MOED must maintain, and submit to CRC upon request, a log of complaints filed with it that allege discrimination on the ground(s) of race, color, religion, sex, national origin, age, disability, political affiliation or belief, citizenship, and/or participation in a WIOA Title I-financially assisted program or activity.

B) The complaint log must include the following information:

- The name and address of the complainant;
- The ground of the complaint;
- A description of the complaint;
- The date the complaint was filed;
- The disposition and date of disposition of the complaint; and
- Other pertinent information

C) Information that could lead to identification of a particular individual as having filed a complaint must be kept confidential.

D) Records regarding complaints and actions taken on the complaints must be maintained for a period of not less than three years from the date of resolution of the complaint.

## Voluntary Mediation

I have read the information on the Mayor's Office of Employment Development's Informal Resolution and Mediation Program.

Based on the information provided to me, I have voluntarily and freely made the following election with regard to Mediation:

<input type="checkbox"/> YES	<b>I elect to avail myself of the Mediation option of complainant resolution. I agree to participate in the Mediation efforts led by the Office of Equal Opportunity and program Equity and to attempt to settle the issue(s) in dispute between the Respondent and me.</b>
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<input type="checkbox"/> NO	<b>I elect not to use Mediation as an alternative method of dispute resolution and request that the formal complaint investigation process begin as soon as this form is received in the Office of Equal Opportunity and Program Equity.</b>
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Complainant: \_\_\_\_\_ Date: \_\_\_\_\_

Witness: \_\_\_\_\_ Date: \_\_\_\_\_

**Mayor's Office of Employment Development**  
417 E. Fayette Street, Suite 4468  
Baltimore, MD 21202  
Telephone: (410) 396-1790  
Website: <http://www.oedworks.com>

## **COMPLAINT, MEDIATION and GRIEVANCE PROCEDURE**

**I have reviewed a copy of the MOED COMPLAINT, MEDIATION and GRIEVANCE PROCEDURE. I understand that as a program participant in an MOED program, I must first attempt to resolve any grievances through procedures established by the agency to which I have been assigned. If I am unable to resolve the grievance at this level, or if I am not satisfied with the resolution of the grievance, I understand that I may file a grievance with the MOED Equal Opportunity Officer, following the steps outlined in the COMPLAINT, MEDIATION and GRIEVANCE PROCEDURES.**

Signed: \_\_\_\_\_

Date: \_\_\_\_\_

Witness: \_\_\_\_\_

Date: \_\_\_\_\_

## Equal Opportunity and Non-Discrimination Notice

To Job seekers, Workforce Innovation and Opportunity Act (WIOA) applicants, participants, staff, sub-recipients, and other interested parties; you have the right to file a complaint if you feel that a violation of the Workforce Innovation and Opportunity Act, regulations, grants or other agreements has had an adverse effect on you.

All complaints must be submitted, in writing, within one year of the alleged violation and must contain the following information: 1) Full name, address, and telephone number of person filing complaint; 2) Full name, address, and telephone number of person/agency that complaint is about; 3) Clear and concise statement of facts and dates describing the alleged violation; 4) The provision(s) of the law, regulations, grant, or other agreement believed to have been violated; 5) Grievances or complaints about individuals must indicate how the individual did not comply with the law, regulations, or contract; and 6) The remedy sought by the person filing.

The Local Area shall notify all parties of the opportunity to informally resolve the complaint. If the complaint is not resolved informally, the complainant has the right to a hearing within 30 days of filing the complaint. All parties will receive written notice of the hearing at least 10 days prior to the hearing date.

A written decision will be mailed to all parties within 90 days of the date the complaint was filed.

If a complainant does not receive a written decision within 90 days, or is not satisfied with the decision received, the complainant may file an appeal. The request for an appeal must be submitted, in writing, to:

DLLR's Office of Equal Opportunity and Program Equity (OEOPE) located at 500 North Calvert Street, Baltimore, Maryland 21202 (or the person whom DLLR has designated for this purpose); or the Director, Civil Rights Center (CRC), U.S. Department of Labor (DOL), 200 Constitution Avenue NW, Room N-4123, Washington, DC 20210.

### Equal Opportunity is the law

It is against the law for this recipient of federal financial assistance to discriminate on the following basis:

- Against any individual in the United States, on the basis of race, color, religion, sex, national origin, age, disability, political affiliation or belief; and
- Against any beneficiary of programs financially assisted under Title I of the Workforce Innovation and Opportunity Act (WIOA), on the basis of the beneficiary's citizenship/status as a lawfully admitted immigrant authorized to work in the United States, or his or her participation in any WIOA Title I-financially assisted program or activity.

The recipient must not discriminate in any of the following areas:

- Deciding who will be admitted, or have access, to any WIOA Title I-financially assisted program or activity;
- Providing opportunities in, or treating any person with regard to, such a program or activity; or
- Making employment decisions in the administration of, or in connection with, such program or activity.

### What to do if you believe you have experienced discrimination

If you think that you have been subjected to discrimination under a Workforce Innovations and Opportunity Act Title I-financially assisted program or activity, you may file a complaint within 180 days from the date of the alleged violation with either:

- The recipient's Equal Opportunity Officer (for the Mayor's Office of Employment Development, Myisha Dixon-Henry, Human Resources Director. 417 E Fayette Street Room 468. Baltimore, MD 21202); or The Director, Civil Rights Center (CRC), U.S. Department of Labor, 200 Constitution Ave. NW, Room N-4123, Washington, DC 20210.

If the recipient does not give you a written Notice of Final Action within 90 days of the day on which you filed your complaint, you do not have to wait for the recipient to issue that Notice before filing a complaint with CRC. However, you must file your CRC complaint within 30 days of the 90-day deadline (in other words, within 120 days after the day on which you filed your complaint with the recipient).

If the recipient does give you a written Notice of Final Action on your complaint, but you are dissatisfied with the decision or resolution, you may file a complaint with CRC utilizing the Complaint Information Form via US mail, email: [CRCEXternalComplaints@dol.gov](mailto:CRCEXternalComplaints@dol.gov); phone at 202-693-6500, or TTY/TRS 1-800-877-8339. You must file your CRC complaint within 30 days of the date on which you received the Notice of Final Action.



## **CONFLICT OF INTEREST POLICY**

- No board member may participate in a matter under consideration by the board regarding the provision of services by the board member or the entity the board member represents.
- No board member may participate in any matter in which the board member, a qualifying relative or a business associated with the board member or qualifying relative, has a direct financial interest.
- A member's employer may not participate in any way in a future bid on procurement where the member helped to draft specifications. In order to avoid potential conflicts as circumstances change, members whose employers may wish to participate in a future procurement will refrain from involvement in specification development.
- No board member may intentionally use the prestige of his or her public position for that board member's private gain or that of another.
- Except in the discharge of an official duty, no board member may disclose or use confidential information acquired by reason of the board member's public position and not available to the public for personal economic benefit or for the economic benefit of another.
- Board members may not use their status in marketing their private businesses.
- Any board member, with a potential or actual conflict of interest, must disclose that fact, in writing to the board chairman as soon as the potential conflict is discovered and, to the extent possible, before the agenda for a meeting, involving the matter at issue is prepared. If it should be determined during a meeting that a conflict of interest exists, the board member must verbally declare such conflict of interest, such declaration must be clearly noted in the minutes, and such board member must excuse him/herself from the remainder of the discussion and the voting.

- No board member may solicit or accept any gratuity, gift or item of monetary value from suppliers, contractors or subcontractors of the board. Gifts of nominal value (under \$25) are permissible.
- The board shall adopt procedures that serve to minimize the appearance of conflicts. Local board members, who represent One Stop partners and who serve on committees that oversee the One Stop system or the allocation of resources that would potentially be allocated to their programs, refrain from discussing or voting on any matter that would impact the programs they represent.
- The board shall adopt appropriate penalties, sanctions or other disciplinary actions, including termination, on a case by case basis, for board members who violate any portion of this policy.
- Each board member shall annually sign a statement that he or she has reviewed this policy and is aware of his or her responsibilities under it.

## DEFINITIONS

“Direct Financial Interest” means ownership of an interest as the result of which the owner has received within the past 3 years, is currently receiving, or in the future is entitled to receive, more than \$1,000 per year; or ownership of more than 3% of a business entity; or ownership of securities of any kind that represent, or are convertible into, ownership of more than 3% of a business entity.

“Procurement contract” has the meaning provided in section 11-101 of the State Finance and Procurement Article.

“Qualifying relative” means a spouse, parent, child, brothers, or sister.

## ACCEPTANCE STATEMENT

I have read and accept the terms of the Conflict of Interest Policy detailed above.

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Signature

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Date

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Organization